# The role of peninsular India in the South Asian summer monsoon

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Abstract In this study we examine the role of the South 9 1 Asian peninsula on the summer circulation and precipita-10 tion distribution in the Asian monsoon region using a se-11 3 ries of novel atmosphere-only experiments with the Met Of-12 fice Unified Model (MetUM) Global Atmosphere 3/Global 13 5 Land 3 (GA3/GL3). Sensitivity to the topography, orogra-14 6 phy and land surface properties are examined separately. 15 While the model usually features a strong dry bias centred 16 8 A. G. Turner 17 NCAS-Climate, 18 Department of Meteorology, University of Reading, 19 Reading RG6 6BB, UK 20 Tel.: +44-118-3786019 Fax: +44-118-3788905 21 E-mail: a.g.turner@reading.ac.uk 22 G. M. Martin, R. C. Levine 23 Hadley Centre, Met Office, 24 FitzRoy Road, 25 Exeter, EX1 3PB, UK

on India as is common in CMIP3 and CMIP5 models, experiments replacing the Indian peninsula with a sea surface suggest a redistribution of precipitation in the northern Indian Ocean and enhanced precipitation in place of India. In further experiments, the role of land surface characteristics and orography (primarily the Western Ghats) of peninsular India are examined. The Western Ghats are shown to slow the flow across the peninsula and add a southerly component as the flow reaches the Bay of Bengal, as well as providing orographic enhancement resulting in upstream rainfall. Analysis of the evolution of turbulent surface fluxes and the boundary layer in wet-surface experiments shows a reduction in the diurnal cycle of sensible heating, enhancement of latent heating throughout the day, and increases in cumulus-capped boundary layers. More detailed lake experiments demonstrate a strong dependence of the strength of the diurnal cycle on lake heat capacity. So the presence of land at the surface restricts the availability of moisture but

amplifies the diurnal cycle. Finally, we perturb the Indo-52 27 Gangetic Plains region south of the Himalayas and demon-53 28 strate that the monsoon rainfall and circulation are very sen-54 29 sitive to changes in this region. In all the wet surface ex-55 30 periments in which mean rainfall is enhanced, deep convec-56 31 tion becomes more preferable and there is evidence for more 57 32 monsoon depressions and northward propagating intrasea-58 33 sonal variability. 59 34

comprise too much rainfall over the Western Equatorial Indian Ocean (see also Bollasina and Nigam, 2009), and dry biases over India. As in Sperber *et al.* (2012) (Fig. 2), the dry biases over India are often over the north-east part of the peninsula, a region characterised by the monsoon trough and affected by low pressure systems, known as monsoon depressions when large (Krishnamurthy and Ajayamohan, 2010).

35 Keywords Land-sea contrast · Monsoon · Model bias ·

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Land-atmosphere interaction · South Asia

# 37 **1 Introduction**

The Asian summer monsoon supplies around 80% of an-64 38 nual rainfall to South Asia and affects the lives of more than 65 39 a billion people who rely on it for agriculture and indus-66 try. Therefore being able to model and forecast the monsoon 67 41 and make projections of future climate change are important 68 42 goals for atmospheric science. While the models from the 69 43 fifth Coupled Model Intercomparison Project (CMIP5) can 70 44 produce a reasonable simulation of the gross features of the 71 45 Asian monsoon, including its cross-equatorial flow, there are 72 46 still large rainfall biases in most CMIP3 and CMIP5 models 73 47 (Sperber et al., 2012). Coupled GCMs can generally pick 74 up some of the broad features of monsoon rainfall such as 75 49 rainfall maxima just west of the Western Ghat mountains in 76 50 India and in the Bay of Bengal, but the rainfall biases largely 77 51

The origin of these biases over India is not understood, but cold biases in the simulation of winter and spring Arabian Sea sea-surface temperature (SST) are known to be detrimental to monsoon precipitation (Levine and Turner, 2012; Turner et al., 2012; Levine et al., 2013; Marathayil et al., 2013). However dry biases exist in atmosphere-only integrations irrespective of coupling with the ocean, there are feedbacks between Indian and WEIO rainfall biases and there are known sensitivities to convective parameterisation (e.g. Bush et al., 2013). The monsoon trough region and northern plains of India are also particularly interesting owing to the large population density and prevalence of irrigated agriculture in the Indo-Gangetic Plains. Despite the proximity to the Himalaya, most of the river flow in the Ganga comes from monsoon rainfall rather than glacial melt (Immerzeel et al., 2010). Northern India was also identified by Koster et al. (2004) as one of only a few hotspots of landatmosphere interaction, that is, a region of strong coupling

between the land surface and atmosphere on seasonal time105 78 scales.

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Ideas about the formation of the monsoon started cen-107 80 turies ago with Halley in 1686 (see the review in Turner and<sup>108</sup> 81 Annamalai, 2012). At the most basic level a meridional con-109 82 trast in surface temperature caused by differential heat ca-110 83 pacity leads to a surface pressure gradient and a meridional<sup>111</sup> 84 overturning circulation involving a cross-equatorial flow at<sup>112</sup> the surface. This flow advects moisture to the South Asian113 86 subcontinent in the familiar southwesterly direction, aided<sup>114</sup> 87 by the planetary rotation. Li and Yanai (1996) further added<sup>115</sup> 88 the role of the Tibetan Plateau as a source of strong sensi-116 80 ble heating in spring, leading to the meridional contrast in<sup>117</sup> 90 temperature extending to a significant depth of the tropo-118 91 sphere, unlike other monsoon regions. More recently, Boos<sup>119</sup> 92 and Kuang (2010) have used GCM experiments to demon-120 93 strate that the narrow Himalayan range is fundamental to the121 94 monsoon, acting as a mechanical block to dry midlatitude122 95 westerlies interfering with the moist air originating over the123 96 Indian Ocean. Studies such as those of Chou et al. (2001)<sup>124</sup> 97 and Chou and Neelin (2003) have expanded on simple land-125 98 sea contrast ideas, pointing out that the net flux of energy<sub>126</sub> 99 into the atmospheric column is positive far north of the mon-127 100 soon domain. The northward extent of the monsoon and lo-128 101 cation of the maximum ascent and rainfall can be further re-129 102 lated to the position of the maximum sub-cloud moist static130 103 energy (Prive and Plumb, 2007), approximately coinciding131 104

with the Himalaya. For further discussion see the review in Turner and Annamalai (2012).

Although considerable work has been done on the effect of Himalaya/Tibetan Plateau uplift on the monsoon (Molnar et al., 1993; Zhisheng et al., 2001), we know less about the role of peninsular India itself in monsoon formation and maintenance. Bollasina and Nigam (2011b) have described the formation of the Pakistan heat low (at the western end of the monsoon trough that forms south of the Himalaya) prior to the monsoon, and shown that the Hindu Kush mountains are more important than local land surface heating in its development. Further deepening of the low during July is remotely forced by deep convection in the Bay of Bengal and eastern India, perhaps via a Rossby wave mechanism (e.g. Chou et al., 2001). Bollasina and Nigam (2011a) have further described interactions between the Thar desert in north west India and heavy precipitation in the Bay of Bengal, by artificially expanding the desert. They confirm the regional large-scale feedback, finding enhanced precipitation to the east over Indochina when the desert is expanded.

In this study we aim to examine the role of the Indian subcontinent in the development of the South Asian monsoon in a GCM in a series of novel experiments in which we perturb the land surface and orography of the local region. We also examine the importance of the Indo-Gangetic Plains. We will use the Met Office global GCM MetUM GA3/GL3 and by analysing details of modelled boundary

layer and convective behaviour hope also to learn something156 132 about monsoon precipitation biases in models. 133 157 In Section 2 we describe the methods used, while the158 134 seasonal mean results are shown in Section 3. In Section<sup>159</sup> 135 4 we show changes to synoptic and intraseasonal variabil-160 136 ity, while discussion of the seasonal and diurnal evolution of161 137 surface fluxes and the boundary layer is made in Section  $5_{162}$ 138 We conclude in Section 6. 139 163

### 140 2 Methods

In this section we describe the GCM used and the design of
 the experiments testing the role of peninsular India.

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<sup>143</sup> 2.1 The MetUM GA3/GL3 model

The Met Office Unified Model (MetUM) is used with its171 144 Global Atmosphere 3/Global Land 3 configuration (GA3/GLI39 145 Walters *et al.*, 2011), integrated at a resolution of  $1.875^{\circ} \times_{173}$ 146 1.25° in longitude and latitude respectively (known as N96174 147 resolution). There are 85 levels in the vertical, featuring a<sub>175</sub> 148 well resolved stratosphere. MetUM GA3/GL3 is currently<sub>176</sub> 149 classed as a development version of the Met Office Unified<sub>177</sub> 150 Model, meaning it undergoes regular updates in which new178 151 model physics are evaluated. 152 179

The model boundary layer scheme, included to parametrize
turbulent motions, is as described in Lock *et al.* (2000) with<sub>181</sub>
modifications as in Lock (2001) and Brown *et al.* (2008)<sub>182</sub>

The scheme classifies seven types of boundary layer: stable; stratocumulus (Sc) over a stable layer; well mixed; decoupled stratocumulus with no cumulus present; decoupled stratocumulus lying over cumulus cloud; cumulus capped (Cu) and shear driven. The distribution of types is discussed later in Section 5.

The convection scheme is derived from Gregory and Rowntree (1990) but with major modifications, representing the average properties of an ensemble of convective plumes. Convection is triggered from the boundary layer using an undilute parcel, forming either shallow or deep convection. Mid-level convection can also be triggered from the free troposphere, when on top of a well-mixed or stable boundary layer. Precipitation from mid-level convection can be quite substantial if the appropriate boundary layer is low enough. For a detailed description of the convection scheme changes since the original version, see Bush *et al.* (2013).

The land surface scheme, JULES (Joint UK Land Environment Simulator, Best *et al.*, 2011; Clark *et al.*, 2011), represents a series of 9 land surface types at the sub-grid scale. These surface types are specified as a fractional fixed proportion of each N96 grid box, being one of: broadleaf trees; needleleaf trees; C3 crops/grasses; C4 crops/grasses; shrubs; urban; inland lake; bare soil; and land-ice. Proportions of the first eight classes in a grid box must sum to 1 or alternatively a grid square can be exclusively covered by land ice. The lake scheme, which we shall employ in some experiments, consists of a freely evaporating surface canopy
combined with an effective heat capacity that represents a
user-defined depth of water.

The dominant land surface types over India in MetUM 186 GA3/GL3 are shown in Fig. 1, being mainly C3 grasses, 187 with some broadleaf trees on the west coast and in east-188 central India where they make up to 40% of the surface. We 189 do not show the percentage coverage of each class separately 190 for brevity, but these regions also feature between 20% and 191 30% shrubs. Bare soil forms up to 20% of the land use across 192 the country. In the Indo-Gangetic Plains region (particularly<sub>208</sub> 193 the foothills of the Himalaya) C3 grasses form up to 60%209 194 of the surface, reflecting the intensive agriculture there. The210 195 original land use classes in MetUM GA3/GL3 were com-196 211 plied from an IGBP dataset (Global Soil Data Task, 2000) 197 Analysis of a more recent NRSC/AwiFS characterisation of<sub>212</sub> 198 the Indian land surface at a higher resolution and featuring<sub>213</sub> 199 24 classes (personal communication, A. Mitra of NCMRWF<sub>214</sub> 200 (India), February 2013), there is particular incidence of irri-215 201 gated cropland in the northern plains. We remind the reader<sub>216</sub> 202 that the purpose of this study is not to present the results<sub>17</sub> 203 of more realistic land use settings for India, but to examine,18 204 more fundamentally the role of the peninsula in the South 205 Asian summer monsoon. We run the land surface model<sub>220</sub> 206 with fixed (i.e., non-dynamic) vegetation. 207 221



Fig. 1 Dominant class of land use proportions in each grid box of South Asia in MetUM GA3/GL3. Classes used are broadleaf trees; needleleaf trees; C3 crops/grasses; C4 crops/grasses; shrubs; urban; inland lake; and bare soil. Land-ice is excluded here.

In the vertical, the land surface scheme consists of four soil layers of thicknesses 10cm, 25cm, 65cm and 2m, totalling 3m.

## The monsoon in MetUM GA3/GL3 GA3

Here we use the Global Precipitation Climatology Project (GPCP) monthly  $2.5^{\circ}$  data (Adler *et al.*, 2003), a merger of gauge readings and combined infrared/microwave satellite rainfall estimates to compare with the monsoon precipitation in MetUM GA3/GL3. Lower tropospheric winds at 850hPa are used to measure the mean monsoon flow, in comparison with those in the ERA-Interim Reanalysis (Dee *et al.*, 2011). Both data sets are curtailed to the 1983–2002 period to match the model integrations. In Figure 2 we show the simulation of the summer monsoon climate in MetUM



**Fig. 2** Summer (JJAS) monsoon climate in the (a) MetUM GA3/GL3<sup>239</sup> *control* integration showing daily mean precipitation and lower tro-<sup>240</sup> pospheric (850hPa) winds; (b) GPCP precipitation and ERA-Interim winds and (c) differences between MetUM GA3/GL3 precipitation and winds versus GPCP and ERA-Interim respectively. When calculating<sup>242</sup> the differences, MetUM GA3/GL3 precipitation was downgraded to<sup>243</sup> the 2.5° resolution of GPCP, while ERA-Interim winds were down-<sup>244</sup> graded to the same grid as MetUM GA3/GL3. Units are mm day<sup>-1</sup> and ms<sup>-1</sup> respectively. Unit vectors are 10ms<sup>-1</sup> and 2ms<sup>-1</sup> for the cli-<sup>246</sup> matologies and difference respectively.

GA3/GL3 in the *control* integration (see Section 2.2), aver-248 aged from 1983–2002, in comparison with GPCP and ERA-249 Interim observations for the same period. The main features of the monsoon flow, including the251 large-scale cross equatorial flow associated with the Somaliz52

jet and its recurvature around the monsoon trough in northern India, are well captured. There is a slight tendency for the flow to diverge somewhat to the north and south as it reaches the west coast of India, as if it were attempting to go around the Western Ghats mountains on the coast. The main bias in the flow is a too weak Somali jet as it crosses the Arabian Sea, together with anomalous northwesterly flow along the Himalayan foothills (the north side of the monsoon trough), indicating a weakened trough.

The precipitation field demonstrates the rainfall maxima upstream of the Western Ghats, in the central/east Bay of Bengal upstream of the Arakan Range mountains in Burma, and also along the Himalayan foothills. The major biases in the model are dryness over the South Asian monsoon region, particularly in central India, a region often affected by monsoon depressions as they track westwards from the Bay of Bengal. There is also excessive rainfall in the western equatorial Indian Ocean (WEIO). Despite the large size of these rainfall biases, both are widespread in the CMIP3 and CMIP5 models (Sperber et al., 2012) and indeed part of the motivation here is to explore the sensitivity of these biases to perturbations made to the South Asian peninsula. The largest wind biases mentioned above are consistent with reduced diabatic heating from the monsoon rainfall and are again prevalent in CMIP3 and CMIP5 models (Sperber et al., 2012).

While the model used here is in atmosphere-only con-253 figuration, the errors shown in Fig. 2 are not due to the lack 254 of coupling with the ocean. Levine and Turner (2012) have 255 shown in a version of this model that introducing coupling 256 with the ocean further limits South Asian monsoon precip-257 itation, due to the development of cold biases in the Ara-258 bian Sea, which limit moisture advection. Such cold biases 259 are also prevalent in the CMIP3 models (Marathayil et al., 260 2013). 261

262 2.2 Experimental design

A series of experiments are performed using the MetUM 263 GA3/GL3 model used in atmosphere-only configuration with 264 AMIP (Atmospheric Model Intercomparison Project) SST 265 forcing. All integrations are run from September 1981 to 266 December 2002; the first 16 months are discarded as a cau-279 267 tious spin-up period to the altered initial conditions, leaving280 268 20 years of output data for analysis (1983-2002). All exper-269 281 iments used are listed in Table 1 with the main ones being 270 described below; more details are given later in the main<sub>282</sub> 271 text. 272 283

Figure 3 shows the original model land-sea mask at N96284 resolution  $(1.875^{\circ} \times 1.25^{\circ})$  along with masks in the various experiments to follow. We are in no way intending to depicte state boundaries in this figure, nor do we imply that what we describe as South Asia represents all countries within that political region. **Table 1** Summary of experiments perturbing the Indian land surface in MetUM GA3/GL3, each for 1983-2002 using AMIP forcing. The perturbation regions are as shown in Fig. 3. References to the land surface and orography apply to the region of perturbation only. Lake depths are 5m unless otherwise stated.

name	region of	surface	orography
	perturbation	conditions	
control	-	standard	$\checkmark$
no_pen	peninsula (removed)	sea	×
lake_pen	peninsula	lake	$\checkmark$
bare_pen	peninsula	bare soil	$\checkmark$
orog_no_pen	peninsula (removed)	sea	1
no_orog	peninsula	standard	×
lake_IGP	Indo-Gangetic Plains	lake	$\checkmark$
lake_SA	South Asia	lake	$\checkmark$
no_SA	South Asia (removed)	sea	×
lake_pen50	peninsula	lake (50cm)	1
lake_pen5	peninsula	lake (5cm)	$\checkmark$

Where appropriate, statistical testing on the difference between sample means is performed using a student's t-test.

#### Main experiments - role of the peninsula

In the first experiment, we test the role of the Indian peninsula itself by removing the topography from the model. This involves changing all land points in peninsular India to sea, thus altering the land-sea mask and land fraction configurations of the model. The peninsula is removed south of 22.5°N such that an approximately zonal line can be drawn across from the northern coast of the Arabian Sea to that of the Bay of Bengal. This is known as the *no\_pen* experiment.



Fig. 3 Land-sea masks at the model N96 resolution  $(1.875^{\circ} \times 1.25^{\circ})$  in (a) *control*; (b) *no\_pen*; (c) *no\_SA* experiments; and (d) depiction of the peninsula and Indo-Gangetic Plains. We are in no way intending to depict state boundaries.

Orography is also removed and values for other fields depen-290 dent on the land surface such as vegetation are blanked out. 291 Next to be resolved is the forcing at the lower boundary, in 292 the sea points where the peninsula used to be. Atmosphere-293 only integrations of GCMs derived CMIP-class models (Meehl 294 et al., 2007; Taylor et al., 2012) are typically forced with 295 AMIP sea surface temperatures (SSTs) made available by 296 the Program for Climate Model Diagnostics and Intercom-297 parison (PCMDI). Since many models operating at the same 298 resolution have different ways of representing coastlines, the 299 AMIP forcing SST dataset is made available covering all 300 311 points on the globe, even beneath the land. The SSTs be-301 312 neath the land regions are based on interpolation between 302 313 adjacent seas. Clearly such interpolations over the large land 303 masses such as Eurasia or Africa would be meaningless, but 304 interpolating underneath India between the Bay of Bengal 305 and Arabian Sea we feel will offer SSTs that would be rea-316 306 sonably 'representative' should the peninsula not, in fact, ex-317 307 ist. Figure 4 shows the daily seasonal cycle of SSTs applied<sup>318</sup> 308 beneath the removed points of the Indian peninsula averaged<sup>319</sup> 309



**Fig. 4** Daily seasonal cycle of prescribed SSTs in the *no\_pen* experiment, area-averaged over grid points beneath the peninsular land surface south of 22.5°N and meaned over 1983–2002. Units are °C.

over the 1983–2002 experimental period. The twin peaks are rather similar to those in the Arabian Sea (Ju and Slingo, 1995), representing late spring warming, cooling due to strong monsoon winds and then, as these winds weaken, some final warming prior to the onset of winter as the Sun moves south of the equator.

To further determine the role of the peninsula on the maintenance and onset of the monsoon in MetUM GA3/GL3, we perform experiments in which the Indian land surface conditions are perturbed. We start from two experiments, *lake\_pen* and *bare\_pen* respectively, in which the peninsula

(south of 22.5°N) is replaced by 100% inland lake or 100%347 321 bare soil respectively, representing extremes of the possi-322 ble land surface tiles available in the JULES land surface<sup>348</sup> 323 model. In lake\_pen we are effectively offering an unlimited<sup>349</sup> 324 supply of moisture at the surface, rather like the *no\_pen* ex-<sup>350</sup> 325 periments. The temperature of the inland lake tile is con-<sup>351</sup> 326 trolled by radiation and turbulent heat fluxes at the surface,<sup>352</sup> 327 acting on a heat capacity set by assuming an effective depth<sup>353</sup> 328 of d = 5m. In *bare\_pen* we are obviously limiting the sup-<sup>354</sup> 329 ply of moisture to the atmosphere through evapotranpiration<sup>355</sup> 330 from the surface. Both will have an impact on surface rough-356 331 357 ness. 332

## 333 Role of orography

In orog\_no\_pen we exploit a quirk of the model functionality 334 in which it is possible to maintain orography over regions of 335 sea. The *orog\_no\_pen* experiment is set up in the same way 336 as no\_pen, except the orography over the peninsula is still 337 present. This mainly constitutes the Western Ghats, the nar-360 338 row range of mountains on the west coast of India respon-361 339 sible for much orographic rainfall and part of the regional<sub>462</sub> 340 rainfall distribution over the peninsula (Turner and Anna-363 341 malai, 2012). We prescribe the same SSTs as in *no\_pen* and<sub>364</sub> 342 do not allow for lapse rate with height. In no\_orog we main-365 343 tain the Indian peninsula but simply remove the orography<sub>366</sub> 344 by flattening the Western Ghats, to test the role of the moun-367 345 tains separately. 346 368 Role of the Indo-Gangetic Plains

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Further variations on *no\_pen* are performed to test the role of the Indo-Gangetic Plains. In *no\_SA*, we remove the land points approximating all of South Asia (SA hereafter), all the way to the Himalayan foothills. This was achieved by extending the sea region in the *no\_pen* experiment northwards such that all regions of orography less than 750m height were converted to sea points, thus encompassing the Indus and Ganges basins. Note that we are explicitly not intending to address the issue of the role of the movement of the Indian tectonic plate and resultant Himalayan/Tibetan Plateau uplift on the monsoon.

## The relative role of heat capacity and moisture availability

Our final experiments adjust the effective lake depth to test the relative role of heat capacity and moisture availability. While we have set the surface heat capacity in the *lake\_pen* experiment to give an effective depth of 5m, we reduce this heat capacity by  $10 \times$  and  $100 \times$  to give effective depths of 50cm and 5cm in *lake\_pen50* and *lake\_pen5* respectively. This will allow us to gauge the effect of heat capacity on the diurnal cycle of surface fluxes and boundary layer evolution.

# 369 **3 Results**



Here we describe the results of experiments in which the 371 peninsula of India is removed, and its effect on the subse-372 quent summer monsoon. The main impact of removal of the 373 peninsula on the precipitation and flow of the South Asian 374 monsoon is shown in Figure 5. In comparison to the control 375 integration, the monsoon climate of no\_pen in Figure 5a sug-376 gests rather more rainfall over central and eastern India and 377 less divergence of the flow around the region of the West-378 ern Ghats. In Figure 5b, this result is confirmed and there 379 is a clear cyclonic/anticyclonic anomaly from north to south 380 over the peninsula. In addition, there is a large and signifi-381 cant increase in monsoon rainfall in the southwest Bay of 382 Bengal. The extension of the northeasterly flow anomaly 383 from the south of India and Sri Lanka into the Bay of Ben-<sup>395</sup> 384 gal results in substantially reduced rainfall over Burma and<sup>396</sup> 385 Bangladesh, as less moisture is being advected there. At first<sup>397</sup> 386 glance therefore, the presence of the Indian peninsula ap-398 387 399 pears to weaken the monsoon over India. 388

It should be noted that removing the Indian peninsula and getting what appears to be a stronger monsoon is not in tiself surprising. The idea of a simple land-sea contrast be tween the peninsula and surrounding seas in initiating and maintaining the Asian monsoon is an obvious over-simplification. As shown in Turner and Annamalai (2012), the temperature s





Fig. 5 Summer (JJAS) monsoon climate in the (a) *no\_pen* integration showing daily mean precipitation and lower tropospheric (850hPa) winds; and (b) differences between *no\_pen* and *control*. Wind field is shown by gray vectors; wind differences significant at the 95% level using a student's t-test are shown in black. Only precipitation differences significant at the 95% level are shown. Units are mm day<sup>-1</sup> and  $ms^{-1}$  respectively.

gradient exists over a much larger meridional scale: from the relatively cold southern Indian Ocean high pressure region to the intense heating over the Tibetan Plateau (Li and Yanai, 1996). An atmospheric GCM has also been used to show the importance of the Himalaya in restricting the advection of dry air into the monsoon domain (Boos and Kuang, 2010). Both the Himalaya and Tibetan Plateau are unperturbed in our *no\_pen* experiment, in contrast to the experimental design in other studies.

n. In removing the peninsula, clearly we have perturbed several aspects of the topography, primarily including the

orography (the Western Ghats) and in providing an unlimited supply of moisture at the surface. The moisture will act
to feed additional rainfall in addition to that advected across
from the Arabian Sea and southern Indian Ocean. Next we
explore further the role of the orography, with experiments
where the orography is removed (*no\_orog*).

## 412 Orography

Given the strong apparent influence of the Western Ghats as 413 part of the orography of the Indian peninsula on the mon-414 soon in Figure 5, here we examine the role of orography 415 explicitly. Figure 6 shows the impact of removing the orog-416 raphy of the peninsula (but maintaining the land surface) 417 on the monsoon climate. Rather similar to the anomalous 418 flow pattern shown in Figure 5b, Figure 6b illustrates that 419 without the Western Ghats present, flow speed is increased 420 at around 18°N (roughly the centre of the west coast) by 421 around 2ms<sup>-1</sup>. This leads to an cyclonic/anticylonic anomaly<sub>32</sub> 422 in the meridional direction. The anticyclonic anomaly to the433 423 south leads to anomalous north-easterly flow across the Bay434 424 of Bengal, opposing the mean monsoon flow and reducing<sub>435</sub> 425 rainfall along the Burmese coast. As expected, there is also<sub>436</sub> 426 reduced orographic precipitation just off the west coast of<sub>437</sub> 427 India; in consequence the rain shadow region over southeast438 428 India and Sri Lanka becomes wetter. 429 439 Part of the signal illustrated in Fig. 6b can clearly be ex-440 430 plained by the influence of the Western Ghats perturbing the441 431



**Fig. 6** Summer (JJAS) monsoon climate in the (a) *no\_orog* integration showing daily mean precipitation and lower tropospheric (850hPa) winds; and (b) differences between *no\_orog* and *control*. The wind field is shown by gray vectors; wind differences significant at the 95% level using a student's t-test are shown in black. Only precipitation differences significant at the 95% level are shown. Units are mm day<sup>-1</sup> and ms<sup>-1</sup> respectively.

low-level flow. The Western Ghats add a southerly component to the flow, which would otherwise be zonal across the Bay of Bengal (Fig. 5a). This is consistent with the arguments of Slingo *et al.* (2005), who removed the East African Highlands in the HadAM3 GCM to show that they introduced a meridional component to the flow in the Arabian Sea, Bay of Bengal and South China Sea. It appears the Western Ghats are instrumental in aiding this flow in the Bay of Bengal, with a consequent vital role in precipitation distribution on the west coast of the Indochina peninsula. In summary therefore, the presence of the Western Ghats
seems to slow the monsoon flow and increase upstream rainfall.

We also tested the role of parameterized sub-gridscale 445 orography in a separate experiment (not shown), where mea-446 sures of the gradients and standard deviations within the grid 447 square were set to zero, while the mean orographic height 448 was maintained. This was found to have no significant im-440 pact on the circulation or rainfall. Results of the orog\_no\_pen 450 experiment (not shown), in which the Western Ghats are re-451 tained over a sea surface, are similar to those of lake\_pen but 452 larger in magnitude (see Fig. 7b in the following section). 453 This is probably as a result of changes in the diurnal cycle 454 (see later). We next investigate what role the land surface 455 plays in the monsoon. 456

## 457 3.2 Perturbing Indian land surface conditions

Here we describe experiments where the land surface type<sub>469</sub> the surface to increase and latent heat to decrease (see later over the Indian peninsula is perturbed: in *bare\_pen* and *lake\_pen*, for more detail), both of which would act to restrict monwhere the land surface type is set to 100% bare soil or inland<sub>471</sub> soon rainfall. Since rainfall over central India is rather low lake respectively.

Figure 7 shows the impact of 100% bare soil or inland lake in the peninsula on monsoon rainfall. There is little im-474 pact of the *bare\_pen* experiment (Fig. 7a) on the monsoon, as witnessed by the absence of signal in precipitation over In-476 dia. Since the introduction of bare soil reduces the capacity of the land surface to hold soil moisture but greatly reduces



Fig. 7 Summer (JJAS) monsoon differences from the *control* integration in (a) *bare\_pen* and (b) *lake\_pen* integrations showing daily mean precipitation and lower tropospheric (850hPa) winds. The wind field is shown by gray vectors; wind differences significant at the 95% level using a student's t-test are shown in black. Only precipitation differences significant at the 95% level are shown. Units are mm day<sup>-1</sup> and  $ms^{-1}$  respectively.

roughness length, then we may expect sensible heat from the surface to increase and latent heat to decrease (see later for more detail), both of which would act to restrict monsoon rainfall. Since rainfall over central India is rather low in the *control* however, it is unlikely to be reduced further. Using the HadGEM2 model in atmosphere-only configuration, Martin and Levine (2012) showed that bare soil over India generates dust that tends to reduce rainfall through its radiative effects. Although increased dust loading is also seen in our experiments, the impacts on rainfall are minimal because there is already very little rainfall in this region in the control. Unlike precipitation, the flow is shown to in-506
crease, implying increased convergence over the peninsula.507
This is likely driven by the reduced surface roughness and 508
increased surface heating. Thus the implication is that the 509
roughness of the normally-vegetated surface decelerates the 510
flow across the peninsula. 511

Figure 7b demonstrates that *lake\_pen* has a much more  $^{512}$ 485 dramatic impact on the monsoon climate, with the strongest<sup>513</sup> 486 increase yet in the rainfall. Significant increases in precipi-514 487 tation of up to 6mm day $^{-1}$  are noticed over the peninsula,<sup>515</sup> 488 with maxima over the west coast and in the north-east (sug-516 489 gesting that monsoon depressions may be playing a role (see<sup>517</sup> 490 later). Increases of up to 8mm day<sup>-1</sup> are also found over<sup>518</sup> 491 the southwest Bay of Bengal. There are also statistically<sup>519</sup> 492 significant changes to the monsoon flow, which over India<sup>520</sup> 493 may relate to the decreased roughness length as in Fig. 7a. 494 521 The southwesterly anomalies at the south of the west coast 495 of India act to turn the mean flow northwards slightly and 522 496 are likely a response to the increased rainfall. The increased 523 497 strength of the monsoon trough is reflected in the increased 524 498 strength of south-easterlies there. There is also some evi-525 499 dence for anomalous flow away from the Burmese coast,526 500 explaining the reduced orographic rainfall there. Over these 501 western equatorial Indian Ocean there are significant reduc-528 502 tions in rainfall of up to 4mm day<sup>-1</sup>, helping reduce the bias<sub>529</sub> 503 (Fig. 2). There is a considerably larger region of rainfall de-530 504 crease below the 95% significance level (not shown). 531 505

class in MetUM GA3/GL3 is C3 grasses and thus the transformation to inland lake both decreases roughness length and provides an essentially unlimited supply of moisture at the surface. Both these factors, as we shall see later, increase the flux of latent heat from the surface leading to an increase in moisture in the boundary layer. To put another way, the normal surface of India thus serves to increase roughness length and decrease the availability of moisture. While we will look into more detail of the mechanisms involved in Section 5, first we further explore the importance of the Indo-Gangetic Plains region (which coincides with the monsoon trough) in experiments where low-lying regions of South Asia north of 22.5°N (*lake\_IGP*) and the whole of the South Asian subcontinent (*lake\_SA*) are covered in lake.

As stated in Section 2.1, the dominant initial land use

## The role of the Indo-Gangetic Plains

To elucidate the impact of unlimited moisture availability at the Indian land surface further, we describe here the results of experiments where surface conditions are changed in the Indo-Gangetic Plains (IGP) region. The *lake\_IGP* and *lake\_SA* experiments, in which either the Indo-Gangetic Plains only or the whole of South Asia up to the Himalayan foothills are covered in 100% lake respectively, or *no\_SA* where South Asia is removed completely, are compared with the *control*. Regions used are as in Fig. 3. The Indo-Gangetic Plains are particularly interesting owing to their proximity to the



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**Fig. 8** Summer (JJAS) monsoon differences from the *control* integra-<sup>551</sup> tion in (a) *lake\_JGP*, (b) *lake\_SA* and (c) *no\_SA* integrations showing<sup>552</sup> daily mean precipitation and lower tropospheric (850hPa) winds. The<sub>553</sub> wind field is shown by gray vectors; wind differences significant at the 95% level using a student's t-test are shown in black. Only precipitation differences significant at the 95% level are shown. Units are mm day<sup>-1555</sup> and ms<sup>-1</sup> respectively.

monsoon trough, the widespread use of irrigation for agri-<sup>559</sup> culture and its characterisation as a global hotspot of land-<sup>560</sup> atmosphere coupling (Koster *et al.*, 2004). The high reso-<sup>561</sup> lution and more detailed classification NRSC/AwiFS data<sup>562</sup> mentioned in Section 2 shows much of the plains to consist<sub>563</sub> of irrigated cropland or pasture.

We first look at the Indo-Gangetic Plains in isolation (lake\_IGP) in Fig. 8a. There is a clear shift of precipitation from the Himalaya to the foothills region and the Indo-Gangetic Plains. Since the response of the flow is mainly recirculating within the region and not advecting additional moisture from either the Arabian Sea or Bay of Bengal to this region, the increase must comprise increased local evaporation. This is consistent with results of Tuinenburg et al. (2012) who noted that 60% of summer surface moisture in the Ganges region was recycled into the atmosphere. The increase in precipitation of 12mm day<sup>-1</sup> or more underlines the sensitivity of the monsoon to land surface configuration changes here (see the land-atmosphere coupling hotspot in Koster et al., 2004). We will see later the separate impacts of heat capacity and water availability in the lake experiments. Although our examination is idealised, this may have implications for the expansion of irrigation practices for agriculture (Niyogi et al., 2010) including the use of tube wells and other forms of groundwater extraction making available hitherto untapped water to the atmosphere. Saeed et al. (2009) also showed using the REMO regional model that allowing evaporation to increase to the maximum potential evapotranspiration rate in regions of strong irrigation (mainly in the northern plains) led to a strong increase in local recycling.

Looking more widely at the *lake\_SA* experiment, Fig. 8b shows a rainfall and circulation response that seems to be a

magnification of the *lake\_pen* experiment shown in Fig. 7b.592 It features a significant enhancement of the Somali jet acrosses the Arabian Sea and rainfall increase by at least 6mm day<sup> $-1_{594}$ </sup> in the far north of the domain up against the Himalayas. The western Bay of Bengal also features increases in rainfall as in the *no\_pen* and *lake\_pen* experiments.

To complete the picture, Fig. 8c shows the results of the<sup>598</sup> 571 no\_SA experiment, in which the South Asia region is re-599 572 placed by sea surface. The response now is more complex.600 573 This experiment differs from lake\_SA not only in the lack601 574 of feedback on surface temperatures but also in having no<sup>602</sup> 575 orography. The precipitation signal shows increases centred<sup>603</sup> 576 on the southwest Bay of Bengal, as in no\_pen but also in<sup>604</sup> 577 the same position as one of the large signals in lake\_pen and605 578 lake\_SA. However, unlike those other experiments, there is<sup>606</sup> 579 a clear weakening of the monsoon circulation, especially in<sub>507</sub> 580 the northern Arabian Sea but even extending to the cross-608 581 equatorial part of the flow. To understand these more nu-582 anced changes in the monsoon precipitation and circulation, 510 583 we show the monsoon onset period (June) mean surface tem-584 perature and mean sea-level pressure climatologies in Fig. 9,512 585 The control (Fig. 9a) shows an elongated trough that ex-613 586 tends quite far south in eastern India and reaches as low as614 587 996hPa over the northern plains of India. Experiments in615 588 Fig. 9b,c,e all show an intense trough reaching 1000hPa or616 589 less, reflecting the strong gradients in surface temperature<sub>17</sub> 590 as we approach the Himalayas from the south. In no\_pen for518 591

example (Fig. 9e), there exists a strong gradient between the sea at around 10°N and the warmer land surface of the Indo-Gangetic Plains, supporting the low-level monsoon circulation. Evidence suggests that surface temperature gradients in the northern Indian Ocean are important in monsoon rainfall (e.g. Chung and Ramanathan, 2006). In *lake\_SA* (Fig. 9d), the trough is not as intense as in other experiments (reflecting the weakened surface temperature gradient) but it still falls to 1001hPa. An interesting feature of *lake\_IGP* (Fig. 9b) is that it features a maximum in surface temperature on the peninsula while being colder to the north and south. This alters the shape of the trough, weakening it at the head of the Bay of Bengal. The local temperature gradient along the Himalayan foothills when the IGP region is covered in lake seems to drive extra convergence and rainfall there.

When we remove the whole of the South Asia region as in *no\_SA* and replace it with sea (Fig. 9f), we considerably weaken the surface temperature gradient as the Himalayas are approached from the south. The maximum in underlying surface temperature forcing extends across from India into the southwest Bay of Bengal, colocated with the maximum increase in rainfall. The reduced temperature gradient has the effect of weakening the monsoon trough, even splitting the low in the northern plains from the strong heat low over southern Pakistan and Iran (from around 60°E), and it only reaches 1003hPa at its lowest. While the overall monsoon circulation does not collapse, the flow especially



Fig. 9 June average surface temperature (shaded) and mean sea-level pressure (contour lines) in the *control*, *lake\_IGP*, *lake\_pen*, *lake\_SA*, *no\_pen* and *no\_SA* experiments. Low temperatures over the Tibetan Plateau are omitted in order to restrict the range of the colour scale. Units are °C and hPa. We remind the reader that the Indian coastline is not present in the *no\_pen* and *no\_SA* experiments.

in the northern part of the Indian Ocean domain is consider-628
ably weakened (the circulation anomalies we see in Fig. 8c).629
This is why the monsoon precipitation does not increase as
strongly in *no\_SA* as in *lake\_SA*.

intraseasonal variability in those experiments where rainfall is increasing strongly.

### 4 Synoptic and intraseasonal variability

4.1 Monsoon depressions

Hence this section emphasizes the dual but competing<sub>532</sub> impacts of the peninsula in terms of offering a temperature<sub>533</sub> and pressure gradient to sustain the monsoon circulation so<sub>634</sub> far north and that of the moisture availability depending on<sub>635</sub> the surface conditions. We next examine the synoptic and<sub>636</sub> Since much rainfall in northeast peninsular India and the monsoon trough comes from monsoon depressions (Krishnamurthy and Shukla, 2007), here we perform analysis to determine if any of the additional monsoon rainfall noted in the wet surface experiments is coming from greater prevalence of depressions in the region. We examine the *no\_SA*<sub>664</sub>
and *lake\_SA* runs in comparison with the control integration.665
We don't examine the *lake\_pen* or *no\_pen* experiments in.666
this context since the imposition of the surface perturbation.667
south of 22.5°N introduces an artificial cut-off through the.668
monsoon trough region, where depressions may be expected.669
to pass. 670

Analysis is performed using a tracking algorithm (Hodges; 644 1994) on 6-hourly 850hPa relative vorticity. The data are672 645 first filtered to T42 resolution (approximately 2.8° in latitude573 646 and longitude). Systems that exceed a vorticity threshold of674 647  $5 \times 10^{-5} \text{s}^{-1}$  for at least 3 days and that travel a minimum<sup>675</sup> 648 distance of 5° are diagnosed. Further, to affect South Asia676 649 in a meaningful way the system must spend at least 60%677 650 of its lifetime in the 70–95°E, 10–30°N domain. Depression678 651 rainfall is estimated in a box approximately 20° around each679 652 system. 680 653

Figure 10 shows the average summer rainfall in those<sup>681</sup> years in which depressions are diagnosed in *control*, *no\_SA*<sup>682</sup> and *lake\_SA*. The depression tracks and average rainfall as-<sup>683</sup> sociated with those depressions are shown in the middle col-<sup>684</sup> umn (note that there may be more than one depression per year), and on the right the average rainfall without the influ-<sup>685</sup> ence of monsoon depressions is shown.

In the control integration, the only track diagnosed is<sub>687</sub> short and has only a small area of rainfall associated with<sub>688</sub> it. The number of tracks increases to seven and nine depres-<sub>689</sub>

sions in the no\_SA and lake\_SA experiments respectively, over six and seven years. The resulting impact of these depressions on mean precipitation is also larger. We note that in lake\_SA, depressions tend to be generated near the head of the Bay of Bengal and track along the monsoon trough south of the Himalayan foothills as is typical in observations (see, e.g. Annamalai et al., 1999, Figs. 16 & 17). More detailed examination of individual tracks confirms this (not shown). However in no\_SA depressions tend to form near the south Bay of Bengal, quite unlike observations, and track northwards as they reach the position of the peninsula. This is consistent with the main change in the mean precipitation as shown in Fig. 8c, and relates to the underlying surface temperature structure: no\_SA features a maxima in the southwest Bay of Bengal (see, e.g., the June SST distribution in Fig. 9f) and a weak surface pressure gradient and monsoon trough.

Thus the role of the IGP part of the peninsula, if sufficient moisture is available, is in steering monsoon depressions along the monsoon trough (established via surface temperature and pressure gradients) concentrated in the northern plains.

## 4.2 Northward propagating modes

While we have already shown an increase in activity at the synoptic scale of monsoon depressions, here we briefly describe the occurrence of northward propagating intraseasonal modes of variability at South Asian longitudes in a subset



Fig. 10 Analysis of depressions in the monsoon summer (JJA) including (a,d,g) avergage rainfall in years in which depressions are diagnosed; (b,e,h) depression tracks and their associated precipitation; and (c,f,i) average summer rainfall less the contribution from depressions. The *control*, *no\_SA* and *lake\_SA* experiments are shown. Units are mm day<sup>-1</sup>. Red squares mark the starting points for each depression.

of the experiments. We calculate lag-correlations of precip-697
itation, band-passed into 30–60 day periods using a Lanc-698
zos filter (Duchon, 1979) and averaged over the 70–100°E699
range of longitudes with precipitation at a point in the Bay700
of Bengal near 12.5°N, 85°E after Turner and Slingo (2009);701
Lin *et al.* (2008) and others. Figure 11a shows good evi-702
dence for northward propagation in GPCP precipitation ob-703

servations, but only weak evidence in the *control* integration. Weak northward propagation is also detected in *bare\_pen* (not shown). In the experiments in which we wet the surface and precipitation is enhanced over the peninsula region (*lake\_pen* and *no\_pen*), there is clear evidence of more coherent northward propagating modes of intraseasonal variability, although at slower phase speeds than in observations. In



**Fig. 11** Lag-correlations of 30–60 day bandpass-filtered precipitation averaged over the 70–90°E band against precipitation at 12.5°N, 85°E in GPCP observations and model experiments after Lin *et al.* (2009). The *control*, *lake\_pen*, *no\_pen*, *lake\_SA* and *no\_SA* experiments are shown. The dashed straight lines show phase propagation speeds of 0.8, 1.8 and  $2.8 \text{ms}^{-1}$  respectively.

the experiments in which we wet the surface of the whole of<sub>715</sub> 704 South Asia (lake\_SA and no\_SA), results are also consistent<sub>716</sub> 705 with the above. Interestingly, in the no\_pen and no\_SA ex-717 706 periments there is also the suggestion of southward propaga-718 707 tion from the equator as in observations, although we do not<sub>719</sub> 708 know the cause of this. When we cover the Indo-Gangetic720 709 Plains only with water (*lake\_IGP*), little notable difference<sub>721</sub> 710 is made to the propagation (not shown), possibly because we 711 are not changing the existing temperature gradient between 712 the peninsula and ocean, which may play a role in drawing 713 convection northwards on intraseasonal time scales. 714

The results here and in the previous section discussing depressions suggest that much of the increased precipitation is associated with organised systems. So the presence of the peninsula (in this model) reduces the occurrence of organised systems but acts to locate them in the trough region. The latter may be a 'real' effect while the former *may* be an artefact of model bias.



Fig. 12 Histograms showing summer (JJA) precipitation rates measured at every time step and each grid point over the Indian land region south of 22°N. The *control*, *bare\_pen*, *lake\_pen*, and *orog\_no\_pen* experiments are shown with precipitation from (a) the large-scale (LS) precipitation scheme and (b) convection scheme; the latter split into (c) deep; (d) mid-level and (e) shallow convection components. Note the log scale on the x-axis.

#### 722 **5 Convective, diurnal cycle and boundary layer**

# 723 processes

#### <sup>724</sup> 5.1 Time-step analysis of changes in rainfall

Here we describe how changes to precipitation have occurred<sub>37</sub> 725 at the time-step level including the intensity of precipita-726 tion and the type of convection diagnosed by the convection<sub>738</sub> 727 scheme in MetUM GA3/GL3. We take model output from<sub>739</sub> 728 individual time steps ( $\Delta t = 1200$ s) for three summers only<sub>740</sub> 729 due to the computational expense of outputting these data. 741 730 In Fig. 12 we show histograms of time step precipitation742 731 over land grid points south of 20°N split into that producedr43 732

by the large-scale scheme and by the convection scheme
separately. The convective precipitation is further split into
rainfall diagnosed as shallow, mid-level or deep (see the description in Section 2.1 for more details). For consistency,
all the integrations compared contain orography.

Rainfall diagnosed by the large-scale scheme is extremely common at very low rain rates (0.01mm day<sup>-1</sup>), suggesting that drizzle is occurring unrealistically on some grid points over the peninsula region at all times. At rain rates > 0.1mm day<sup>-1</sup>, there is a clear separation between the experiments, with there being a noticeably higher number of large-scale events in the runs with sea (*orog\_no\_pen*) or lake771
(*lake\_pen*) present at the surface. There is little difference772
between the *bare\_pen* and *control* experiments here or in773
most of the other time-step analysis, consistent with the lack774
of precipitation difference caused by imposing bare soil at775
the surface (see Fig. 7a).

Convective rainfall, which makes up the majority of rain-777 750 fall above negligible rain rates in the tropics, seems to be<sup>778</sup> 751 made up of contributions in shallow convection at the lowest<sup>779</sup> 752 rates and mid-level and deep convection at the higher rates.<sup>780</sup> 753 However the surface type makes a clear difference here. The<sup>781</sup> 754 biggest change in the spectrum comes from changes from<sup>782</sup> 755 normal land to lake or sea, with large increases in the fre-783 756 quency of deep convection at around 30 mm day<sup>-1</sup> (more so<sup>784</sup> 757 for the *no\_pen* rather than *lake\_pen* surface). For mid-level<sup>785</sup> 758 convection, there is a far lower contribution when there is<sup>786</sup> 759 lake or sea at the surface, reflecting a shift in the balance be-787 760 tween deep and mid-level convection in these experiments.788 761 The presence of deep lake or sea at the surface with inher-789 762 ent high heat capacity prevents the boundary layer becoming<sup>790</sup> 763 stable at night, allowing the dominance of deep convection.<sup>791</sup> 764 Moving from land to water at the surface also shifts the spec-792 765 trum of shallow and mid-level convection to lower rain rates. 766 793 The overall contribution of shallow convection at meaning-767 ful rain rates is small so we shall not discuss it here, although794 768 again the difference is probably also due to the change in di-795 769 urnal cycle. 770 796 It is important to note that the sensitivity shown here may be exaggerated by the model framework, owing to the influence of the relatively coarse resolution of current GCMs and associated deficiencies in convective parameterisation. The time-step analysis in *control* suggests that in the model most land rainfall is generated from local diurnally-forced convection and not from organised systems (see also analysis of monsoon depressions earlier and our later analysis of the boundary layer). Observational evidence (Krishnamurthy and Shukla, 2007) suggests that organised systems make up much of the rainfall especially over northeastern peninsular India. As we shall see later, the diurnal cycle over the land surface (controlled by the surface heat capacity) also plays a role in the occurrence and type of convection.

Analogous analysis in the runs with no orography present (not shown) indicates that the presence of orography mainly adjusts the relative heights of the peaks of deep convection. In the absence of orography, the sea surface features a much reduced count of deep convective events at around 30mm day<sup>-1</sup>.

We next explore the seasonal and diurnal evolution of surface fluxes and the boundary layer.

# 5.2 The seasonal cycle at the surface

Here we go into further detail surrounding the mechanisms involved at the surface and in the planetary boundary layer in some of the experiments outlined above. For brevity, in

most parts of the analysis we consider only the comparisons<sub>823</sub> 797 of lake\_pen, bare\_pen and no\_pen with the control integra-824 798 tion. We first examine the turbulent heat fluxes from the sur-799 face (sensible and latent heat) as components of energy input 800 to the atmospheric column, as shown in Fig. 13a. The con-801 trol run shows the dominance of sensible over latent heat, 802 fluxes at the surface (hence a Bowen ratio exceeding unity) 803 A similar result is seen in *flat\_pen*, illustrating the overriding<sub>830</sub> 804 influence of the land surface type over these fluxes, rather<sub>831</sub> 805 than the circulation changes perturbed by orography, which<sub>832</sub> 806 occur only over a small part of the peninsula (Fig. 6b). In<sub>833</sub> 807 bare\_pen the total sensible and latent heat fluxes fall slightly,334 808 This shows that the presence of vegetation over the penin-809 sula increases the Bowen ratio. In the experiments with wa-836 810 ter at the surface, sensible heating falls to low values, while<sub>837</sub> 811 latent heating dominates. In lake\_pen this has a strong sea-812 sonal cycle, reflecting the supply of moisture made available<sub>830</sub> 813 to the monsoon as the circulation evolves (see the peninsula-814 average 10m wind speed as in Fig. 13b) and the seasonal cy- $_{841}$ 815 cle of temperature. In *no\_pen*, the cycle of latent heating is  $_{^{842}}$ 816 more complex. Here the winter maximum results from the 817 convolution of the surface wind speed (Fig. 13b), including 818 its peaks for summer and winter monsoons, with the  $SST_{_{845}}$ 819 field imposed at the surface underlying the Indian peninsula 820 (Fig. 4), which is necessarily driven by the seasonal cycle of  $_{847}$ 821 SST in the surrounding ocean. The winter monsoon winds  $_{\rm 848}$ 822

are also drier since they originate from over Eurasia, encouraging evaporation and latent heat flux from the surface.

The wind speeds shown in Fig. 13b suggest that the dominant influence on the near-surface winds is due to perturbing the land surface type, with similar reductions in surface roughness in *bare\_pen* and *lake\_pen* offering similar increases in wind speed during both monsoon seasons. This reflects the standard roughness length for bare soil and lake surfaces of  $3 \times 10^{-4}$ m (Best *et al.*, 2011). The *flat\_pen* experiment offers a smaller increase in surface winds; this increase adds approximately linearly to that in *lake\_pen* to equal the increased winds speed in *no\_pen*, representing the sum of effects due to the land surface change and removal of orography. This implies that the Indian peninsula acts to slow the winds through the effects of surface roughness, especially where vegetation is present, and orography adds to this.

Next we examine the net convergence of heat and radiative fluxes into the atmospheric column ( $F_{net}$ ), after Chou and Neelin (2003) as shown in Fig. 13c. We sum the input of turbulent heat fluxes at the surface (from Fig. 13a) with the net longwave and shortwave inputs to the atmospheric column at the top of atmosphere (TOA) and surface. We neglect longwave inputs at the TOA as negligible. As in other measures, the net flux of energy into the atmospheric column is unperturbed by the *bare\_pen* experiments. The removal of orography in *flat\_pen* also has little effect, reflect-

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**Fig. 13** Monthly seasonal cycle of (a) surface turbulent heat fluxes; (b) surface wind speed (at 10m); and (c) net heat and radiative fluxes into the atmospheric column ( $F_{net}$ ) including the input of turbulent heat fluxes at the surface and the net longwave and shortwave fluxes at the top of atmosphere and surface, in the *control, bare\_pen, lake\_pen, no\_pen* and *flat\_pen* experiments. Area-averaging is performed over all land surface grid points south of 22.5°N. In (a), sensible (latent) heat fluxes are shown by the crossed (straight) lines. Units are W m<sup>-2</sup>, °C and W m<sup>-2</sup> respectively.

ing the lack of perturbation to the surface conditions. The867 5.3 The diurnal cycle 850 change in no\_pen reflects those in the seasonal cycle of la-851 tent heat flux as in Fig. 13a. That it does not increase above 852 the level of *control* in summer reflects the minimal change<sub>see</sub> 853 in precipitation over the peninsular area average region in<sub>870</sub> 854 no\_pen (Fig. 5b). The experiments with non-saturated sur-871 855 faces, including *control*, show negative convergence into the<sub>872</sub> 856 column during boreal winter, reflecting the much lower sur-873 857 face temperatures. Most dramatic is the extra convergence,874 858 of energy into the atmospheric column in the lake\_pen ex-875 859 periment. Chou and Neelin (2003) have implied that in re-876 860 gions not dynamically ventilated, increasing  $F_{\text{net}}$  should lead<sub>877</sub> 861 to enhanced monsoon convection. So the increased  $F_{\text{net}}$  is<sub>878</sub> 862 consistent with the enhanced monsoon convection. 863 879 The peninsula therefore acts to fix the seasonality of  $F_{\text{net},880}$ 864 with the level of moisture at the surface controlling its mag-881 865 nitude. 866 882

Next we look at behaviour at a diurnal level, including surface temperature, turbulent heat fluxes, the boundary layer and precipitation. We first examine the summer diurnal cycle of surface temperature (ST) in the experiments, from 3-hourly averaged output data. We perform the calculation based on all points south of 22.5°N which are (or were) land. Figure 14 shows a large summer diurnal cycle in ST that is exacerbated by changing to bare soil. The strong diurnal cycle is likely excessive owing to the weak rainfall over parts of the peninsula during the monsoon in this model. The *lake\_pen* and *no\_pen* experiments show very limited and no diurnal cycle in ST, respectively. In *no\_pen* there is no diurnal cycle in the prescribed underlying SST and therefore this diagnostic is limited. Similarly in the *lake\_pen* experiment, the large surface heat capacity in the 5m lake all but prevents



Fig. 14 Summer (JJAS) diurnal cycle of surface temperature using 3-hourly outputs in *control*, *bare\_pen*, *lake\_pen*, *no\_pen* and *flat\_pen* experiments. Area-averaging is performed over all land surface grid points south of 22.5°N. Units are °C.

a diurnal cycle in surface temperature. The sensitivity of this<sup>900</sup>
 diurnal cycle to lake heat capacity is examined later in this<sup>901</sup>
 section. There is no significant impact of the orography or<sup>902</sup>
 lack thereof (not shown).

Following from the diurnal cycle of surface tempera-904 887 ture, in Fig. 15 we show the summer diurnal cycles of area-905 888 averaged latent and sensible heat fluxes from the surface906 880 over the peninsula using timestep data. In the *control* there<sup>907</sup> 890 is a large diurnal cycle in sensible heating consistent with900 891 the largely dry surface, peaking around midday local time.909 892 The sensible heating becomes negative at night, stabilising  $^{\scriptscriptstyle 910}$ 893 the lower atmosphere and representing a key difference be-911 894 tween the diurnal cycles over land and water surfaces. Then12 895 change in orography makes little difference to this field as913 896 in the annual cycle in Fig. 13a. The diurnal cycle of latent<sup>914</sup> 897 heat flux (evaporation) is far smaller. In the bare\_pen ex-915 898 periment, the diurnal cycle of sensible heating is reduced,916 899



**Fig. 15** Diurnal cycle of turbulent surface heat fluxes (latent heat and sensible heat) sampled at each time step and averaged over each grid point of the peninsula south of 22.5°N over JJA of 1983–1985. The *control, bare\_pen, lake\_pen, no\_pen* and *flat\_pen* experiments are shown. Units are W m<sup>-2</sup>.

consistent with the annual cycle in Fig. 13a. The biggest change comes in those experiments where the surface is wet (*lake\_pen* and *no\_pen*), in which sensible heating is much reduced and stays positive throughout the night, reflecting the very small diurnal cycle of temperature in these experiments while latent heat release is strongly increased. This is reasonably uniform throughout the day reflecting the consistent vapour deficit between the surface and the near-surface atmosphere. The impact of the peninsula is therefore to enhance the diurnal cycle of surface temperature and sensible heat flux at the surface and to reduce the latent heat flux.

Since convection is connected to the surface via the boundary layer, finally we consider boundary-layer behaviour in the experiments. We explore this using time-step outputs from the experiments, as in Section 5.1. To construct Fig. 16 we first compute a mean diurnal cycle of the occurrence of the seven different boundary layer types over each grid point



**Fig. 16** Stacked bars show the diurnal cycle of proportions of boundary layer types sampled at each time step and averaged over each grid point of the peninsula south of  $22.5^{\circ}$ N over JJA of 1983–1985 in the *control* run. The average height of the surface mixed layer under stable or well-mixed boundary layer conditions (black solid line) and height of the lifting condensation level during cumulus conditions (black dotted line) are shown on the right axis, units m. Also shown on the far-right axis are the total precipitation (blue solid line) and its convective (blue dashed) and large-scale (blue dotted) components, units scaled to mm day<sup>-1</sup>. The convective rainfall is further broken down into that arising from deep convection (filled stars) and the mid-level convection scheme (empty stars).

for the summer (JJA) in 1983–1985, then average over the332 917 peninsula south of 22.5°N. The temporal resolution is that 33 918 of the time step: 20 minutes. We remind the reader that 10-934 919 cal time at Indian longitudes is around 5.5 hours ahead of 935 920 UTC. For much of the daylight hours, a well-mixed bound-936 921 ary layer dominates, with some cumulus also. Upon night-937 922 fall at around 12:30UTC (18:00 local time) the well-mixed 338 923 boundary layer rapidly diminshes due to the decline in sen-and 924 sible heat fluxes from the surface, being replaced by a sta-925 ble layer and stratocumulus cloud over a stable layer. At $_{q_{a1}}$ 926 this time the proportion of grid points over which cumulus 927 boundary layers form falls, reflecting the drop in surface-943 928 driven deep convection. 929 944

In Fig. 16 we also show the mean height of the boundary<sup>945</sup>
layer (black solid curve, averaged only when the diagnosed<sup>946</sup>

boundary layer type is well-mixed or stable, representing the height of the surface-based mixed layer) and the height of the lifting condensation level (LCL) under cumulus conditions (black dotted curve). There is a clear diurnal cycle in the boundary layer height and LCL, rising during the day due to heat fluxes from the surface and falling rapidly at night to reach as low as 600m.

The diurnal cycle in precipitation (Fig. 16 blue curves) follows a similar evolution, slightly lagging the deepening of the boundary layer. In the *control*, the vast majority of preciptation is convective, and during the day time this is predominantly from deep convection. As the dominant boundary layer becomes stable at night, the majority of convective rainfall is now contributed from the mid-level scheme, because this scheme can operate above well-mixed or sta-



Fig. 17 As in Fig. 16 but for the no\_pen, bare\_pen, lake\_pen and flat\_pen respectively. Legend is shown in Fig. 16.

<sup>947</sup> ble boundary layers, whereas by definition deep and shallow
 <sup>948</sup> convection can't.

964 In Fig. 17 we show the diurnal cycles of the boundary 949 layer in the no\_pen, bare\_pen, lake\_pen and flat\_pen experi-950 ments. Looking at *no\_pen* in Fig. 17a, we see a completely 951 different evolution from that in the control, with no diur-952 nal cycle in boundary-layer type or depth over peninsular<sub>968</sub> 953 grid points. The cumulus boundary layer type now domi-969 954 nates, in around 40% of cases throughout the day, followed<sub>970</sub> 955 by well-mixed and then decoupled stratocumulus boundary-971 956 layer types, the latter both in the presence and absence of 972 957 cumulus cloud. Stable layers do not develop. The precipi-973 958 tation increase seen in Fig. 5 is composed of increases at 974 959 all times of day, although it now reaches a maximum in the975 960 early hours of the morning rather than the early afternoon<sub>976</sub> 961

as in the control run. This reflects observed diurnal rainfall variability over the ocean, peaking during the nighttime rather than in late afternoon (Bowman *et al.*, 2005). The majority of the precipitation is coming from deep convection, although there is a small proportion (around 0.5mm day<sup>-1</sup>) from the large-scale scheme.

As earlier in the paper, we now examine the roles of the land surface characteristics and orography on the diurnal cycle of the boundary layer. Figure 17b shows that imposing 100% bare soil in *bare\_pen* has little impact on the development of the boundary layer (compared to Fig. 16), in common with the minimal changes in precipitation and circulation shown earlier (Fig. 7a). Further, the removal of orography from the peninsula in *flat\_pen* (Fig. 17d alters the boundary layer evolution little. In contrast, imposing 100%

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lake at the surface in lake\_pen (Fig. 17c) leads to most of themas 977 change shown by the *no\_pen* experiment. 1004 978 In summary therefore, the presence of the peninsula leadsos 979 to diurnally forced variations in the boundary layer and con1006 980 vection. These variations are dominated by the stabilisation007 981 of the boundary layer at night, which is related to the landow 982 surface heat capacity, and the depth of the surface-basedoog 983 mixed layer and height of the LCL during the day, which not 984 are related to surface moisture availability and temperature1011 985 1012

986 *Heat capacity versus moisture supply* 

In our final experiments *lake\_pen50* and *lake\_pen5* we seek<sup>1014</sup> 987 to test the relative role of the surface heat capacity provided  $d^{1015}$ 988 by the lake versus that of the additional moisture supplied.<sup>1016</sup> 989 The standard lake\_pen experiment is equivalent to a 5m<sup>-1017</sup> 990 deep lake. While we are reducing the effective lake depth<sup>1018</sup> 991 globally in these additional experiments (to 50cm and 5cm<sup>1019</sup> 992 respectively), since the only expanse of lakes globally is the<sup>1020</sup> 993 Great Lakes region of North America, we expect no impact<sub>021</sub> 994 on the South Asian monsoon from these remote regions<sub>1022</sub> 995 The mean changes in the precipitation pattern during JJAS<sub>023</sub> 996 are very similar to those for lake\_pen in Fig. 7b but are not 1024 997 shown for brevity. 998 1025

We show the impact on the diurnal cycle of surface tem<sub>t026</sub> perature data in Fig. 18a. Compared to the near-zero diur<sub>t027</sub> nal cycle of the surface in *lake\_pen*, the reduced heat ca<sub>t028</sub> pacity of *lake\_pen50* and *lake\_pen5* progressively enhance<sub>5029</sub>

the diurnal cycle of surface temperature. This is also clearly reflected in the turbulent surface fluxes of Fig. 18b, which shows a strong diurnal cycle in sensible heating at *lake\_pen5*, together with enhanced latent heating throughout the day. Even in lake\_pen50, sensible heat flux still does not go negative at night, preventing stabilisation of the boundary layer. This allows dual peaks in convective precipitation (blue line in Fig. 18a), corresponding to a combination of typical land and sea diurnal cycles in convection. With a 5cm lake imposed over the peninsula, a strong diurnal cycle in boundary layer activity remains, however the surface-based mixed layer depth and LCL are much lower than in the control, and convective boundary layers dominate over well-mixed layers, allowing for a large mid-afternoon peak in rainfall at a rate of up to 6.5 mm day<sup>-1</sup>. This strong peak makes up for the weaker rainfall at night in contributing to the seasonal mean. Since sensible heat fluxes become negative at night, stable boundary layers dominate.

1013

In summary, the response of the evolution of boundary layer composition clearly suggests that the boundary layer type, and therefore the occurrence of surface-driven deep convection overhead, is highly sensitive to conditions on the surface. The presence of land allows for a large diurnal cycle in surface temperature, surface fluxes and boundary layer stability, and if there is enough moisture available then convective rainfall too. Thus the wet surface experiments show an increase in evaporation during the daytime (and indeed



Fig. 18 Diurnal cycles of (a) surface temperature and (b) turbulent heat fluxes as in Figs. 14 and 15; (c) and (d) are as in Fig. 16 but for the *lake\_pen50* and *lake\_pen5* experiments with 50cm and 5cm depths respectively. Note that the precipitation axis covers a greater range than in Fig. 16.

at night), combined with a much shallower and therefored43 1030 more moist boundary layer. As the surface heat capacity and 1031 effective lake depth increases, the wet surface experiments 1032 progressively lead to a more ocean-like diurnal cycle with  $^{\rm 1045}$ 1033 1046 an additional precipitation peak in the early hours of the 1034 1047 morning. If the surface heat capacity is large enough, this 1035 ultimately leads to more rainfall at night as shown for the  $^{\rm 1048}$ 1036 *lake\_pen* and *no\_pen* experiments. Therefore the impact of  $\frac{1049}{1049}$ 1037 having land present is felt partly through the diurnal cycle,  $^{1050}$ 1038 itself sensitive to the presence or absence of vegetation and  $\overset{\rm 1051}{}$ 1039 soil moisture. This has implications for models that don'1052 1040 properly represent these characteristics as well as those that053 1041 have a poor convective diurnal cycle over land. 1042 1054

## **6** Conclusions

In this study we have examined the role of the broad-scale characteristics of the South Asian peninsula on the Asian summer monsoon in the Met Office MetUM GA3/GL3 landatmosphere GCM, using a series of novel experiments perturbing the land surface, orography and removing the peninsula itself. The role of the Indo-Gangetic plains region north of the Indian Ocean coastal boundary up to the Himalaya and Hindu-Kush mountain ranges has also been examined.

Initial experiments removing the peninsula south of 22.5°N revealed a pattern of local precipitation and circulation change, increasing the strength of the mean monsoon averaged over the broad South Asia region. Importantly, first-order ideas

about the land-sea contrast between the Indian land surfacebes and the surrounding ocean being essential for monsoon derose velopment are shown to be simplistic: the large-scale monross soon circulation is still supported when the land peninsula isose removed.

Breaking down the no\_pen experiment into changes due088 1061 to the orography and the land surface reveals the orogra<sup>1089</sup> 1062 phy to be responsible for much of the circulation change.<sup>1090</sup> 1063 The Western Ghats are shown to be responsible for diver<sup>1091</sup> 1064 gent flow as the Somali jet approaches from the south west<sup>1092</sup> 1065 and considerable orographic rainfall. In addition, the West<sup>1093</sup> 1066 ern Ghats add a considerable southerly component to the<sup>094</sup> 1067 flow in the Bay of Bengal, just as the East African High1095 1068 lands add a southerly component to flow in the Arabian Sea 1069 (Slingo et al., 2005). Precipitation increases when orogra-1070 phy is removed are particularly focused on the local maxi<sub>1007</sub> 1071 mum in the underlying SST forcing, over the southwest Bay<sub>098</sub> 1072 of Bengal. Thus while the Himalayas aid the large-scale de-1073 velopment of the monsoon via the mechanical separation  $of_{100}$ 1074 moist and dry sources of air (Boos and Kuang, 2010), the<sub>101</sub> 1075 Western Ghats add important local detail to the circulation not 1076 and regional distribution of precipitation. 1077 1103

Sensitivity tests made on the surface of the peninsula<sub>104</sub> with orography unperturbed, also reveal substantial impacts<sub>105</sub> Bare soil coverage does little to alter monsoon rainfall, there<sub>106</sub> being a strong dry rainfall bias in the *control* anyway, while<sub>107</sub> adding lake at the surface substantially increases monsoom<sub>108</sub> rainfall by increasing the contribution of latent heat flux to the convergence of energy into the atmospheric column. Not only that, but both experiments with unlimited moisture (and high heat capacity) at the surface cause changes in the boundary layer evolution, smoothing out the diurnal cycle and increasing the prevalence of cumulus-capped boundary layers at the expense of stable and well-mixed types. Rainfall is increased at all times of day but particularly during the night. In addition to the local impacts on diurnal convection, we have also demonstrated that the surface conditions of the peninsula modulate the northward propagating modes of intraseasonal variability. This suggests that the mean state and variability are intrinsically linked (e.g. Sperber *et al.*, 2000).

By extending the region of perturbation north of the coastal boundary of the Indian Ocean, i.e., into the plains of the Indus and Ganges basins (IGP), we examine the role of roughly the whole South Asia region. Imposing a lake at the surface of the IGP region or over the whole of South Asia greatly enhances precipitation and the monsoon circulation. The increased rainfall is, in part, related to a greater number of monsoon depressions that are steered along the monsoon trough. This suggests a dual role for this region in terms of both moisture supply and local temperature and pressure gradients, which contribute to the structure and location of the monsoon trough. This is consistent with recent evidence that sources of moisture over northern India can be instru-

mental in extreme rainfall events, as in the case of the Pak<sub>H36</sub> 1109 istan floods of 2010 (Martius et al., 2013). 1110 1137 When sea is prescribed over all of South Asia (no\_SA),138 1111 the role of the underlying SST distribution comes into play.139 1112 The loss of the strong south-to-north temperature gradient<sup>140</sup> 1113 as the IGP is approached limits the intensity of the monsoon 1114 1141 trough and weakens the large-scale monsoon flow across the 1115 1142 northern part of the Arabian Sea. 1116 1143

The effect of the peninsula on the strength and spatial<sup>144</sup> 1117 1145 and temporal distribution of the monsoon is substantial. The 1118 1146 land and orography affect the flow through both surface rough-1119 ness and low heat capacity, affecting seasonal and diurnal 1120 cycles of surface temperature as well as mean gradients of 1121 1148 temperature, and therefore pressure. The temperature gradi-1122 ents south of the Himalayas appear to be crucial, a factor<sub>140</sub> 1123 deserving further attention. Finally, moisture availability  $af_{\overline{1}150}$ 1124 fects the depth, type and frequency of convection as well as<sub>151</sub> 1125 playing a role in the evolution of surface temperature. 1126 1152 The study has demonstrated a useful diagnostic of bound#53 1127 ary layer structure (defined according to the model formular154 1128 tion), which illustrates the tight locally and diurnally forced 55 1129 nature of the boundary layer structure in the current control 156 1130 model. However there is a pressing need for better characH157 1131 terisation of precipitation and the boundary layer, through 158 1132 better availability of high temporal and spatial resolution obr159 1133 served station data for the diurnal cycle of convection, and 1134 new observations with which to characterise the boundary<sub>161</sub> 1135

layer evolution over India during the monsoon (see e.g. the use of Doppler lidar measurements in Harvey *et al.*, 2013). These would allow us to both better characterise the monsoon and validate our models or diagnose biases at these process scales.

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