

1 A new method for evaluating regional air quality  
2 forecasts

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5 **Abstract**

A Kriging interpolation method is combined with an object-based evaluation measure to assess the ability of the UK Met Office's dispersion and weather prediction models to predict the evolution of a plume of tracer as it was transported across Europe. The object-based evaluation method, SAL, considers aspects of the Structure, Amplitude and Location of the pollutant field. The SAL method is able to quantify errors in the predicted size and shape of the pollutant plume, through the structure component, the over- or under-prediction of the pollutant concentrations, through the amplitude component, and the position of the pollutant plume, through the location component. The quantitative results of the SAL evaluation are similar for both models and close to a subjective visual inspection of the predictions. A negative structure component for both models, throughout the entire 60 hour plume dispersion simulation, indicates that the modelled plumes are too small and/or too peaked compared to the observed plume at all times. The amplitude component for both models is strongly positive at the start of the simulation, indicating that surface concentrations are over-predicted by both models for the first 24 hours, but modelled concentrations are within a factor of 2 of the observations at later times. Finally, for both models, the location component is small for the first 48 hours after the start of the tracer release, indicating that the modelled plumes are situated close to the observed plume early on in the simulation, but this plume location error grows at later times. The SAL methodology has also been used to identify differences in the transport of pollution in the dispersion and weather prediction models. The convection scheme in the weather prediction model is found to transport more pollution vertically out of the boundary layer into the free troposphere than the dispersion model convection scheme resulting in lower pollutant concentrations near the surface and hence a better forecast for this case study.

6 *Key words:* pollution transport, Unified Model, NAME dispersion model,  
7 feature-based evaluation, ETEX, SAL

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## 8 1. Introduction

9 Air quality models can be used for a variety of applications including the pre-  
10 diction of high pollution episodes, to determine the suitability of new pollution  
11 source sites and to inform decisions on air pollution strategy and regulation.  
12 Thus, it is important that they are evaluated against observations regularly  
13 to determine their predictive capability over the range of spatial and temporal  
14 scales for which they are applied. However, this evaluation is not straightfor-  
15 ward, especially for Eulerian air quality models which predict the mean concen-  
16 tration of a given distribution of pollutant concentrations within a given area.  
17 Observations, however, represent a single member of this overall distribution  
18 at a specific location within this area. As the spread of concentrations around  
19 the mean is large, due to natural variability, it is not possible to predict the  
20 concentration observed at a given time and location downwind of a source using  
21 air quality models (Chatwin (1982), Weil et al. (1992)). Despite this represen-  
22 tativity issue, traditional air quality verification methods are largely based on the  
23 gridpoint comparison of forecast and observed concentrations. Gridpoint-based  
24 verification methods, such as normalised-mean-square-error, can be problematic  
25 for pollutant fields with small-scale complex structure as forecasts are often un-  
26 fairly penalised due to small positional errors (known as the ‘double penalty’  
27 problem). This problem becomes worse as the resolution of the forecasts in-  
28 creases. In addition, many gridpoint-based verification methods fail to take  
29 into account the spatial correlation existing within pollution fields as they com-  
30 pare observations and forecast quantities at each location independently. Thus  
31 there is a growing need to develop new air quality evaluation methods that can  
32 take into account the spatial correlation and complex structures found in high  
33 resolution pollution fields.

34 The verification of precipitation forecasts suffers from the same problems as  
35 air quality verification due to the fact that both atmospheric dispersion and  
36 convective precipitation are stochastic phenomenon. In the field of quantitative  
37 precipitation forecasting there has been much work done recently to create new  
38 verification techniques which can be performed for forecasts of higher spatial  
39 and temporal resolution. These new techniques fall into three categories; neigh-  
40 bourhood based, scale decomposition and feature based (Casati et al. (2008)).  
41 Neighbourhood based techniques consider neighbouring observations in space  
42 and time in the forecast-observation evaluation thus they relax the requirements  
43 for perfect time-space matching (e.g. Roberts and Lean (2007)). However, these  
44 neighbourhood techniques rely on a dense network of observations such as radar  
45 observations which are rarely available for regional air quality evaluation. Scale  
46 decomposition techniques decompose the forecast and observed fields into the  
47 sum of spatial components on different scales. Verification is then performed  
48 on each scale component separately. These methods are useful for assessing the  
49 ability of forecasts to reproduce the spatial structure of the observed field and  
50 thus are able to determine the potential improvements due to high resolution  
51 forecasts. Finally, feature based methods identify features, such as intense rain  
52 events, in the predicted and observed fields and then assess different attributes

53 associated with each individual pair of forecast-observed features. Wernli et al.  
54 (2008) extended this method to avoid the problem of matching forecast and  
55 observed features by using a technique which assessed the structure, amplitude  
56 and location error for features without any matching requirements.

57 The main aim of this work is to show how spatial verification methods, that  
58 have been developed to evaluate NWP-gridded precipitation forecasts, can be  
59 used to evaluate air quality forecasts. This is achieved by using the object-based  
60 evaluation method of Wernli et al. (2008) to quantitatively evaluate the ability of  
61 the UK Met Office's NWP and dispersion models to predict regional pollution  
62 concentrations. The modelled predictions are compared against observations  
63 from the European Tracer Experiment (ETEX) that have been Kriged onto a  
64 regular grid. The results are used to highlight differences in the representation  
65 of pollution transport in the two models.

66 The ETEX field campaign is described in section 2. The models used in this  
67 paper are described briefly in section 3 and the SAL diagnostics are described  
68 in section 4. In section 5 an analysis of the observed tracer field during the  
69 first ETEX release is given. The SAL diagnostics are evaluated for the UM and  
70 NAME tracer experiments in section 6 and the role of convection is evaluated  
71 in section 7. Finally, in section 8 the main conclusions are given. A description  
72 of the Kriging method of interpolation is given in the appendix.

## 73 **2. The European tracer experiment, ETEX**

74 Two long-range dispersion experiments were carried out as part of ETEX  
75 during October and November 1994. During the releases, a non-toxic, non-  
76 depositing, non water-soluble, inert tracer (perfluoromethylcyclopentane) was  
77 released from a site near Monterfil in north-west France ( $12^{\circ}00'30''\text{W}$ ,  $40^{\circ}03'30''\text{N}$ ).  
78 168 stations, all part of the synoptic network of national meteorological ser-  
79 vices, in 17 countries were equipped with air samplers and performed 3 hourly  
80 sampling (see Dacre (2010) figure 1). A complete description of the ETEX  
81 experiment was published by Van Dop et al. (1998) and Gryning et al. (1998).

82 Since the release rate was well known and deposition and chemistry processes  
83 did not occur the experiment provides a good test of atmospheric transport in  
84 models from a point source at continental scale. 24 institutions took part in  
85 real-time forecasting of plume evolution, with 28 long-range chemistry trans-  
86 port models, using meteorological data from various sources. The first ETEX  
87 experiment (ETEX 1) has been discussed in many papers in which the modelling  
88 results have been compared to observations (Ryall and Maryon, 1998; Stohl et  
89 al., 1998; Nasstrom and Pace, 1998; D'Amours, 1998). It was concluded in  
90 a review paper by Mosca et al. (1998), that almost all the models showed a  
91 satisfactory agreement with the measured values for ETEX 1. As such, this  
92 experiment provides a good case to test the ability of the UK Met Office's  
93 numerical weather prediction model (NWP), UM, to simulate the long-range  
94 transport of pollution from a point source release. Comparison of UM results  
95 with output from the UK Met Office's dispersion model, NAME, which took

96 part in the original ETEX intercomparison will be made along with comparison  
97 with the observations.

98 The ETEX 1 tracer release took place between 16 UTC on 23 October and  
99 03:50 UTC on 24 October 1994. Figure 1(a) shows the UM mean sea level  
100 pressure field at 00 UTC on 24th October 1994 overlaid with frontal analysis.  
101 A mature low pressure system, was located north of the UK and approached  
102 Europe from the west. The cold front passed over the release site prior to  
103 the start of the tracer release. Low level winds were south-westerly behind  
104 the front. Figure 1(b) shows an infrared image from the AVHRR satellite at  
105 07:26 UTC on 23 October 1994. The main polar-front cloud band lies south of  
106 the low pressure centre parallel to and ahead of the surface cold front and wraps  
107 cyclonically around the low pressure centre. Behind the cold front convective  
108 cloud can be seen in the location of the release site.

### 109 3. Model descriptions

110 Simulations of the ETEX 1 release have been performed using two models:  
111 The UK Met Office Lagrangian dispersion model, NAME, and the UK Met  
112 Office Eulerian NWP model, UM.

#### 113 3.1. NAME

114 NAME III is the currently operational dispersion model used to perform air  
115 quality forecasts at the UK Met Office (Jones et al. (2007)). NAME (Numerical  
116 Atmospheric-dispersion Modelling Environment) is a Lagrangian particle  
117 trajectory model designed to predict the dispersion and deposition of gases and  
118 particulates in the atmosphere. Emissions of gases or particles are modelled by  
119 releasing a large quantity of particles into the model atmosphere, with each particle  
120 representing a mass of released pollutant. The particles are carried along  
121 passively by the 3D wind with turbulent mixing represented by random walk  
122 techniques using empirical turbulence profiles. In our simulations all meteorological  
123 data was obtained from the UK Met Office NWP model.

124 For the ETEX 1 simulation the tracer release is represented in NAME by  
125 the release of 2 million particles, released at a rate of  $7.98\text{gs}^{-1}$  at a height of  
126 20m. (This height was chosen to be consistent with the UM simulations, the  
127 actual release height is 8m). NAME was driven using  $0.442^\circ$  resolution meteorological  
128 data from the UM input into NAME every hour. NAME pollutant  
129 concentrations are computed by summing the mass of particles in a  $1.0^\circ \times 1.0^\circ$   
130 latitude/longitude area.

#### 131 3.2. UM

132 The ETEX 1 tracer release has also been simulated using the UM, version  
133 6.1. This model solves the non-hydrostatic primitive equations using a semi-  
134 implicit, semi-Lagrangian numerical scheme (Cullen, 1993). The model includes  
135 a comprehensive set of parameterisations, including boundary layer turbulent  
136 mixing (Lock et al., 2000), mixed phase microphysics (Wilson and Ballard, 1999)

137 and convection (Gregory and Rowntree, 1990). There is no explicit diffusion in  
138 the model. A limited area domain with horizontal gridlength of  $0.442^\circ$  was  
139 used over Europe extending from  $37.5^\circ\text{N}$  to  $62.47^\circ\text{N}$  and  $9.5^\circ\text{W}$  to  $22.62^\circ\text{E}$ .  
140 The model has 38 levels in the vertical on a stretched grid ranging from the  
141 surface to 5hPa. This corresponds to approximately 100m layer spacing in the  
142 boundary layer and 500m layer spacing in the mid-troposphere.

143 For the ETEX 1 simulation the tracer release is represented in the UM by  
144 a constant emission of tracer at the first model level, 20m, in a single gridbox.  
145 Tracers in our simulation are treated as passive substances, they are subject  
146 to advection, convection and turbulent transports but are neither deposited  
147 nor chemically transforming. A small amount of transport may occur due to  
148 numerical diffusion. This methodology has also been used by Donnel et al.  
149 (2001); Gray (2003); Dacre et al. (2007); Dacre (2010).

#### 150 4. SAL diagnostics

151 In this section a non-numerical explanation of the SAL verification diagnostics  
152 are described. A detailed description of the mathematical formulation of  
153 the SAL diagnostics can be found in Wernli et al. (2008). The computation of  
154 the location and structure components require first the identification of indi-  
155 vidual objects within the considered domain, separately for the observed and  
156 predicted tracer fields. In this paper ‘objects’ are defined as regions in which  
157 tracer concentrations exceed a specified threshold value. A threshold value of  
158  $1/15$ th of the maximum tracer concentration value is used to identify coherent  
159 objects. This threshold is used for both the observed and modelled tracer fields.  
160 The choice of this threshold is not based on objective criteria but sensitivity  
161 tests have shown that the results are not sensitive to the choice of threshold  
162 value. SAL diagnostics calculated using a fixed threshold, set to the measuring  
163 instruments detection limit of  $0.01\text{ng m}^{-3}$  also produce very similar results.

##### 164 4.1. The structure component, $S$

165 The structure component of SAL compares the volume of the normalised  
166 tracer plume. It measures the size and shape of the objects. Values of  $S$  are  
167 within  $\pm 2$  and 0 denotes a perfect forecast in terms of structure.  $S$  is positive if  
168 the model predicts widespread tracer in a situation of small tracer objects and  
169 negative if the model predicts a small peaked object in a situation of a large flat  
170 tracer object. The possibility to identify these kinds of errors is one of the key  
171 characteristics of SAL.

##### 172 4.2. The amplitude component, $A$

173 The amplitude component of SAL measures the normalised difference of  
174 the domain averaged tracer values. It provides a measure of the quantitative  
175 accuracy of the total tracer in a specified region. Values of  $A$  are within  $\pm 2$   
176 and 0 denotes a perfect forecast in terms of amplitude. The value of  $A = +0.67$   
177 indicates that the model over-estimates the domain-averaged tracer by a factor  
178 of 3 and a value of  $A = -0.67$  indicates an under-estimation by a factor of 2.

179 *4.3. The location component,  $L$*

180 The location component of SAL consists of two parts:  $L = L_1 + L_2$ , and  
181 describes the accuracy with which tracer is distributed within the domain.  $L_1$   
182 measures the distance between the centers of mass of the predicted and measured  
183 tracer fields normalised by the largest distance between two boundary points  
184 in the domain (2816 km). The values of  $L_1$  are in the range 0 to 1. In case  
185 of  $L_1 = 0$ , the centres of mass of the predicted and observed tracer fields are  
186 identical. Note that many different tracer fields can have the same centre of  
187 mass and therefore  $L_1 = 0$  does not necessarily indicate a perfect forecast.  
188 For example, a predicted tracer field with two objects on opposite sides in  
189 the domain can have the same centre of mass as an observed tracer field with  
190 one object located in-between the two predicted events. The second part,  $L_2$ ,  
191 considers the difference in the distribution of objects relative to the centre of  
192 mass between the modelled and observed tracer fields. If both the modelled and  
193 observed tracer fields contain only one object, then  $L_2 = 0$ .

194 **5. Observed tracer transport**

195 In this section the observed tracer concentrations from the ETEX 1 exper-  
196 iment are described. Because an existing network was used there were some  
197 limitations in the spatial resolution of the sampling. For example, the resolu-  
198 tion of the sampling network close to the release site was too coarse to properly  
199 resolve the near source dispersion ( Mosca et al. (1998)). The observations have  
200 been interpolated onto a  $1.0^\circ \times 1.0^\circ$  latitude/longitude grid using a geostatistical  
201 Kriging technique. Kriging is an interpolation method for estimating values at  
202 locations which have not been sampled using a weighted average of neighbour-  
203 ing samples to estimate the unknown value at a given location. Details of the  
204 Kriging method are given in the appendix.

205 Figures 2(a)-(e) show the evolution of the Kriged tracer concentrations 12,  
206 24, 36, 48 and 60 hours after the start of the tracer release respectively. The  
207 tracer is advected east-northeast across Europe by the low-level winds behind  
208 the cold front. During the initial stages of the tracer release (first 24 hours),  
209 the plume axis is orientated southwest-northeast and tracer concentrations up  
210 to  $1.7\text{ng m}^{-3}$  are observed. During the second 24 hours of the tracer experi-  
211 ment the plume is more widespread and its axis becomes orientated northwest-  
212 southeast. Finally, 60 hours after the start of the tracer release, the Kriged  
213 tracer plume has been deformed into an elongated strip that extends from the  
214 west of Norway to southeast Europe.

215 **6. Model results**

216 In this section a subjective visual comparison will be made between the  
217 Kriged observations and model predictions from the UM and NAME. This will  
218 be followed by an objective quantitative comparison using the SAL diagnostics.

219 Figures 3(a)-(e) show the tracer concentrations predicted by the UM at a  
220 height of 20m, 12, 24, 36, 48 and 60 hours after the start of the tracer release re-  
221 spectively. Figures 4(a)-(e) show the tracer concentrations predicted by NAME  
222 at a height of 20m, 12, 24, 36, 48 and 60 hours after the start of the tracer release  
223 respectively. Comparison with the Kriged observations (figures 2(a)-(e)) shows  
224 that 12 hours after the start of the tracer release both the UM and NAME over-  
225 predict tracer concentrations. The extent of the plume is also more widespread  
226 in both model predictions compared to the observations. This over-prediction  
227 could be a result of the coarse observation network close to the source that is  
228 unable to capture the peak concentrations in the plume. 24 hours after the  
229 start of the tracer release, both the magnitude of the over-prediction and the  
230 over-estimation of the plume extent has reduced in both models. The modelled  
231 and observed tracer plumes compare well at this time. 36 hours after the start  
232 of the tracer release the magnitude of tracer concentrations is similar to the ob-  
233 served tracer concentrations but the modelled plumes are not as widespread as  
234 the observed tracer plume. The observed tracer plume is orientated in a west-  
235 east direction whilst the tracer plume in both the UM and NAME simulations  
236 is orientated in a southwest-northeast direction. 48 hours after the start of the  
237 tracer release this orientation error is even more pronounced. The tracer plume  
238 in both models has started to spread along the north-west/south-east axis but  
239 the plumes do not extend as far as the observed plume over east-central and  
240 south-east Europe. Finally, 60 hours after the start of the tracer release, the  
241 UM captures the transport of the observed tracer plume to the North Sea and  
242 the tracer transport south-eastwards but NAME does not. The plume in both  
243 models is also too widespread compared to the observations. It is hypothesised  
244 that this is a result of a failure of the meteorology to capture the anti-cyclonic  
245 transport of the tracer around the high pressure system situated over Europe.  
246 The plume orientation errors due to uncertainty in the meteorology are not  
247 investigated in this paper.

248 Figures 5(a)-(c) show the time evolution of the structure, amplitude and  
249 location components for both the UM and NAME simulations. The SAL com-  
250 ponents are calculated every 3 hours for the 3-hourly averaged tracer concen-  
251 trations from 12 hours after the start of the tracer release onwards. Before this  
252 time, the plume is narrow and is not well sampled by the coarse observation net-  
253 work. This results in SAL diagnostics that are not representative of the model  
254 performance during the first 12 hours of the experiment.

### 255 *6.1. Structure component*

256 Figure 5(a) shows the time evolution of the structure component for both  
257 the UM and NAME simulations. 12-15 hours after the start of the tracer re-  
258 lease, both the UM and NAME have negative structure component. This is a  
259 result of the models predicting a plume that is more peaked than the observed  
260 plume, due to higher maximum concentrations. Between 24 and 33 hours after  
261 the start of the tracer release both models have an structure component that is  
262 close to zero. This occurs because both the amplitude of the predicted tracer  
263 concentrations closely matches the Kriged observations, and because the size

264 of the predicted plume is similar to the Kriged observations. From 36 hours  
265 after the start of the tracer release onwards, the structure component again  
266 becomes negative. This is because the Kriged plume is more widespread than  
267 the modelled tracer plumes. The UM performs better than NAME over this  
268 period as the UM tracer plume is slightly more extensive than the NAME pre-  
269 dicted plume. The peak positive structure component that occurs 39 hours  
270 after the start of the tracer release is because the Kriging technique produces  
271 a split plume (figure 6). The split plume occurs as a result of the coarse res-  
272 olution of the observing network at longitudes  $> 15^\circ\text{E}$ . A denser network of  
273 observations would probably result in a single plume being identified. In the  
274 modelled tracer fields tracer concentration data is available at higher resolution  
275 than the observations and hence only one object is identified. The Kriged ob-  
276 servation tracer field contains two objects, one large and one small, compared  
277 to the modelled tracer fields which only contain one object. Thus the average  
278 size of the Kriged observation tracer objects is smaller than the modelled tracer  
279 object resulting in a positive structure component. From 48 hours onwards the  
280 structure component increases and eventually becomes positive 57 hours after  
281 the start of the tracer release indicating that the modelled plumes are too large  
282 and flat at the later stages of the simulation. This agrees with a visual inspec-  
283 tion of the plumes (figures 3(e) and figure 4(e)). Overall the evolution of the  
284 UM and NAME structure components are very similar. However, the NAME  
285 structure component is significantly different to the UM structure component  
286 between 18 and 21 hours after the start of the tracer release. A visual inspection  
287 of the tracer concentration at 20m indicates that this is because the UM pre-  
288 dicted tracer plume contains higher tracer concentrations (peak concentration  
289 of  $5.1\text{ng m}^{-3}$  compared to a peak concentration of  $3.8\text{ng m}^{-3}$  in NAME) within  
290 a similar sized plume resulting in a plume that is more peaked than the NAME  
291 predicted tracer plume (not shown). It is hypothesised that this difference is a  
292 result of the different convection schemes in the NWP and dispersion models.  
293 This is investigated further in section 7.

## 294 *6.2. Amplitude component*

295 Figure 5(b) shows the SAL amplitude component. It is a measure of the  
296 over- or under-estimation of tracer concentrations compared to the Kriged ob-  
297 servations. 12 hours after the start of the tracer release both the UM and NAME  
298 simulations strongly over-predict tracer concentrations by a similar amount, am-  
299 plitude component  $> 1.5$ . As the time since the start of the release increases the  
300 severity of the over-prediction reduces for both models. For both simulations  
301 tracer concentrations are within a factor of 2 of the Kriged observations from  
302 30 hours onwards which is considered to be a good forecast. However, from 24  
303 hours onwards the UM has a lower amplitude component than NAME. This dif-  
304 ference occurs because NAME has higher concentrations at 20m than the UM.  
305 The difference in tracer transport responsible for this are discussed in section 7.

### 306 6.3. Location component

307 Figure 5(c) shows the location component of the SAL diagnostics. Between  
308 12 and 36 hours after the start of the tracer release it is a measure of the  
309 difference in the location of the Kriged observations and the model predicted  
310 tracer field centre of mass as there is only one object identified. The  $L_2$  part of  
311 the location component can only be non-zero if there is more than one object  
312 identified in either the observed or simulated fields. We can convert the  $L_1$   
313 component into a physical distance by multiplying by the maximum distance  
314 between gridpoints in the entire domain (2816km). Thus between 12 and 36  
315 hours after the start of the release both the UM and NAME simulations have a  
316 centre of mass that is within 200km of the Kriged observed centre of mass. This  
317 is considered to be a good forecast since the resolution of the interpolated model  
318 output is approximately 100km. The peak in the location component that occurs  
319 39 hours after the start of the release is because two objects are identified in  
320 the Kriged observations and only one in both the UM and NAME simulations,  
321 as discussed above. This results in a non-zero  $L_2$  component and hence an  
322 increased location component at this time. From 42 hours after the start of the  
323 release onwards, there is a gradual increase in the location component for both  
324 the UM and NAME simulations. This is due to the fact that the orientation of  
325 the modelled and observed tracer plume differs from the observations and the  
326 plume is more widespread than the observations and is likely to be a result of  
327 errors in the meteorology.

## 328 7. Transport by convection

329 It was shown in section 6.2 that systematic differences in the amplitude com-  
330 ponent between the UM and NAME simulations occur from 21 hours after the  
331 start of the tracer release onwards. An important process for transporting tracer  
332 is deep convection. It has been shown that tracer can be rapidly transported  
333 out of the boundary layer into the free troposphere by convection (Dacre et al.  
334 (2007), Chagnon et al. (2007)). The representation of deep convection is treated  
335 differently in the UM and NAME models. This can result in different vertical  
336 distributions of tracer and hence differences in the SAL diagnostics. Details of  
337 the convection scheme used in NAME are given in Maryon et al. (1999). Details  
338 of the convection scheme used in the UM are given in Gregory and Rowntree  
339 (1990). It is hypothesised that differences in the transport of tracer by convec-  
340 tion in these models is the cause of the amplitude component differences.

341 Figure 7 shows the amplitude component for simulations in which tracer is  
342 prevented from being transported by the convection schemes in both the UM  
343 and NAME. Preventing tracer from being transported by the UM has a large  
344 impact on the amplitude component from 21 hours after the start of the tracer  
345 release onwards. A more positive amplitude component results as concentrations  
346 are higher at 20m when tracer is not removed by convection. Preventing tracer  
347 from being transported by convection in NAME has a negligible effect on the  
348 amplitude component, infact the time-series of the amplitude component for

349 NAME simulations with and without convection are superimposed in figure 7.  
350 The effect of including or excluding convective transport also has a small effect  
351 on both the structure and location components for both the UM and NAME  
352 simulations (not shown).

353 Figure 8(a) shows convective rain rate 20 hours after the start of the tracer  
354 release. The dashed ellipse highlights the region of convection diagnosed in the  
355 UM that occurs over the region of tracer in figure 3. In NAME, convective  
356 mixing is triggered only where convective cloud is present with a depth greater  
357 than 100mb and a base below 800mb. Figure 8(b) shows the pressure at the  
358 convective cloud base 20 hours after the start of the tracer release. In the  
359 highlighted region the convective cloud base is below 900mb. Figure 8(c) shows  
360 the pressure at the convective cloud top. In the highlighted region the convective  
361 cloud top is above 600mb. Thus the convective scheme in NAME is active in  
362 this region.

363 Figure 9(a) shows the 3-hourly averaged UM tracer concentration  $> 0.001\text{ng m}^{-3}$   
364 at heights of 20m and 3920m, 21 hours after the start of the tracer release. The  
365 contour at 3920m covers a large area indicating that the convection scheme has  
366 transported large amounts of tracer out of the boundary layer into the free tro-  
367 posphere. Figure 9(c) shows a vertical cross-section of 3-hourly averaged tracer  
368 concentration taken along the line shown in figure 9(a). It can be seen that  
369 whilst the highest tracer concentrations are still confined to the boundary layer  
370 ( $< 1\text{km}$ ), a significant amount of tracer has been transported up to 6km in the  
371 atmosphere by the convection scheme. Figure 9(b) shows the 3-hourly averaged  
372 NAME tracer concentrations  $> 0.001\text{ng m}^{-3}$  at heights of 20m and 4000m, 21  
373 hours after the start of the tracer release. In NAME the extent of the contour  
374 at 4000m is much smaller than in the UM. Figure 9(d) shows a vertical cross-  
375 section of 3-hourly averaged tracer concentration taken along the line shown in  
376 figure 9(b) overlaid with the diagnosed boundary layer height. As for the UM,  
377 tracer has been transported out of the boundary layer up to 5km. However,  
378 the amount of tracer transported vertically by the convection scheme in NAME  
379 is much less than that in the UM by a factor of 100. This results in NAME  
380 simulating higher peak concentrations than the UM at low-levels and explains  
381 why the amplitude component is higher for NAME than the UM and also why  
382 preventing tracer from being transported by convection scheme in NAME has  
383 little effect on the amplitude component.

## 384 8. Conclusions

385 In this paper an object-based evaluation method, SAL, has been combined  
386 with a Kriging interpolation method to quantitatively evaluate the ability of the  
387 UK Met Office’s numerical weather prediction and dispersion models to predict  
388 the evolution of a plume of tracer as it was transported across Europe. The  
389 SAL method is able to quantify errors in the predicted size and shape of the  
390 tracer plume, through the structure component, the over- or under-prediction  
391 of the tracer plume, through the amplitude component and the position of the  
392 tracer plume, through the location component. The objectively determined

393 results of the SAL evaluation are similar to a subjective visual inspection of the  
394 predictions which is an attractive attribute of this method.

395 Although the UM and NAME predictions show a similar performance for  
396 plume structure and location, differences are identified in the amplitude compo-  
397 nent. By evaluating the transport of tracer by deep convection in both models, it  
398 has been shown that the differences in the amplitude component occur at times  
399 when convective transport is diagnosed. The UM convection scheme transports  
400 more tracer vertically out of the boundary layer into the free troposphere than  
401 the NAME convection scheme. This results in lower tracer concentrations within  
402 the boundary layer in the UM prediction than in NAME, which in turn leads  
403 to a lower amplitude-component for the UM compared to NAME and hence a  
404 better forecast. Thus, the SAL methodology can be used to identify differences  
405 in the transport of tracer between models.

406 In this paper a case study in which the emission rates are well known and  
407 chemical transport does not occur was simulated in order to diagnose differences  
408 in the representation of transport in the UM and NAME models. Although  
409 the evaluation in this paper has focused on a case study, in principle the SAL  
410 method could be used to evaluate the performance of models over a longer time  
411 period and hence to identify systematic errors on daily and hourly timescales  
412 and to determine predictability limits. In the future the SAL methodology could  
413 be used to compare forecasts from different resolution simulations in the same  
414 model or ensemble predictions with varying meteorology or emissions. The SAL  
415 diagnostics were used to compare forecasts for simulations performed at 50km,  
416 12km and 4km resolution for the ETEX 1 case study. However, due to the  
417 coarse resolution of the observations it was not possible to identify differences  
418 in the tracer transport in simulations at different resolutions. It is anticipated  
419 that high resolution air quality datasets will be needed in the near future in  
420 order to evaluate high-resolution air quality forecasts.

## 421 **9. Acknowledgments**

422 I would like to thank the UK Met Office for use of the UM and NAME and  
423 Stefano Galmarini for providing the ETEX tracer measurements. I am grateful  
424 to Heini Wernli for providing the SAL diagnostics, to Helen Greatrex for help  
425 with the Kriging method and to Geovanni Leoncini for helpful comments on the  
426 paper.

### 427 **A. Kriging the observed data**

428 The Kriging software package used in this paper has been designed at the  
429 University of Reading to Krige rainfall datasets from rain gauges (Greatrex  
430 (2010)). It has been customised to read input from the ETEX dataset. The  
431 software follows 7 steps which are as follows:

- 432 • Step 1 formats the ETEX dataset so it can be read by the Kriging software.

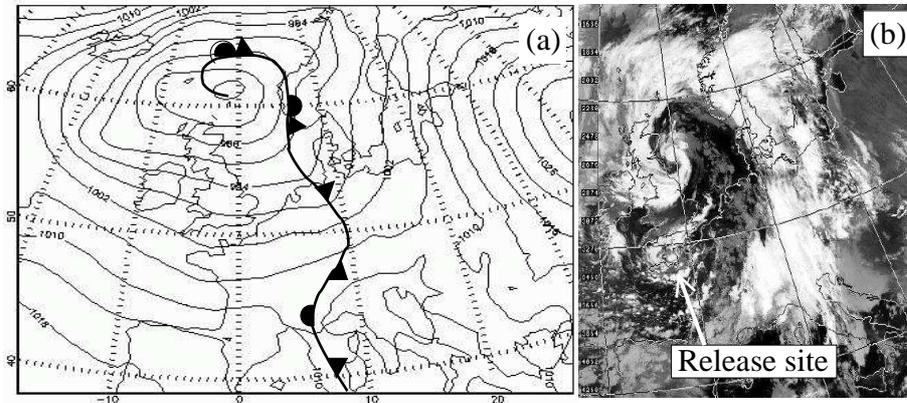


Figure 1: (a) UM mean sea level pressure at 00UTC on 24 October 1994, fronts overlaid. (b) AVHRR infrared at 07:26 UTC on 23 October 1994 courtesy of NASA Goddard Space Flight Centre.

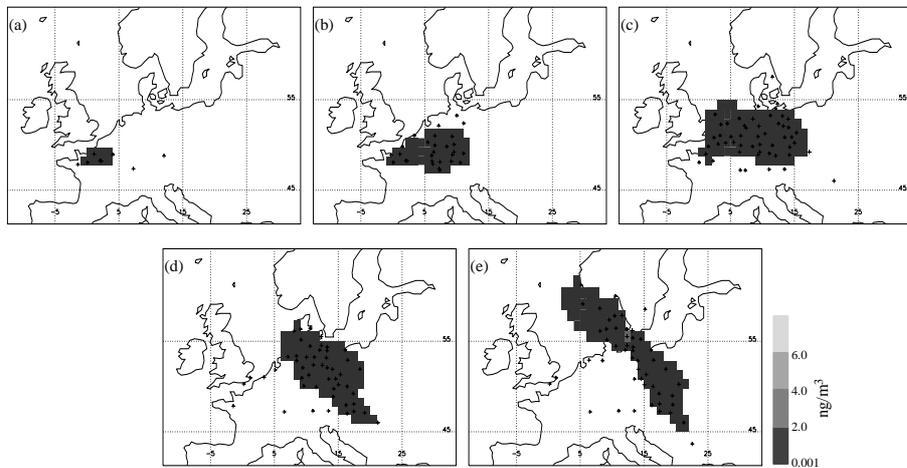


Figure 2: Kriged non-zero tracer concentrations 12, 24, 36, 48 and 60 hours after the start of the tracer release. The non-zero observations are superimposed as crosses.

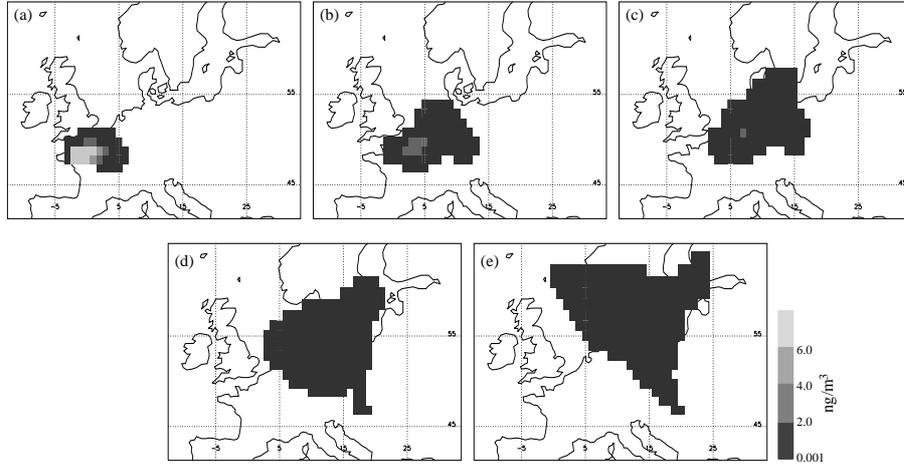


Figure 3: UM tracer concentration interpolated onto  $1^\circ \times 1^\circ$  lat/lon grid 12, 24, 36, 48 and 60 hours after the start of the tracer release.

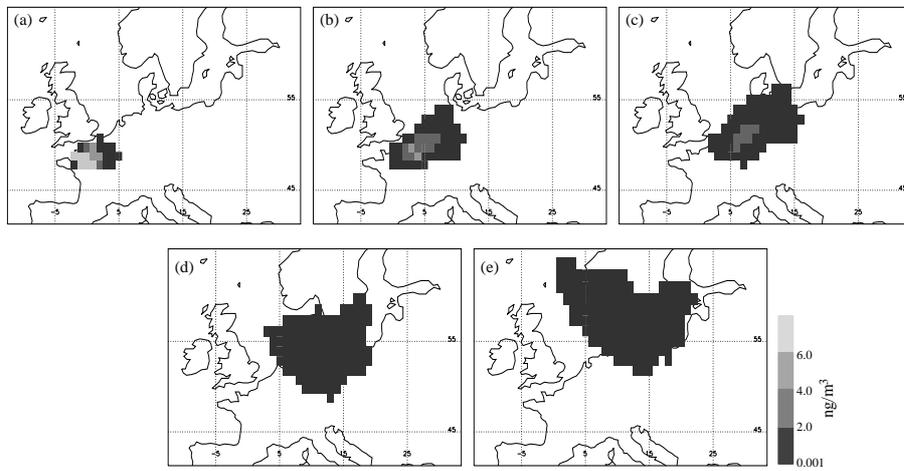


Figure 4: NAME tracer concentration interpolated onto  $1^\circ \times 1^\circ$  lat/lon grid 12, 24, 36, 48 and 60 hours after the start of the tracer release.

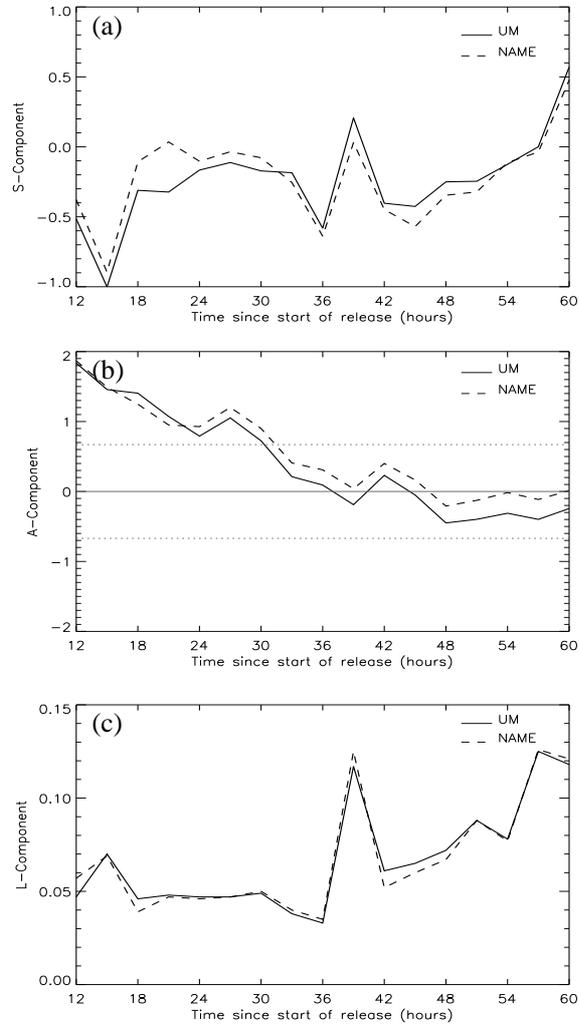


Figure 5: Time series of (a) structure component, (b) amplitude component and (c) location component for UM (solid) and NAME (dashed) simulations. The dotted lines in (b) are equivalent to an over/under-estimation by a factor of 2.

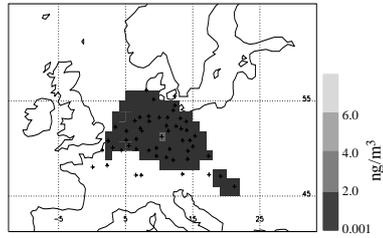


Figure 6: Kriged non-zero tracer concentrations 39 hours after the start of the tracer release. The non-zero observations are superimposed as crosses.

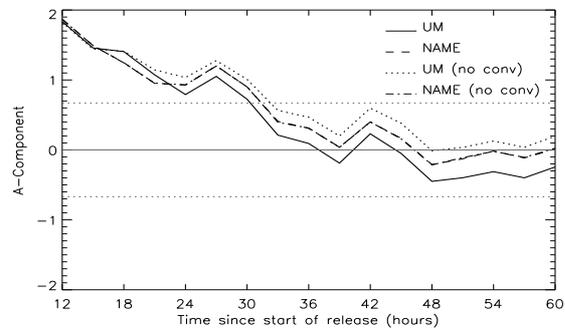


Figure 7: Time series of amplitude component for UM (solid), UM with no convection (dotted), NAME (dashed) and NAME with no convection (dash-dot) simulations. The dotted lines are equivalent to an over/under-estimation by a factor of 2.

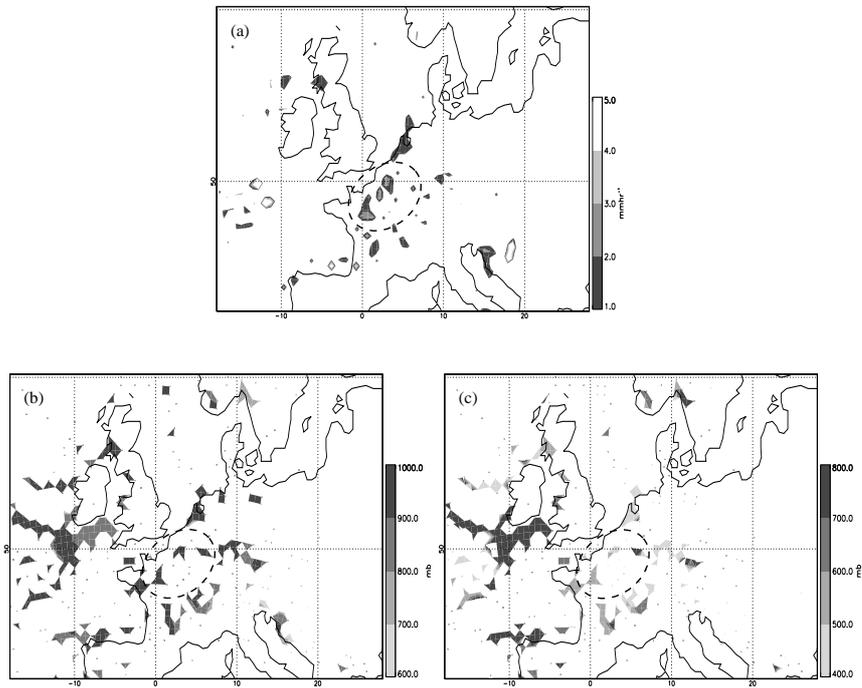


Figure 8: UM diagnosed output 20 hours after the start of the tracer release (a) convective rain rate, (b) pressure at cloud base and (c) pressure at cloud top.

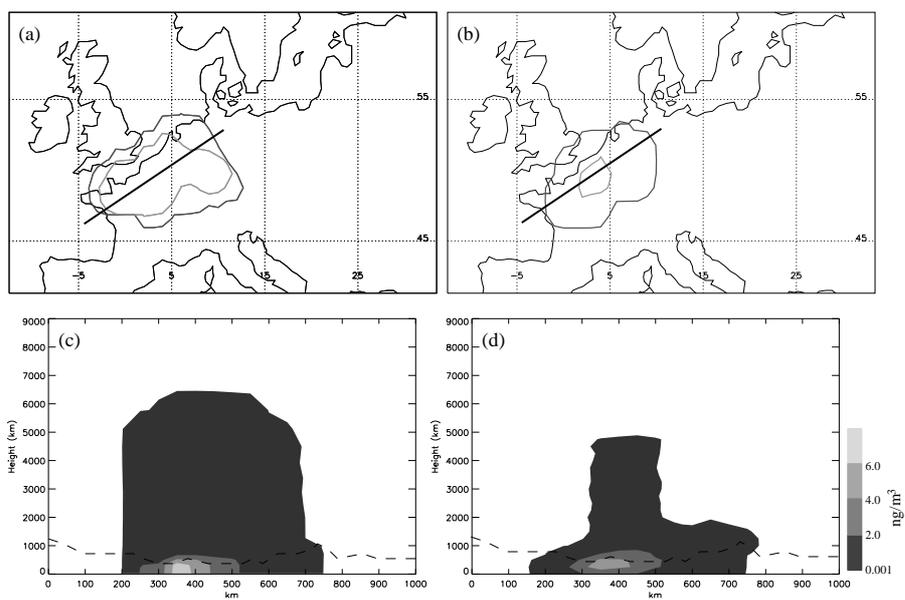


Figure 9: 3-hourly averaged tracer concentration 21 hours after the start of the tracer release for (a)  $0.001 \text{ ng m}^{-3}$  contour for UM at heights of 20m (black) and 3920m (gray), and (b)  $0.001 \text{ ng m}^{-3}$  contour for NAME at heights of 20m (black) and 4000m (gray). (c) UM vertical cross-section along the line shown in (a), (d) NAME vertical cross-section along the line shown in (b). Boundary layer height is overlaid (dashed)

- 433 • Step 2 performs the data analysis on the raw data with all zero observa-  
434 tions removed. There are 939 non-zero data points in total with tracer  
435 concentrations ranging from  $0.01\text{ng m}^{-3}$  to  $12.57\text{ng m}^{-3}$ , and with a mean  
436 of  $0.31\text{ng m}^{-3}$ .
- 437 • Step 3 creates a climatological variogram (figure 10(a)). A climatological  
438 variogram is used to overcome the problem of lack of data for a particular  
439 3-hour time period. Climatological variograms allow information from  
440 the entire time period (90 hours) to be combined by normalising each  
441 event with respect to its variance ( Lebel et al. (1987)). After the Kriging  
442 process has been completed, the tracer estimates are denormalised by  
443 multiplying the results by the variance for the time concerned. The bin  
444 size and maximum distance over which to model the variogram were chosen  
445 from the climatological variogram. A bin size of 15km was chosen as the  
446 variogram wasn't too noisy but the detail was captured. A range of 600km  
447 was chosen as the sill was flat at this range but there were still sufficient  
448 stations with a maximum distance of this range ( $> 250$ ).
- 449 • Step 4 models the climatological variogram. A spherical model was chosen  
450 to model the climatological variogram (figure 10(b)), it has a range of  
451 129.58km, a sill of 1.05 and a zero nugget. These parameters are used  
452 to perform the Kriging in step 5. They do not change significantly if a  
453 sub-sample of the observational data (between 12 and 60 hours only) is  
454 used indicating that no bias is introduced by normalising the data in step  
455 3. Steps 3 and 4 were also performed for the indicator dataset. This is a  
456 binary dataset that contains 1's for observed tracer and 0's for no observed  
457 tracer.
- 458 • Step 5 performs Kriging over the entire grid for both the non-zero dataset  
459 and the indicator dataset. It also re-multiplies the final values by the  
460 time-step variance recorded in step 3.
- 461 • Step 6 performs double Kriging. One problem with Kriging data is that  
462 zero values can be smoothed out. Double Kriging involves, for each time  
463 step, finding the proportion of observation sites that recorded non-zero  
464 tracer. Then a threshold value from the indicator Kriged data is deter-  
465 mined, which gives the same proportion of non-zero gridcells. A tracer/no  
466 tracer map is created using this threshold. Finally, the tracer amounts for  
467 the non-zero gridcells are filled in using the non-zero Kriged dataset.
- 468 • Step 7 creates maps of both the Kriging tracer estimates and the Kriging  
469 errors.

470 At present, it is not possible to estimate the errors associated with the double  
471 Kriged concentrations shown in figure 2. However, as almost all of the non-zero  
472 gridpoints in figure 2 are within the variogram range (129.58 km) of a non-zero  
473 observation the error on the Kriged estimate is likely to be small. A denser  
474 network of observations would help to reduce this error.

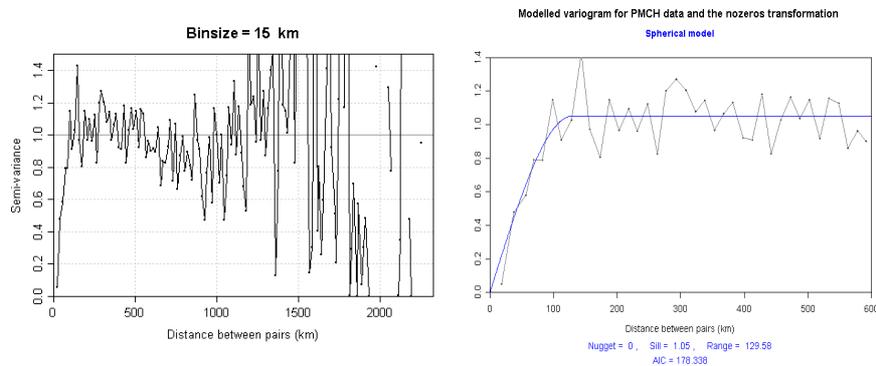


Figure 10: (a) Non-zero data climatological variogram, (b) Non-zero data modelled variogram.

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