

The role of increasing temperature variability in European summer heatwaves

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Instrumental observations^{1,2} and reconstructions^{3,4} of global and hemispheric temperature evolution reveal a pronounced warming during the past ~150 years. One expression of this warming is the observed increase in the occurrence of heatwaves^{5,6}. Conceptually this increase is understood as a shift of the statistical distribution towards warmer temperatures, while changes in the width of the distribution are often considered small⁷. Here we show that this framework fails to explain the record-breaking central European summer temperatures in 2003, although it is consistent with observations from previous years. We find that an event like that of summer 2003 is statistically extremely unlikely, even when the observed warming is taken into account. We propose that a regime with an increased variability of temperatures (in addition to increases in mean temperature) may be able to account for summer 2003. To test this proposal, we simulate possible future European climate with a regional climate model in a scenario with increased atmospheric greenhouse-gas concentrations, and find that temperature variability increases by up to 100%, with maximum changes in central and eastern Europe.

A record-breaking heatwave affected the European continent in summer 2003. In a large area, mean summer (June, July and August, referred to as JJA below) temperatures have exceeded the 1961–90 mean by ~3°C, corresponding to an excess of up to 5 standard deviations (Fig. 1a). Even away from the centre of action, many long-standing temperature records have tumbled.

For further analysis, we consider long-term temperature series from Switzerland, located close to the centre of the anomaly. Twelve carefully homogenized series^{8,9} are available with daily resolution since 1864. To minimize contamination by local meteorological and instrumental conditions, we amalgamate four independent and particularly reliable stations (Basel-Binningen, Geneva, Bern-Liebefeld, and Zürich) into one single series with monthly temporal resolution. This series is representative for the northwestern foothills of the Alps. Figure 1b–e displays the statistical distribution of monthly and seasonal temperatures. The year 2003 is far off the distribution in three of the four panels. For instance, the previous record holder for JJA was 1947 with a temperature anomaly of $T' = 2.7^\circ\text{C}$ (with respect to the 1864–2000 mean). The corresponding value for 2003 is as high as $T' = 5.1^\circ\text{C}$ and this amounts to an offset of 5.4 standard deviations from the mean (the corresponding values of individual months are listed in Fig. 1). Such extreme values (which indeed have the characteristics of outliers) pose serious challenges to any analysis, as the statistical distribution so far away from the mean is not described by the data.

In a first step, we thus restrict attention to the time period 1864–2000 and compile compound statistics for all monthly temperature anomalies (January–December). The purpose of this is to identify changes near the tails of the statistical distribution that result from the warming trend in the series. To this end, we consider two 60-yr periods, one covering the beginning of the series (1864–1923), and one the end (1941–2000). Figure 2a, b shows the resulting statistical distributions, both in terms of cumulative probability and probability density functions. The two distributions show similar

characteristics in general, but the 1941–2000 distribution is shifted by the mean warming ($\Delta T = 0.8^\circ\text{C}$) between the two periods. This shift also implies a change in the frequency of extremes. For instance (Fig. 2c), the frequency of a month with an anomaly of $T' = 3^\circ\text{C}$ has increased by ~100%. Hence, a month in the 1941–2000 period with an excess temperature of $T' = 3^\circ\text{C}$ can be tied with a probability of 50% to the warming between the two periods, in a probabilistic sense as recently proposed¹⁰. This illustrates how comparatively small shifts in climate mean may imply pronounced changes at the tails of the statistical distribution and in the frequency of extremes.

The dashed and full curves in Fig. 2 relate to the empirical and the fitted gaussian distributions, respectively, and their close agreement shows that the gaussian distribution is an excellent approximation to the data. The small reduction in variability (Fig. 2b) is not statistically significant, and is entirely due to changes in the month of December (where the variability was substantially reduced owing to the absence of cold northeasterly weather types).

A conclusive analysis such as that in Fig. 2 is not feasible for summer 2003, as there is only one data point so far off the mean. To quantitatively assess the situation, we have estimated its return

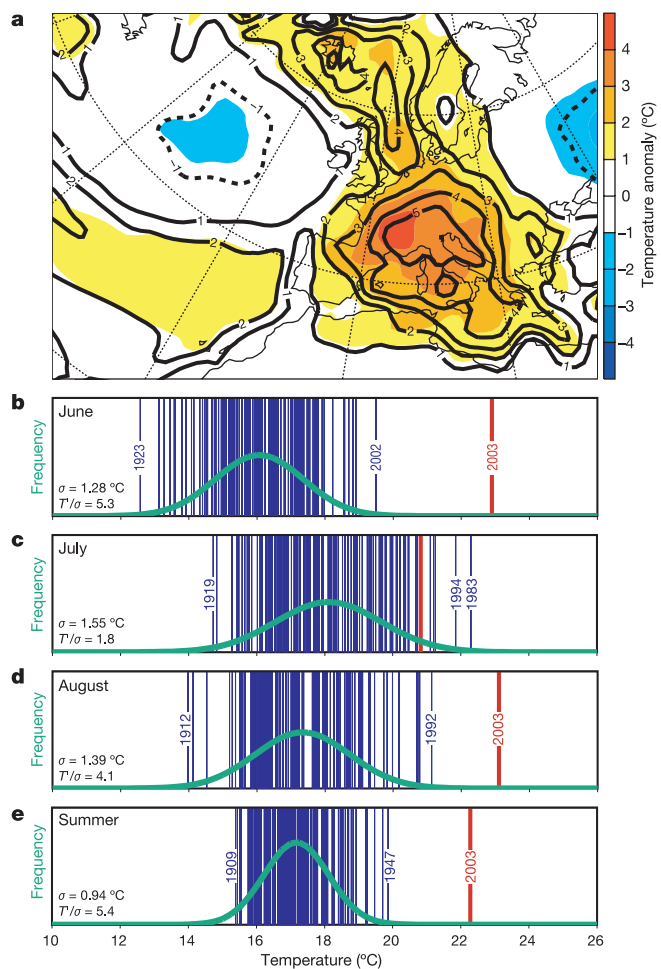


Figure 1 Characteristics of the summer 2003 heatwave. **a**, JJA temperature anomaly with respect to the 1961–90 mean. Colour shading shows temperature anomaly ($^\circ\text{C}$), bold contours display anomalies normalized by the 30-yr standard deviation. **b–e**, Distribution of Swiss monthly and seasonal summer temperatures for 1864–2003. The fitted gaussian distribution is indicated in green. The values in the lower left corner of each panel list the standard deviation (σ) and the 2003 anomaly normalized by the 1864–2000 standard deviation (T'/σ). See Methods section for further details.

period. The return period τ is an estimate of the frequency of a particular event (or its exceedance) based on a stochastic concept. Here we employ a gaussian distribution fitted to JJA temperatures to estimate τ with respect to a selected reference period (see Methods section for details). With respect to the reference period 1864–2000, a return period of several million years is obtained, but such an excessive estimate based on a short series is dubious. To account for the warming in the last decades, we use a more recent reference period 1990–2002 (with $\Delta T = 1.25^\circ\text{C}$ warmer mean temperature, but assuming an unchanged standard deviation). With respect to this climatology, the resulting return period for summer 2003 still amounts to $\tau = 46,000$ yr. The uncertainty of this estimate is considerable, however, and the lower bound of the 90% confidence interval is $\tau = 9,000$ yr.

This large return period should not be overstated, and is here merely used to express the rareness of such an extreme summer with respect to the long-term instrumental series available. In particular, the analysis does not exclude the possibility that such warm summers might have occurred in the more distant historical past, for instance in the Medieval Warm Period¹¹, in 1540^{12,13} or in 1757. It suggests, however, that an event like summer 2003 does not fit

into the gaussian statistics spanned by the observations of the reference period, but might rather be associated with a transient change of the statistical distribution. This interpretation is consistent with the idea that small changes of the statistical distribution can yield pronounced changes in the incidence of extremes^{7,14}.

As a shift of the statistical distribution by the observed mean warming is unable to explain the record-breaking summer 2003, we hypothesize that the heatwave might be due to a change of the distribution's width, representing an increase in year-to-year variability. Support for this hypothesis comes from a regional climate model (RCM) driven by a greenhouse-gas scenario representing 2071–2100 conditions (SCEN). The scenario integration is compared against a control integration covering the period 1961–90 (CTRL). At the lateral boundaries, the RCM is driven by a model chain consisting of two general circulation models (GCMs; see Methods section for details). The use of a high-resolution RCM increases our ability to compare the results against observations. The statistical temperature distribution for CTRL agrees notably well with observations. For the grid point in northern Switzerland, the summer climate is characterized by a temperature mean of $\bar{T} = 16.1^\circ\text{C}$ and a standard deviation of $\sigma = 0.96^\circ\text{C}$, while the long-term characteristics of our temperature series are $\bar{T} = 16.9^\circ\text{C}$ and $\sigma = 0.94^\circ\text{C}$.

Figure 3a, b displays JJA temperatures for the two integrations for a grid point in northern Switzerland. In the SCEN simulation, the distribution is shifted by $\sim 4.6^\circ\text{C}$ towards warmer temperatures. More important, SCEN also exhibits a pronounced widening of its statistical distribution, with the standard deviation increasing by 102%. This widening is statistically highly significant ($P < 1\%$) and

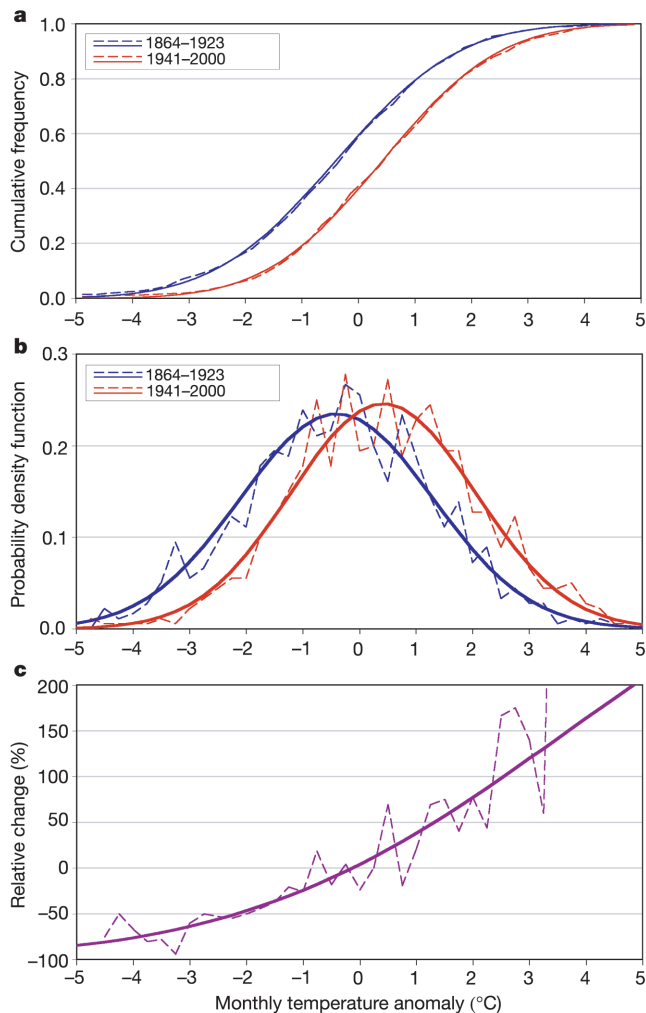


Figure 2 Statistical distribution of Swiss monthly temperature anomalies (compound statistics using January–December monthly data). Data in **a** and **b** are shown for the periods 1864–1923 (blue curves) and 1941–2000 (red curves). Panels show the cumulative frequency distribution (**a**), the probability density function (**b**), and the relative frequency change between the two periods (**c**). Full lines show the fit with the gaussian distribution, dashed lines are obtained from raw data.

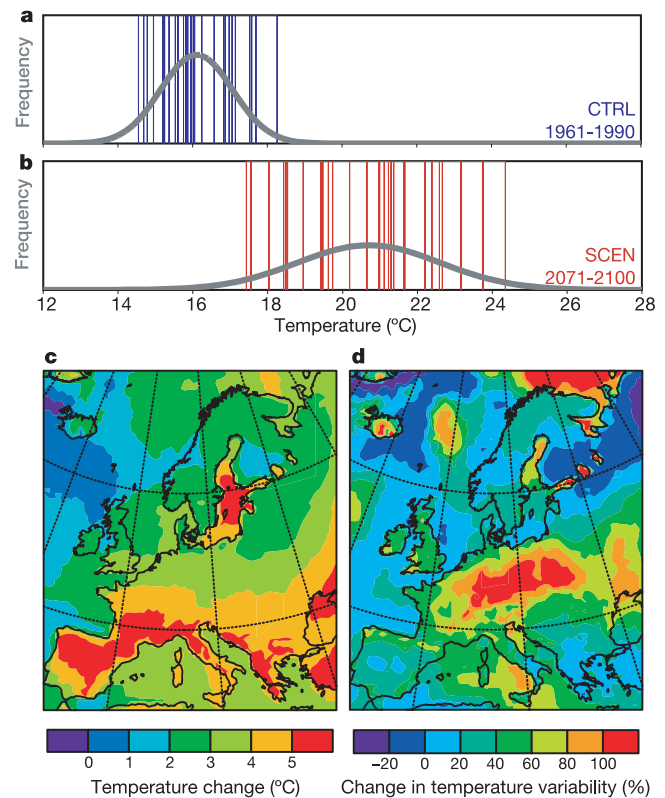


Figure 3 Results from an RCM climate change scenario representing current (CTRL 1961–90) and future (SCEN 2071–2100) conditions. **a**, **b**, Statistical distribution of summer temperatures at a grid point in northern Switzerland for CTRL and SCEN, respectively. **c**, Associated temperature change (SCEN–CTRL, °C). **d**, Change in variability expressed as relative change in standard deviation of JJA means ((SCEN–CTRL)/CTRL, %).

only slightly affected by the transient warming within the two periods (a revised estimate using detrended temperature series yields a somewhat smaller variability increase of 86%). The spatial distribution of the relative increase in variability (Fig. 3d) shows a pronounced signal throughout central and eastern Europe that is not directly linked to the simulated mean temperature change (Fig. 3c). More detailed analysis suggests that the warm summers of SCEN show signs of drought¹⁵, with the semi-arid Mediterranean climate progressing towards central Europe. In SCEN, central Europe is more often (but not always) affected by summer droughts than in CTRL, and this implies an increase in variability. The drought conditions develop in response to large-scale anticyclonic forcing, and they nonlinearly amplify local temperature anomalies. During droughts the net balance of solar and infrared radiation is almost entirely balanced by local heating, while evapotranspiration is suppressed owing to the lack of soil moisture¹⁶. This process may be further amplified by a positive feedback between soil moisture and precipitation^{17,18}.

The sequence of feedbacks involves substantial uncertainties due to large-scale anticyclonic forcing¹⁹, radiation²⁰, soil hydrology²¹ and other processes, which are difficult to represent in climate models. To check on our simulations, we have analysed other GCM

and RCM scenarios of greenhouse-gas conditions, and find that all of these exhibit a substantially increased level of variability over large parts of Europe (we have studied one GCM and four RCM simulations from the PRUDENCE project; <http://prudence.dmi.dk>).

The simulated increase in variability also implies an increase in extremes relative to mean climatic conditions. For illustration, a 50% increase in the standard deviation of our long-term JJA temperature series ($\sigma = 0.94^\circ\text{C}$) would raise the probability of a 2003-like event ($T' = 3.85^\circ\text{C}$ with respect to 1990–2002) by a factor of ~ 150 . For an event with $T' = 5^\circ\text{C}$, it would increase by a factor of $\sim 5,100$. This tremendous sensitivity of extremes to the width of the statistical distribution has led to the statement “variability is more important than averages”¹⁴. A recent increase in variability is thus a plausible hypothesis to explain extreme JJA 2003 conditions. Such a hypothesis would also be compatible with the occurrence of drastically different European summers such as in 2002 and 2003, but at present there are insufficient data to draw any firm conclusions.

To conclude, we address the question of whether the abnormal summer 2003 shows similar characteristics to those simulated in the RCM runs. To this end, summer temperature and precipitation anomalies are displayed against each other, both for the observations (Fig. 4a) and for the climate change simulations (Fig. 4b). Both panels include a data point representing observed JJA 2003 conditions, and the results apply to northern Switzerland. The observed data (Fig. 4a) are based on averages of conventional temperature and precipitation (rain-gauge) observations at the four stations referred to above, while the simulated data (Fig. 4b) are shown for a single grid point roughly corresponding to the location of our long-term series.

Several inferences can be drawn from the analysis. First, both data sets exhibit a similar (statistically significant) relationship between temperature and precipitation anomalies. The regression analysis yields slopes of $-11\%^\circ\text{C}^{-1}$ and $-8.2\%^\circ\text{C}^{-1}$ for the observations and the simulations, respectively. Thus, although there is some underestimation of summer precipitation in CTRL (at the grid point under consideration, by 21%), the simulations credibly represent the observed precipitation sensitivity. Despite a general trend towards drier conditions with increasing temperatures, there is also an increase in the incidence of heavy precipitation events²².

Second, Fig. 4b demonstrates that in terms of temperature and precipitation the climatic conditions in JJA 2003 were not unlike those simulated by SCEN for the period 2071–2100. For northern Switzerland, the 2003 observation is located approximately in the middle of the SCEN data points (Fig. 4b). Thus, the RCM simulations suggest that towards the end of the century—under the given scenario assumptions—about every second summer could be as warm or warmer (and as dry or dryer) than 2003.

Our results demonstrate that the European summer climate might experience a pronounced increase in year-to-year variability in response to greenhouse-gas forcing. Such an increase in variability might be able to explain the unusual European summer 2003, and would strongly affect the incidence of heatwaves and droughts in the future. It would represent a serious challenge to adaptive response strategies designed to cope with climate change. □

Methods

Large-scale analysis of summer 2003

The continental-scale temperature anomaly for JJA 2003 (Fig. 1a) is based on ERA-40 reanalysis data²³ (for 1961–90) and operational meteorological analysis data (for 2003) of the European Centre for Medium-Range Weather Forecasts (ECMWF; see <http://www.ecmwf.int>). Monthly temperatures are computed as means of daily T_{\min} and T_{\max} . Small height differences between the ERA-40 and ECMWF topographies are accounted for by the use of an adiabatic lapse rate (0.6°C per 100 m).

Estimation of return period

The stochastic concept adopted in the estimation of return periods assumes independent, identically distributed JJA temperatures with the underlying distribution being gaussian.

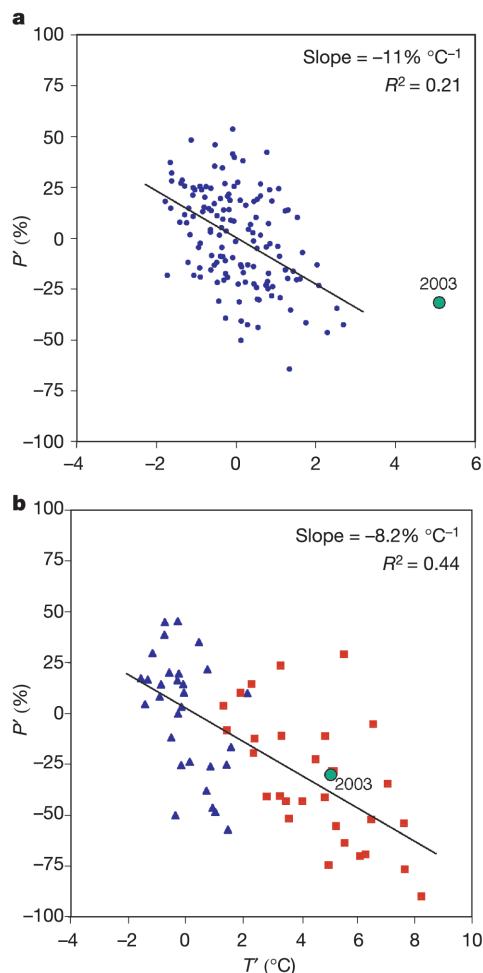


Figure 4 Scatter diagrams showing summer mean temperature and precipitation anomalies for northern Switzerland. **a**, Long-term (1864–2003) station data with respect to 1961–90 means. **b**, Climate change simulations CTRL (1961–90, blue symbols) and SCEN (2071–90, red symbols) with respect to CTRL means. The green symbols show the observations for JJA 2003. The regression lines in **a** and **b** are based on 1864–2002 data and combined CTRL and SCEN data, respectively.

The distribution parameters are estimated from the data of the reference period, using the method of moments (which in the case of a gaussian distribution is identical to maximum-likelihood estimation). The return period of the event (expected frequency of threshold exceedance) is then calculated from the fitted distribution. Confidence bounds of the return period were calculated by parametric resampling. These take into account the uncertainty of the parameter estimates given the finite sample size (that is, the number of summers in the reference period), but not the uncertainty in the underlying stochastic concept. We have also tested whether the data are reasonably gaussian distributed, checking quantile-quantile plots (see also Fig. 2a).

Climate change simulations

The climate change scenario is based on the SRES A2 transient greenhouse-gas scenario as specified by the Intergovernmental Panel on Climate Change (IPCC)²⁴. The scenario computations involve three numerical models: the low-resolution HadCM3 global coupled atmosphere-ocean GCM, the intermediate-resolution HadAM3H atmospheric GCM, and the CHRM limited-area high-resolution RCM. The HadCM3 simulation^{25,26} is a long integration using the observed atmospheric composition for 1859–1990 and scenario conditions for 1991–2100. For the HadAM3H simulation²⁷, two time-slice experiments are available, representing control (1961–90) and scenario (2071–2100) conditions. The former is driven by observed sea surface temperature and sea-ice distributions, while the latter uses the changes from the HadCM3²⁸. The CHRM RCM²⁹ is used with a horizontal resolution of 56 km and 20 levels in the vertical, and is driven at its lateral boundaries by HadAM3H. The CHRM has been validated regarding its ability to represent observed natural interannual variations²⁹. The simulated increase in temperature variability in SCEN is largely determined by the soil hydrology of the model under consideration. For instance, it is substantially smaller in the CHRM than in the driving HadAM3H simulation.

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