The Lifecycle of the North Atlantic Storm Track

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ABSTRACT

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The North Atlantic eddy-driven jet exhibits latitudinal variability, with evidence of three preferred latitudinal locations: south, middle and north. Here we examine the drivers of this variability and the variability of the associated storm track. We investigate the changes in the storm track characteristics for the three jet locations, and propose a mechanism by which enhanced storm track activity, as measured by upstream heat flux, is responsible for cyclical downstream latitudinal shifts in the jet. This mechanism is based on a nonlinear oscillator relationship between the enhanced meridional temperature gradient (and thus 10 baroclinicity) and the meridional high-frequency (periods of shorter than 10 days) eddy heat 11 flux. Such oscillations in baroclinicity and heat flux induce variability in eddy anisotropy 12 which is associated with the changes in the dominant type of wave breaking and a different latitudinal deflection of the jet. Our results suggest that high heat flux is conducive to a northward deflection of the jet, whereas low heat flux is conducive to a more zonal jet. This 15 jet deflecting effect was found to operate most prominently downstream of the storm track 16 maximum, while the storm track and the jet remain anchored at a fixed latitudinal location 17 at the beginning of the storm track. These cyclical changes in storm track characteristics 18 can be viewed as different stages of the storm track's spatio-temporal lifecycle. 19

1. Introduction

A key feature of terrestrial storm tracks is that they are generally accompanied by a deep tropospheric jet primarily driven by the momentum convergence produced by the storm tracks' high-frequency baroclinic eddies (Hoskins et al. 1983). This three dimensional momentum convergence can be visualised using the divergence of the E-vectors (Hoskins et al. 1983). The E-vector indicates the direction of eddy propagation (which is opposite to the direction of the westerly momentum transfer by eddies) and is defined as

$$\mathbf{E} = \left(\overline{v'^2 - u'^2}, -\overline{u'v'}, \frac{f}{\partial \theta / \partial p} \overline{v'\theta'} \propto \overline{v'T'}\right),\tag{1}$$

where the first two terms are the horizontal barotropic components, and the last term is 27 the vertical baroclinic component which is proportional to the lower-level meridional heat 28 flux $(\overline{v'T'})$, referred to hereafter as 'heat flux'). The bar indicates a time average and the 29 prime denotes a perturbation from that average. Hoskins et al. (1983) observe that for highfrequency (with period of 10 days and lower) eddies the E-vectors tend to point upwards at the beginning of the storm track and subsequently become more horizontal and meridionally 32 divergent towards the middle of the storm track. This means that at the beginning of the 33 storm track the eddies transfer momentum to lower levels reducing the vertical wind shear, 34 whereas further downstream the eddies are responsible for a more barotropic variability in 35 the jet. The authors also showed that the two horizontal E-vector components are strongly 36 dependent on eddy anisotropy, such as tilt and aspect ratio. The reader is referred to Hoskins 37 et al. (1983) and Orlanski (1998) for more detailed description of these two components and 38 their relationship with eddy anisotropy. Variability in the eddy anisotropy was further linked 39 to different dominant types of wave breaking by the idealised experiments of Rivière (2009) and Orlanski (2003), corroborating the observations of a northward jet during enhanced anticyclonic wave breaking on the equatorward side of the jet, and a southern jet during enhanced cyclonic wave breaking on the poleward side of the jet (Woollings et al. 2008; Woollings et al. 2010; Franzke et al. 2011).

The above research suggests that transitions between different dominant types of wave 45 breaking are crucial for altering the downstream course of the jet. Anticyclonic wave break-46 ing is dominant on a sphere by default (e.g., Rivière 2009), which can be seen by studying 47 the structure of the terrestrial eddy-driven jets spiralling towards the poles. Several different 48 mechanisms have been suggested for the transitions to the dominance of cyclonic breaking, 49 including increasing the initial cyclonic barotropic shear of the jet (Thorncroft et al. 1993), enhancing the vertical shear in the lower stratosphere (Wittman et al. 2007) and strengthening the lower-level baroclinicity (Namias 1950; Orlanski 2003; Rivière 2009). The latter 52 effect is of interest as recent observational and conceptual studies (for example, Thompson and Birner 2012; Ambaum and Novak 2014 referred to hereafter as 'AN') have suggested that the upstream temperature gradient (and thus baroclinicity) is considerably reduced and 55 replenished in time due to the fluctuations in the storm track activity itself. AN suggest a 56 mechanism whereby a diabatic forcing (such as land-sea contrast, possibly enhanced by the 57 presence of a western boundary current and orography (Brayshaw et al. 2011)) continuously 58 increases baroclinicity which provides favorable conditions for eddy growth leading to high 59 storm track activity. As the storm track activity increases substantially, the baroclinicity 60 starts to be eroded due to the vigorous mixing of the temperature gradients by eddies. Once 61 baroclinicity reaches very low levels, further production of new eddies becomes limited. The 62 resultant low storm track activity then allows the baroclinicity to increase again due to the 63 diabatic forcing and the cycle repeats. This nonlinear relationship results in an oscillatory behavior which is concealed in the time-mean picture and may not be initially obvious from 65 the apparently unpredictable and chaotic behavior of the system. We use the above reasoning to hypothesise that this cyclical variability in the storm track activity and baroclinicity 67 should have a dual role in modifying the North Atlantic jet. The first upstream role is the 68 mixing of temperature gradients by storm track activity leading to a fluctuating vertical 69 shear (by thermal wind balance). The second downstream role is that the cyclical varia-70 tions in the upstream storm track activity cause a different wave breaking type to dominate,

inducing latitudinal shifts in the downstream jet.

In order to test these hypotheses linking different properties of the storm track to the latitudinal variability in the jet, we need some observational characterization of the latter. Recently, Woollings et al. (2010) and Franzke et al. (2011) demonstrated, based on the analysis of lower-level wind maxima in the ERA-40 reanalysis data, that the latitudinal variations of the eddy-driven jet in the North Atlantic region could be partitioned into three 'persistent' and 'recurrent' regimes, labelled south (S), middle (M) and north (N) regimes. As these regimes conveniently characterise the latitudinal variability of the eddy-driven jet, they are adopted here to study the spatio-temporal variability of the storm track and flow characteristics.

Section 2 investigates the direct effect of storm track activity variations during the jet 82 regimes on baroclinicity and the associated baroclinic jet structure. Section 3 then explores 83 the downstream effect of the upstream storm track activity and baroclinicity variations on the 84 eddy structure, the associated horizontal E-vector components and the barotropic deflection 85 of the jet. Section 4 combines these findings and reveals a sequence of different stages of 86 a cyclic evolution, a lifecycle, of the storm track in space and time. We propose that this 87 lifecycle is associated with a latitudinally-fixed upstream pulsation of storm track activity that drives downstream shifts in the latitude of the storm track and the associated jet. A discussion of the transition to lower-frequency timescales that leads to this lifecycle, as well as the extent to which this mechanism is local, is also provided in section 4, along with concluding remarks.

32. Upstream Baroclinic Effect

The analyses carried out in this and the following sections are all based on the dailyaveraged DJF data from the ERA-40 (1957-2002) reanalysis dataset (as per Uppala et al.
Throughout this study, we use Frame et al.'s (2011) K-means clustering of the

latitudinal jet profiles (zonally and vertically averaged between 0 and 60°W and between 700 and 925 hPa) to partition the data into the three jet regimes. Note that since the eddy-driven jet is deep, the lower-level zonal wind is used to identify its location and separate it from the shallow subtropical jet. This partitioning method is slightly different to that of Woollings et al. (2010) and Franzke et al. (2011), who used partitioning based on the latitudinal variability of the maximum low-frequency zonal wind. The former method was preferred because it does not require the large-scale flow variability to be based on low-frequency filtering, and because it is more robust when applied to different datasets (Frame et al. 2011). This partition method yields 1174 occurrences in the S regime, 1521 in the M regime and 1355 in the N regime. The reader is referred to Frame et al.'s (2011) study for further details of this partitioning method.

We used meridional heat flux and the maximum Eady growth rate to investigate the variability in storm track activity and baroclinicity (related to the meridional temperature gradient), respectively. The meridional heat flux, calculated using perturbations from a 10-day low-pass running mean based on Duchon's (1989) Lanczos filter of vertically averaged (between 700 and 925 hPa) meridional velocity and temperature, was used to represent the storm track activity that is associated with high-frequency eddies (Lorenz and Hartmann 2002). The maximum Eady growth rate at 775 hPa (σ) , based on vertical zonal wind shear and a variable static stability parameter (as in James 1994), is defined as:

$$\sigma = 0.31 \frac{f}{N} \frac{\partial u}{\partial Z},\tag{2}$$

where f is the Coriolis parameter, N is the static stability parameter and Z is the geopotential height. The static stability parameter for dry atmosphere was used as most of the variance across the regimes was found to be associated with changes in wind shear.

The partitioned heat flux and baroclinicity were then averaged for each jet regime to produce three composites (Fig. 1). Neither the region of maximum heat flux nor the region of enhanced baroclinicity move latitudinally with the jet to any significant extent until the very downstream end of the storm track. This latitudinal confinement is displayed more explicitly

in Fig. 2. In terms of intensity, these two quantities are clearly not proportional to each other as may be suggested by the time-mean picture discussed in many studies (e.g., Hoskins and Valdes 1990; Orlanski 1998). Instead, the heat flux intensity increases with the jet's latitude while the baroclinicity is most intense during the M regime and least intense during the N regime, as is evident from Fig. 2. Note that in Fig 2a, the relative angular momentum represents latitudinally weighted lower-level zonal wind $(r = ua\cos\phi)$. In terms of the variability within the regimes, a closer inspection of the probability distribution functions (PDFs) of baroclinicity (not shown) and heat flux (investigated in the next section) has revealed that although all the regimes exhibit a wide range of both baroclinicity and heat flux values, the above variability between the regimes is still apparent and is not caused by sampling issues. Note that there is very little variability in both heat flux and baroclinicity outside of the North Atlantic region. This aspect will be investigated further in the next section.

Franzke et al. (2011) propose that the preferred transitions between the jet regimes are from M to N, N to S and S to M regimes. Assuming this sequence of transitions, the above temporal relationship between heat flux and baroclinicity is reminiscent of that proposed by AN that was described in the introduction. In AN's study a large but short-lived (lasting approximately 2 days) heat flux event erodes baroclinicity, which eventually limits further baroclinic instability and the associated heat flux growth. The reduced heat flux then allows the continuous replenishment of baroclinicity by diabatic forcings to dominate until heat flux starts to increase again and the cycle repeats. We suggest that this nonlinear oscillator model can also assist in the interpretation of the variability of heat flux and baroclinicity on the longer timescale of the jet regimes. This issue of timescales will be further addressed in the next section. An additional difference is that while AN used the unfiltered v'T' to study short-lived spike-like heat flux events, here we are using its time-filtered value, $\overline{v'T'}$. The latter is proportional to the vertical **E**-vector component and can therefore be easily related to the existing theoretical frameworks. The time-filtered heat flux can be viewed

as an accumulation of smaller heat flux events or one particularly large event (this will be 150 shown in the next section). We therefore propose a mechanism whereby explosive rapidly 151 deepening cyclones are initiated during the M regime due to its high baroclinicity (Sanders 152 and Gyakum 1980). The cyclones then develop further during the N regime while mixing 153 the temperature gradients and therefore reducing baroclinicity to very low values. This 154 is followed by a recovery of baroclinicity during the S regime when the eddy activity is 155 limited (Rivière and Orlanski 2007). Similar mechanism, whereby slow-moving waves are associated with the strengthening and relaxation of the meridional temperature gradient 157 (i.e. baroclinicity) in the storm track region, has been linked to the fluctuations in the zonal 158 wind index in Namias' (1950) study. In the next section, we are interested in whether this nonlinear oscillator mechanism can help to explain the existence of the jet regimes and the 160 transitions between them. 161

3. Downstream Barotropic Effect

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Rivière's (2009) and Orlanski's (2003) studies suggest that variations in baroclinicity can induce variations in eddy anisotropy and different dominant types of wave breaking that lead to shifts in the jet latitude. This section tests whether variations in these eddy and jet characteristics can be observed during the jet regimes and whether the above nonlinear oscillatory relationship between baroclinicity and heat flux can explain the preferred cycling between the jet regimes.

Orlanski's (2003) study proposes that anticyclonic breaking is dominant if the cyclonic eddies are more southwest-northeast (SW-NE) tilted and meridionally elongated, while cyclonic breaking is more characteristic of rounder cyclonic eddies tilted in the southeast-northwest (SE-NW) direction. Different types of wave breaking were thus identified here using Ertel PV on the 315 K isentrope, eddy tilt (α) and aspect ratio (ϵ) along the rotated coordinates relative to the eddy tilt. The two latter quantities were calculated for the 250 hPa level as

per James (1994):

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$$\alpha = \frac{1}{2} \tan^{-1} \left(\frac{2\overline{u'v'}}{\overline{v'^2} - \overline{u'^2}} \right), \tag{3}$$

 $\epsilon = \frac{\overline{(u'\sin\alpha + v'\cos\alpha)^2}}{\overline{(u'\cos\alpha - v'\sin\alpha)^2}},\tag{4}$

where the eddy tilt represents the angle between the minor axis of a meridionally elongated eddy and the circle of latitude, with positive values representing a SW-NE eddy tilt. The eddy aspect ratio represents the extent to which the meridionally elongated eddies are stretched and is a ratio between their major and minor axes.

As expected, Fig. 3 shows that eddies exhibit a SW-NE tilt (indicative of anticyclonic breaking) on the equatorward side and a SE-NW tilt (indicative of cyclonic breaking) on the poleward side of the storm track region, as the eddies supply momentum towards the jet core and accelerate it. However, it is clear that the SW-NE tilting of the N regime is most spatially extensive, especially in the eastern North Atlantic. The tilting patterns of the S and M regimes are more latitudinally constrained across the basin with the SE-NW tilting being more extensive during the S regime. The aspect ratio anomaly maximum moves more northwest towards the center of the storm track with increasing jet latitude. It is thus likely that the low baroclinicity of the N regime is not sufficient to maintain coherent eddies, leading to eddy deformation and breaking further upstream. The high eddy stretching and extensive SW-NE tilting in the N regime are indicative of anticyclonic breaking. Conversely, in the M regime the eddies are less stretched in the region of the most intense storm track activity and baroclinicity, only beginning to deform considerably further downstream. The S regime exhibits even less stretched and more coherent eddies within the most intense part of the storm track as baroclinicity is being replenished in the absence of strong eddy mixing (as is evident from the low heat flux values). The reduced stretching and relatively extensive SE-NW tilting are indicative of cyclonic breaking. These patterns of eddy anisotropy therefore indicate that the N regime experiences anticyclonic breaking further upstream and more extensively than the other two regimes. The S regime has the most dominant cyclonic component with the two types of tilting being most symmetric about the latitude circle.

The M regime appears to be strongly influenced by both types of wave breaking. These patterns are consistent with those found by Franzke et al. (2011).

In support of these interpretations, the large-scale flow pattern is shown in Fig. 4 us-204 ing composites of the upper-level Ertel potential vorticity (PV) distribution and the zonal 205 wind anomalies from the climatological mean at upper levels (250 hPa, therefore including 206 anomalies associated with the subtropical jet) for the three regimes. The median was used 207 for the PV composites instead of the mean so as to retain the sharp PV gradient, making the 208 composites more structurally correct. The 2 PVU line represents the dynamical tropopause 209 and the sharpest PV gradient indicates the location of the upper-level jet. The S regime ex-210 hibits a fairly zonal jet and a strong easterly wind anomaly north of the jet. This indicates a 211 strong trough over the western Atlantic with the ridge in the eastern Atlantic being stretched 212 in the SE-NW direction relative to the latitude circle towards Greenland (corresponding to 213 cyclonic wave breaking). On the other hand, the N regime exhibits a split flow and a more 214 pronounced ridge downstream of the storm track, which tilts the trough-ridge structure in 215 the opposite direction (corresponding to anticyclonic wave breaking). This figure also shows 216 that PV patterns vary only weakly outside of the North Atlantic region, indicating statistical 217 robustness of our results as well as the fact that the far upstream flow is not systematically 218 linked to the persistence of these regimes. This is shown more quantitatively in tables 1 219 and 2, which show the spatial correlation scores (calculated as per von Storch and Zwiers 220 1999) for PV anomalies (from the climatological mean) in the Atlantic (0-80°W and 30-221 70°N) and Pacific (140-230°E and 30-70°N) sectors of the composites in Fig. 4. Similar and 222 more pronounced patterns have been observed in the lower-level geopotential thickness (in 223 Fig. 1 of the Supplemental Material at INSERT ADDRESS HERE) and absolute vorticity 224 distributions (not shown), the latter of which additionally indicates both types of breaking 225 during the M regime. 226

Having established that the jet regimes do exhibit different eddy anisotropy and different dominant types of wave breaking, it is of interest to investigate whether these changes in eddy characteristics lead to the jet shifts, rather than just resulting from them. As mentioned in the introduction, the horizontal E-vector components usefully indicate the eddies' barotropic influence on the mean flow and they can both be involved in shifting the jet. By the Evector definition (Eq. 1), both components are dependent on eddy tilt and aspect ratio (Hoskins et al. 1983; Orlanski 1998). This dependence, however, is not the same for the two components. For example, the zonal component can be altered considerably by meridional stretching and zonal thinning of an eddy, while the meridional component remains largely unchanged by the form of eddy decay (Orlanski 1998). It was shown by Orlanski (1998) that the meridional E-vector component denotes the negative meridional momentum flux and its divergence indicates flow acceleration (or latitudinal deviation if the divergence is not symmetrical about the jet axis), while the zonal component promotes a quadrupole structure in the flow. Combining the averages of these two components organises the flow into a structure reminiscent of the time-mean trough-ridge pattern observed above both the Atlantic and Pacific ocean basins (Orlanski 1998). Not only the divergence of these two components but also their relative magnitude is therefore important for determining the deflection of the jet.

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The composites of the E-vector components averaged for the jet regimes are shown in Fig. 5. The meridional momentum convergence (indicating the location of the jet axis) is also displayed. Using Orlanski's (1998) theory, the large zonal component and the relatively large northward momentum flux of the N regime imply a northward deflection of the jet, as the trough-ridge structure becomes more pronounced. The meridional momentum convergence is relatively small and peaks at the beginning of the storm track, indicating a low jet speed downstream of the storm track during this regime. The M regime has a weaker zonal component but stronger poleward momentum flux compared to the N regime. However, Orlanski (1998) finds that the zonal component is more effective at deflecting the jet north

in the North Atlantic, which would explain why the jet is at a lower latitude in the M 254 regime than during the N regime. The M regime also exhibits a large convergence of the 255 meridional momentum towards the downstream end of the storm track, resulting in strong 256 flow acceleration there, as observed. During the S regime both the zonal component and 257 the poleward momentum flux are relatively small and more zonally symmetrical along the 258 latitude circle compared to the other two regimes, resulting in a relatively zonal jet. These 259 inferences concur with direct observations of the jet from the reanalysis data, implying that 260 the eddies are, at least to some extent, responsible for this variability of the jet latitude and 261 intensity. 262

The above results suggest that through an upstream effect of reducing the baroclinicity, variations in heat flux induce changes in eddy properties that steer the jet to different latitudes further downstream. To confirm this directly, the PDF of the heat flux was split into the three jet regimes (Fig. 6). It is apparent that the S regime is most dominant when the heat flux is low and the N regime is most dominant when the heat flux is high.

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To investigate whether this apparent relationship between the upstream heat flux and 268 the downstream jet can be used to indicate the jet deflection from the heat flux values 269 alone, the heat flux PDF was further divided into terciles, which were then used to split 270 the timeseries of the lower-level latitudinal profiles of the downstream jet (as in Fig. 2). 271 Averaging the profiles of each heat flux tercile produces three profile composites, as shown 272 in Fig. 7. The highest heat flux tercile yields the most northern jet, whereas the lowest 273 tercile yields the most southern jet. The differences between the jet latitudes are not as 274 extreme as those defining the jet regimes (Fig. 2a). This is, however, expected since the 275 latter was partitioned optimally to show the latitudinal deviations of the jet. Additionally, 276 partitioning the PDF into terciles is not wholly representative of the frequency at which the 277 jet regimes occur. In reality, the M regime is found to be most common while the N regime 278 is found to be least common (Franzke et al. 2011). It can nevertheless be concluded that 279 heat flux has a strong downstream barotropic influence on the jet's latitudinal position. 280

This analysis was repeated using a 5-day cut-off Lanczos filter to define eddies. Although the results were similar, the equivalent figure to Fig. 7 (not shown) showed a less well defined separation between the zonal wind profiles, with the profiles of the high and middle terciles almost merging at the same latitude. This corroborates Rivière and Orlanski's (2007) findings that the intermediate-frequency (with a period between 5 and 12 days) synoptic eddies are strongly associated with anticyclonic breaking and therefore northward deflection of the jet, so that their removal leads to a less well defined northward jet deflection.

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A better understanding of the extent to which heat flux affects the downstream behavior 288 of the flow can be achieved by comparing the flow observed during the jet regimes and that 289 observed for the heat flux terciles. Fig. 8 shows composites of stream function anomalies 290 from the climatological mean averaged for the three respective regimes and heat flux terciles. 291 As expected from the literature (e.g. Woollings et al. 2010), the jet regime composites show 292 similar patterns to the teleconnections. Although somewhat weaker, the heat flux terciles also 293 produce meridionally oriented barotropic patterns very similar to those of the jet regimes. 294 Pattern correlation scores (calculated as per von Storch and Zwiers 1999) are shown in 295 tables 3 and 4 for a more quantitative comparison. The S jet regime therefore corresponds 296 to the lowest heat flux tercile, the M regime to the middle heat flux tercile and the N regime 297 corresponds to the highest heat flux tercile. The similarity between the S regime and the low 298 heat flux tercile is strongest. This can be explained by inspecting the heat flux PDF (Fig. 299 6), which sugests that low values are almost entirely dominated by the S regime whereas in 300 the higher part of the PDF, the N regime dominates but the M regime is also common. In 301 addition to this, the stream function patterns in the M and N regimes are more strongly 302 anticorrelated with the S regime than with each other (not shown). This agrees with the 303 finding of Woollings et al. (2010) that while the S regime resembles the negative phase of 304 the NAO, the other two regimes both weakly project onto the positive phase of the NAO. 305 This implies that the S regime is more separable from the other two regimes. Furthermore, 306 the partition into heat flux terciles is not fully representative of the frequency at which the 307

regimes occur and could therefore be another source of the M and N regimes not being as strongly correlated with the their respective heat flux terciles. The upper-level PV and absolute vorticity composites equivalent to Fig. 8 (not shown) also revealed a considerable similarity between the jet regimes and heat flux terciles.

It is worth noting that using the unfiltered v'T' (as used in AN) to partition the time-312 series into heat flux terciles yields stream function anomaly composites that produce zonally oriented baroclinic wavetrains (not shown). This implies that the transition from baroclinic 314 to barotropic flow structures is associated with a transition to lower-frequency variability. 315 In other words, while the reduction in the baroclinicity (and thus wind shear) may promptly 316 respond to individual v'T' events, as shown by AN, the barotropic effect significantly shifting 317 the jet's latitude operates predominantly at lower frequencies of the filtered heat flux $(\overline{v'T'})$. 318 In support of this, latitudinal profile composites of the lower-level jet (averaged between 319 700 and 925 hPa and between 0 and 30°W) centered around the high peaks (30 k m $\rm s^{-1}$ 320 or higher) in both v'T' and $\overline{v'T'}$ were plotted, along with the composites of heat flux and 321 baroclinicity, in Fig. 9. Both cases exhibit a similar behaviour of heat flux and baroclinicity. 322 Consistent with AN's mechanism, the build up of baroclinicity is shortly followed by a rapid 323 increase in the heat flux. Following this substantial increase in the heat flux the baroclinicity 324 falls to low values. Consequently, the heat flux is reduced and the baroclinicity increases 325 again. It is apparent, however, that this oscillation cycle of heat flux and baroclinicity in 326 the $\overline{v'T'}$ -centered composite operates on a longer timescale. It is also evident from the figure 327 that only a small change in the jet latitude can be observed for the v'T'-centered composite 328 following the short-term dip (of less than 3 days) in baroclinicity, whereas the jet was found 329 to move north by approximately 5° a day after the peak in $\overline{v'T'}$ and a longer term dip (of 330 approximately 6 days) in baroclinicity. 331

In the previous section we noted that the filtered heat flux is composed of an accumulation of v'T' events or one large event. To test this we examined all of the 10-day windows that were used to produce Fig. 9b using a simple algorithm. For each window, this algorithm

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uses a 1-2-1 filter to smooth v'T' and then counts all peaks in the smoothed v'T' that are 335 higher than the maximum value of the $\overline{v'T'}$ in that window. It additionally neglects the 336 marginal points of days -5, -4, 4 and 5. Although it is difficult to define an individual spike 337 unequivocally, visual inspection confirmed that this is a reasonable method of counting the 338 major spikes. The results are shown in table 5. It is apparent that over a half of the $\overline{v'T'}$ 339 peaks are composed of 2-v'T'-spike events and about a quarter is composed of 1-v'T'-spike events. The rest is mostly 3-v'T'-spike events. We also plotted the equivalent of Fig. 9b for the 1-spike, 2-spike and 3-spike events (not shown) and found that they all yield a similar 342 northward shift in the jet. This implies that even one strong storm is capable of shifting the jet. Inspection of the v'T' PDF (not shown) revealed that such high v'T' spikes are common 344 in both the M and N regimes but are more frequent during the latter regime. 345

This section suggests that the cycling between the jet regimes is, at least to some extent, 346 a result of the longer-term effect of the nonlinear equilibration of zonally-oriented synoptic 347 baroclinic eddies. These eddies cumulatively give rise to meridionally oriented patterns (as 348 suggested by Benedict et al. 2004), similar to those in Hannachi et al. (2012) which represent 349 different phases of the North Atlantic Oscillation (NAO) and the East Atlantic Oscillation 350 (EA). This concurs with the results of Athanasiadis and Ambaum (2009), which suggest that 351 the only way synoptic eddies (associated with propagating wavetrains across the hemisphere) 352 can contribute to teleconnections is through interaction with lower-frequency waves. The jet 353 regime and heat flux tercile sets are not identical, but it can be concluded that high heat flux events are associated with a more northern shift of the jet, whereas low heat flux events 355 are associated with a southern shift of the jet. 356

4. Discussion and Conclusions

The results of this study suggest that variations in storm track activity (in particular lower-level meridional heat flux) during the North Atlantic jet regimes have a dual effect on

the jet characteristics: a direct upstream baroclinic effect that strengthens and weakens the jet's wind shear (and thus baroclinicity), and a downstream barotropic effect that results in shifts in the jet's latitude and intensity.

The upstream effect is not in a steady state, but oscillates due to a nonlinear oscillator relationship between the heat flux and lower-level baroclinicity, as proposed by AN. On longer timescales of the filtered heat flux, this relationship yields preferred transitions between the jet regimes that are from M to N, N to S and S to M (as suggested by Franzke et al. 2011). High baroclinicity during the M regime produces high storm track activity. These storms will develop further and mix the temperature gradients and thus reduce baroclinicity, corresponding to the N regime. The storm track activity will eventually weaken as the low baroclinicity can no longer sustain it. This corresponds to the S regime with low storm track activity and a replenishing baroclinicity. Further increases in baroclinicity will lead to further increases in storm track activity and the cycle repeats.

The downstream effect of the storm track activity variability on the jet is closely dependent on the upstream effect and is based on the idea that different values in baroclinicity may induce different eddy anisotropy and wave breaking that would result in latitudinal shifts in the jet (Orlanski 2003). We therefore studied the eddy anisotropy, PV distribution and E-vectors to test whether this mechanism is responsible for the changing jet characteristics during the jet regimes. We found that the N regime is most dominated by anticyclonic wave breaking and the S regime experiences most extensive cyclonic wave breaking, with the M regime exhibiting a strong influence of both wave breaking types. These patterns are consistent with what would be expected from the observed baroclinicity patterns according to Orlanski's (2003) theory. The E-vectors further show that high-frequency eddies are at least to some extent responsible for these large scale patterns and latitudinal jet deflection during the regimes.

The above cyclical spatio-temporal changes in eddy properties, propagation and breaking during the jet regimes can be viewed as the lifecycle of the storm track. The different stages

of this lifecycle can be described as follows. Baroclinicity is continuously replenished by 387 diabatic forcing. When the storm track activity is low, the baroclinicity will increase and 388 start to form round and coherent eddies. This will contribute to the strengthening of the large 389 scale trough over the west Atlantic inducing large scale cyclonic wave breaking (associated 390 with an anticyclonic anomaly over Greenland and a cyclonic anomaly over the storm track), 391 which will steer the jet south (S regime). Further increases of baroclinicity will further 392 enhance cyclonic breaking. However, at the same time eddies will become more vigorous 393 and propagate further downstream. As a result of this, the influence of the cyclonic breaking 394 on the poleward side of the jet is overridden by the influence of the increased anticyclonic 395 breaking on the equatorward side of the jet, due to the enhanced eddy deformation. The 396 resultant jet position is slightly more poleward (M regime). In the next stage, eddy activity 397 becomes so large that the eddy mixing rapidly reduces the baroclinicity, overriding the 398 replenishing effect of the diabatic forcing. The reduced baroclinicity inhibits the production 399 of new storms and enables the eddies to deform further upstream. The anticyclonic breaking 400 starts to dominate as the large-scale ridge in the east Atlantic strengthens (and induces an 401 anticyclonic anomaly over the end of the storm track and a low over Greenland), steering the 402 jet further poleward (N regime). Once the remaining eddy activity decays, the baroclinicity 403 can be replenished by the diabatic forcing again (S regime) and the cycle repeats. 404

Because the downstream effect is dependent on the upstream effect, both effects will oscillate in time. However, these oscillations do not correlate completely due to their inherently different timescales. The upstream erosion of baroclinicity by high heat flux events occurs almost immediately (as shown in AN), resulting in high-frequency correlated variability in both variables. This study demonstrated that an accumulation of such heat flux events also results in lower-frequency oscillations of both heat flux and baroclinicity, which have an approximately weekly timescale that is similar to that of the jet regimes. While we found that a short-term heat flux event is only followed by a slight shift in the jet latitude, this shift is significantly magnified when an accumulation of such events (or a particularly large one)

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precedes it. It has been found that a dominant type of wave breaking can persist for longer than an individual eddy, thereby enabling a transition from high- to low-frequency variability (Benedict et al. 2004). This may explain why the downstream effect of shifting the jet is much more prominent on the longer timescales, while the nonlinear oscillator relationship between heat flux and baroclinicity operates on both short and long timescales.

As hinted upon in Section 3 and confirmed in previous studies (e.g., Woollings et al. 419 2010), the jet regimes are related to the teleconnection patterns, such as the NAO and EA. 420 While teleconnections are not the focus of this paper, it is useful to compare our results to the 421 existing literature to strengthen the validity of our conclusions. For example, Pinto et al.'s 422 (2009) analysis of cyclone 'Daria' shows that its onset in the western Atlantic was shortly 423 followed by an increase in the NAO index which translates to a northern shift of the jet. 424 Several days later the NAO index decreased as the storm left the upstream region, reflecting 425 the characteristics of the S regime. Similarly, Woollings et al. (2011) find an increase in 426 eddy activity in situ immediately before the onset of enhanced anticyclonic upper-level wave 427 breaking (i.e., northern shift in the jet), without the need of preconditioned flow from the 428 Pacific. In addition, Mailier et al. (2006) emphasize that there is a strong link between 429 teleconnections and clustering of extratropical cyclones, which is associated with changing 430 values of baroclinicity. Further support comes from Feldstein (2003), who suggests that 431 high-frequency eddies are essential for driving low-frequency patterns, such as the NAO. 432 Similarly, Athanasiadis and Ambaum (2010) showed that high-frequency eddies contribute 433 to teleconnection tendencies by a nonlinear transfer from high to low frequencies. All the 434 above studies confirm our conclusion that local variability of high-frequency eddy activity 435 induces lower-frequency variability in the downstream jet. 436

Throughout this study we suggest that the cyclic behavior of the storm track is a purely local phenomenon. However, it is clear (for example, from the timeseries in Fig. 4 of AN) that these storm track lifecycles are irregular and that other sources of variability are present. It is inevitable that, unlike in AN's simplified model, the diabatic heating that replenishes the

region of enhanced baroclinicity will vary on many timescales. Furthermore, as suggested in 441 the introduction, there are other mechanisms (other than modifying baroclinicity) that can 442 induce transitions between different types of wave breaking and therefore cause latitudinal 443 shifts in the jet. For example, several studies (for instance, Thorncroft et al. 1993; Franzke 444 et al. 2004; Rivière and Orlanski 2007; Pinto et al. 2011) suggest that preconditioning the 445 flow with barotropic shear can play a significant role in determining the polarity of the 446 NAO index (and thus the jet latitude). This study, however, only reveals a weak variability 447 outside of the North Atlantic basin during the jet regimes, which would imply that the North 448 Atlantic and North Pacific jets are not strongly linked on the timescales of the jet regimes (as found, for example, by Blackmon et al. 1984, Ambaum et al. 2001). We speculate that 450 while high-frequency eddies propagate across the hemisphere as zonally-oriented wavetrains 451 (for example, Gerber and Vallis 2007), their enhancement and shaping across the North 452 Atlantic basin is a local phenomenon (Chang et al. 2002) that will affect local patterns 453 of teleconnections and thus induce lower frequency variations in the local jet's latitude. 454 It is nevertheless still possible that the averaging methods employed in this investigation 455 obscured some external variability outside of the North Atlantic. In addition, while Wittman 456 et al. (2004) conclude that stratospheric changes yield a relatively small response of the 457 tropospheric flow, they note that constant exposure during several baroclinic lifecycles may 458 produce a more significant tropospheric response. This aspect was not studied here and 459 requires further attention. 460

In terms of broader applicability, the anomalous spikes in heat flux can be observed for all terrestrial storm tracks (Messori and Czaja 2013), but not all exhibit the observed trimodal fluctuations in jet latitude (Woollings et al. 2010). For example, the Pacific-North American pattern is largely dominated by the pulsation of the jet rather than the latitudinal shifts, as a consequence of the stationary eddies being dominant (Franzke and Feldstein 2005). It is possible that these fluctuations in jet intensity in the Pacific region are also a result of baroclinicity erosion by heat flux. The two storm tracks do not appear to be significantly

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correlated, meaning that different timescales would apply. Woollings et al. (2010) find that 468 the latitudinal PDFs of the jets in the South Pacific and central North Pacific both exhibit 469 a bimodal structure, corresponding to whether or not the eddy-driven jet is merged with 470 the subtropical jet. They associated the trimodal structure of the North Atlantic jet to the 471 stationary wave configuration that tilts the storm track (and the jet) more polewards than in 472 any of the other regions. It is therefore possible that while the organization into flow regimes is a general characteristic of all storm tracks, the relatively weak subtropical jet over the 474 North Atlantic and the stationary wave forcing allow the North Atlantic jet to exhibit a more 475 complex latitudinal variability due to transient eddies. Additional investigation of the other 476 two storm tracks may separate the individual roles played by stationary and transient eddies, 477 and determine more generally their relative contribution to the spatio-temporal lifecycle of 478 the storm track. 479

Acknowledgments.

Lenka Novak is supported by the U.K. Natural Environment Research Council [grant number NE/K500860/1]. We thank T. Frame for providing the jet regime classification dataset and J. G. Pinto for his comments on an earlier draft of this paper.

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		M	N
S	1.00	-0.42	-0.27
Μ	-0.42	1.00	0.16
N	-0.27	0.16	1.00

	S	${ m M}$	N
\overline{S}	1.00	0.95	0.88
M	0.95	1.00	0.92
N	0.88	0.92	1.00

Table 1. Pattern correlation scores for the PV anomaly for the S, M and N jet regimes for the Atlantic sector.

Table 2. Pattern correlation scores for the PV anomaly for the S, M and N jet regimes for the Pacific sector.

	low	mid	high
S	0.83	-0.58	-0.79
Μ	-0.37	0.57	0.32
N	-0.61	0.13	0.62

	low	mid	high
S	0.74	-0.27	-0.64
Μ	-0.25	0.41	0.11
N	-0.57	-0.07	0.60

Table 3. Correlation scores for the 850 hPa streamfunction anomaly of the patterns in Fig. 8 for the S, M and N jet regimes and low, mid and high $\overline{v'T'}$ terciles.

Table 4. Correlation scores for the 250 hPa streamfunction anomaly of the patterns in Fig. 8 for the S, M and N jet regimes and low, mid and high $\overline{v'T'}$ terciles.

1 spike	2 spikes	3 spikes	4 spikes
33	73	25	2

Table 5. Count of $\overline{v'T'}$ events over 30 K m s⁻¹ that were composed of 1, 2, 3 and 4 v'T' spikes over that value. More detail of the method employed to obtain these counts is given in the text.

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584		at INSERT ADDRESS HERE for a color version of these composites and the
585		associated 1000-500 hPa thickness and thickness anomaly fields.

Composites of latitudinal profiles of the lower-level relative angular momentum (a, in m² s⁻¹ and averaged between 0 and 30°W), heat flux (b, in K m s⁻¹ and averaged between 40 and 70°W) and baroclinicity (c, in day⁻¹ and averaged between 30 and 90°W) for the S (dotted), M (dashed) and N (solid) regimes.

Composites of the upper-level (250 hPa) eddy aspect ratio anomaly (computed by dividing the aspect ratio by its climatological mean) for the S (a), M (b) and N (c) regimes, and the upper-level (250 hPa) eddy tilt for the S (d), M (e) and N (f) regimes. The black solid contours show the location of the storm track (measured by heat flux as in Fig. 1) and the dashed black line marks the 0° tilt contour. Please see Supplemental Material at INSERT ADDRESS HERE for a color version of these composites and the associated stream function anomaly field.

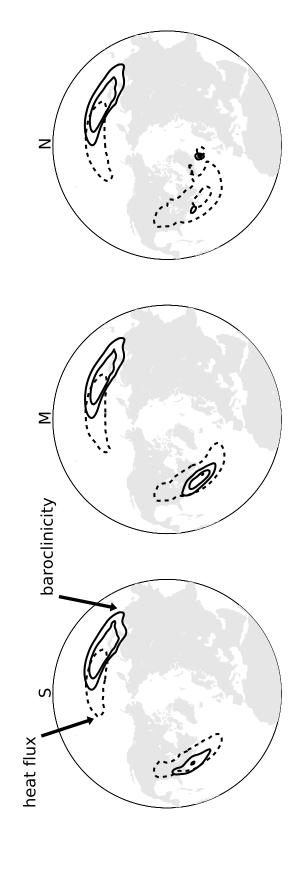
The composites (medians) of Ertel PV on the 315 K isentrope for the S, M and N regimes. Contours have an interval of 1 PVU and the thick line marks the 2 PVU contour. The shading represents upper-level (250 hPa) zonal wind anomalies from the climatological mean (light shading: 5 m s⁻¹ and above; dark shading: -5 m s⁻¹ and below). A pattern correlation analysis of the PV distribution (not shown) confirmed that the averaged correlations between individual instances belonging to a particular regime are greater for that regime than those for the other regimes, confirming that these patterns are statistically robust. Please see Supplemental Material at INSERT ADDRESS HERE for a color version of these composites and the associated horizontal wind and pressure fields.

- Composites of the horizontal **E**-vector components for the S (a, d), M (b, e) and N (c, f) regimes. The upper panel is the zonal component (light shading: values between 80 and 130 m² s⁻²; dark shading: values above 130 m² s⁻²), and the lower panel is the meridional component (dark shading: values below -15 $\mathrm{m^2~s^{-2}}$; light shading: values above 15 $\mathrm{m^2~s^{-2}}$). The momentum convergence is in thick black contours $(3x10^{-5} \text{ and } 5x10^{-5} \text{ m s}^{-2})$. Please see Supplemental Material at INSERT ADDRESS HERE for a color version of these composites and the associated horizontal wind field.
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Lower panels: composites of the unfiltered (a) and filtered (b) heat flux (solid, averaged between 40 and 70°W, and 35 and 50°N), and baroclinicity (dashed, averaged between 30 and 90°W, and 30 and 50°N). The composites are centred around the maxima (higher than 30 K m s⁻¹) of the respective heat flux variables. Baroclinicity is the excess baroclinicity as defined in Ambaum and Novak (2014) and the mean offset is 0.52 day⁻¹. The shading indicates the standard mean errors. Upper panels: composites of the lower-level zonal wind (averaged between 30 and 0 °W, and 700 and 925 hPa) are marked by the grey-scale filled contours and the jet maximum is also displayed. For higher

temporal resolution, these figures are based on 6-hourly ERA-40 data.



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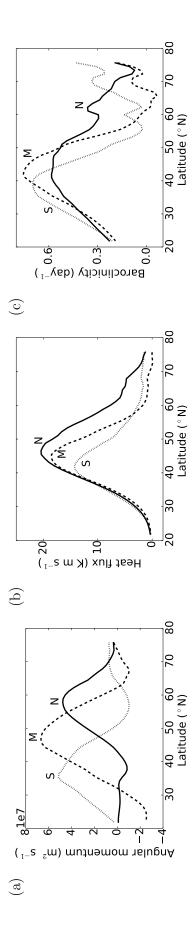
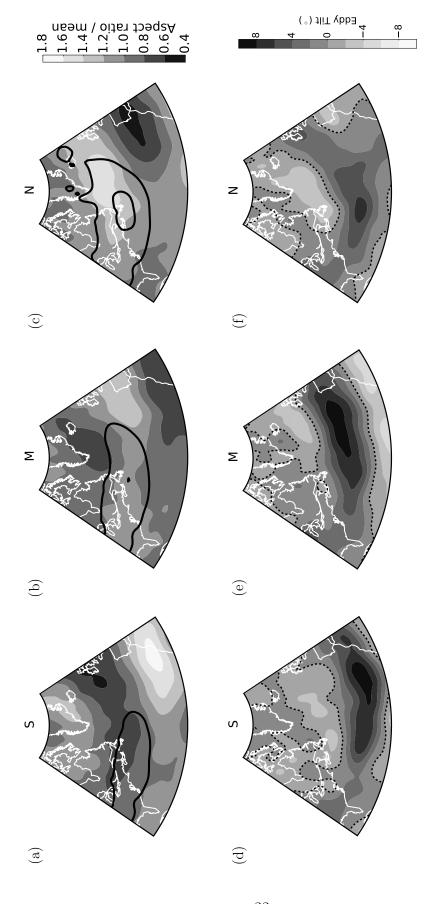


Fig. 2. Composites of latitudinal profiles of the lower-level relative angular momentum (a, in $m^2 s^{-1}$ and averaged between 0 and 30° W), heat flux (b, in K m s⁻¹ and averaged between 40 and 70° W) and baroclinicity (c, in day⁻¹ and averaged between 30 and 90° W) for the S (dotted), M (dashed) and N (solid) regimes.



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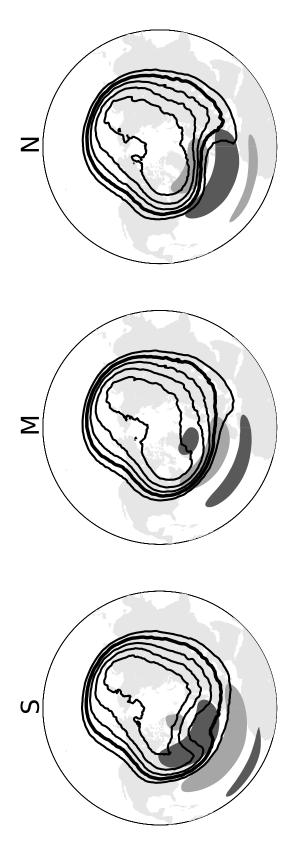


Fig. 4. The composites (medians) of Ertel PV on the 315 K isentrope for the S, M and N regimes. Contours have an interval of 1 PVU and the thick line marks the 2 PVU contour. The shading represents upper-level (250 hPa) zonal wind anomalies from the climatological mean (light shading: 5 m s⁻¹ and above; dark shading: -5 m s⁻¹ and below). A pattern correlation analysis of the PV distribution (not shown) confirmed that the averaged correlations between individual instances belonging to a particular regime are greater for that regime than those for the other regimes, confirming that these patterns are statistically robust. Please see Supplemental Material at INSERT ADDRESS HERE for a color version of these composites and the associated horizontal wind and pressure fields.

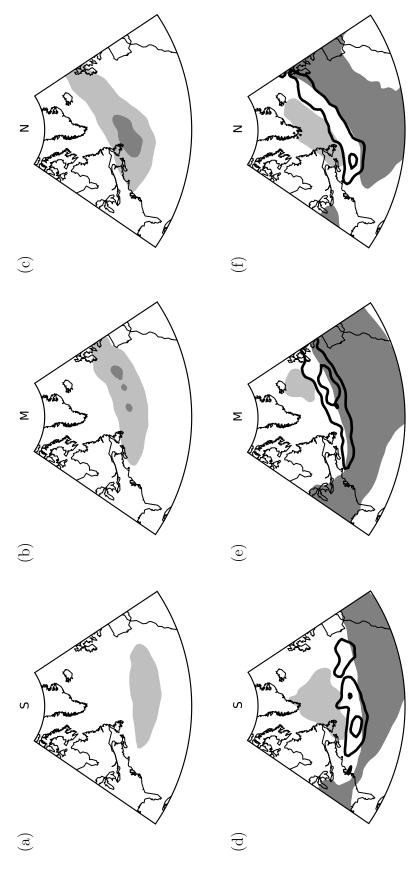
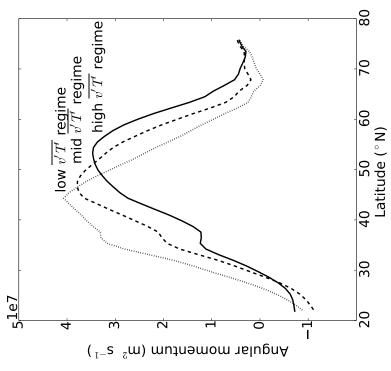
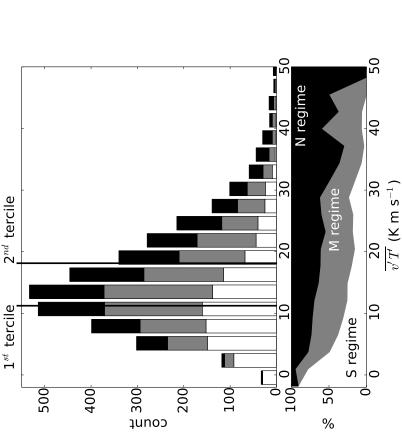


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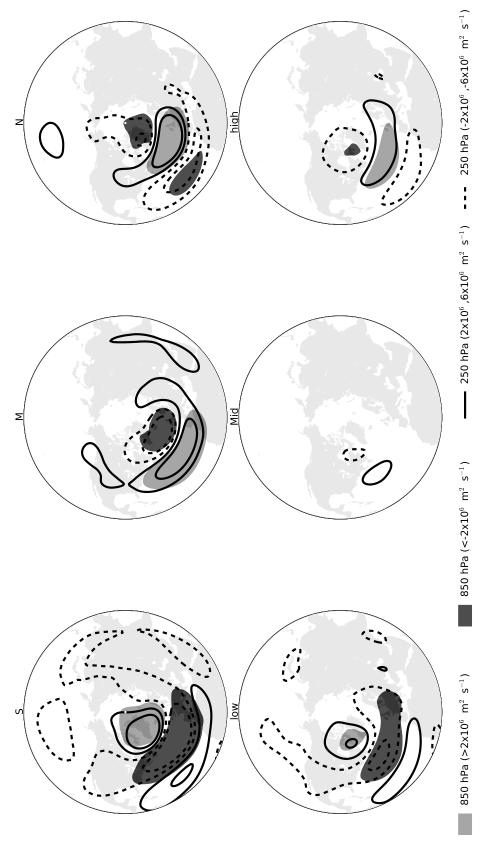




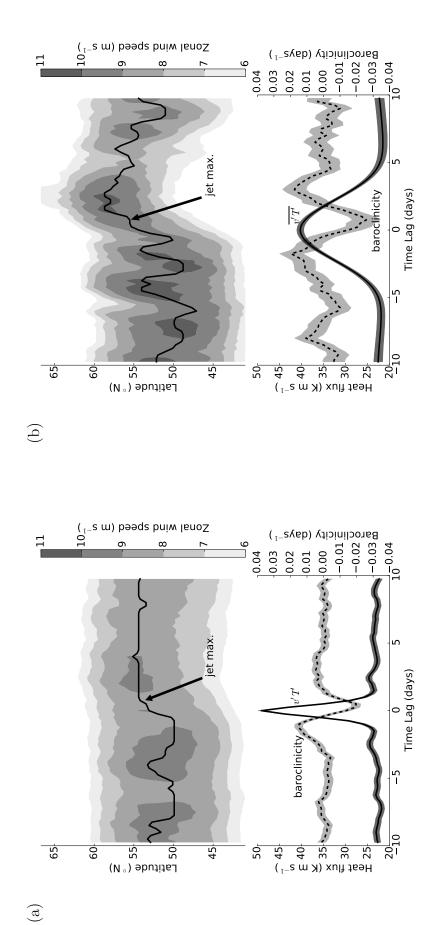
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%



row) and heat flux terciles (lower row). Please see Supplemental Material at INSERT ADDRESS HERE for a color version of Fig. 8. Composites of the upper-level (250 hPa) and lower-level (850 hPa) streamfunction anomalies for the jet regimes (upper these composites.



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