

## Moist Halo Regions Around Shallow Cumulus Clouds in Large Eddy Simulations

Journal:	<i>QJRMS</i>
Manuscript ID	QJ-22-0280.R3
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	n/a
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Keywords:	moist halo region, shallow cumulus clouds, length scales, large eddy simulations
Country Keywords:	United Kingdom Of Great Britain And Northern Ireland, China

**ORIGINAL ARTICLE**

Quarterly Journal of the Royal Meteorological Society

# Moist Halo Region Around Shallow Cumulus Clouds in Large Eddy Simulations

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**Funding information**

This work has been funded by Natural Environment Research Council (NERC) under the joint NERC/Met Office ParaCon programme, through the RevCon project, NE/N013743/1, the Circle-A project, NE/N013735/1, and ParaCon phase 2 project, NE/T003871/1. J.-F. Gu is also supported through National Natural Science Foundation of China under Grants 42192555.

In this study, the moist buffering halo region of shallow maritime cumulus clouds is systematically investigated using large eddy simulations with various grid resolutions and numerical choices. Auto-correlation analyses of cloud liquid water and relative humidity suggest a converged size of 200 – 300 m for moist patches outside clouds when model resolution is below 50 m but may overestimate this size due to non-cloudy moist regions. Based on a composite analysis, the structure of the moist halo immediately outside individual clouds is examined. It is found that, regardless of model resolution, the distribution of relative humidity in the halo region does not depend on cloud size, but on the real distance away from the cloud boundary, indicating some size-independent length scales responsible for the halo formation. The relative humidity decays with distance more quickly with finer horizontal resolution, which is possibly related to the model resolution dependency of the cloud spectrum. The halo size near cloud base is larger than that within the cloud layer and this feature is robust across all simulations. Further analyses of backward and forward Lagrangian trajectories originating from the moist halo region reveal the possible role for sub-cloud coherent structures on the cloud-base halo formation. Possible mechanisms explaining cloud halo sizes and associated length scales are

discussed.

#### KEYWORDS

shallow cumulus clouds, moist halo region, length scales, large eddy simulations

## 1 | INTRODUCTION

The near-cloud environment is characterized by a halo region where the condensates are absent but relative humidity is larger than that in the remote environment (Ackerman, 1958; Talford and Wagner, 1980; Radke, 1991; Perry and Hobbs, 1996; Kollias et al., 2001; Lu et al., 2003). Mixing of cloud liquid water in this sub-saturated region results in evaporative cooling and induces downward motions to balance much of the upward mass flux within the clouds (Jonker et al., 2008; Heus and Jonker, 2008; Heus et al., 2008). Thus, the presence of halo region with higher relative humidity is critical for cloud dynamics, especially in cloud-environment interactions. In conventional convection parameterizations, it is assumed that the air entrained into the cloud takes the properties of the far field environment while in fact only the near cloud environment air is mixed into the cloud. The underestimation of the specific humidity of the entraining air leads to smaller entrainment rates being diagnosed compared to the direct estimations of entrainment rate using cloud properties in the halo region (Romps, 2010; Dawe and Austin, 2011). Hence better understanding of the moist halo region can help define the correct properties of entraining air in a plume model of convection parameterization.

Besides dynamical effects, the higher relative humidity in the moist halo region also favors hygroscopic growth of aerosol (Carrico et al., 2003; Feingold and Morley, 2003; Flores et al., 2012; Petters and Kreidenweis, 2007). With higher aerosol concentration, the humidity in the halo region can be increased through mixing of more condensed water into the near-cloud environment and in turn can promote large-scale ascent and stronger convection (Abbott and Cronin, 2021). Aerosol humidification can also lead to a change of optical properties in the near-cloud environment (Altartatz et al., 2013). The gradual decrease of aerosol optical depth from cloud to clear sky in the "twilight zone" (Koren et al., 2007, 2009), a transition zone between cloud and cloud-free atmosphere, can have a non-negligible contribution to radiative forcing (Bar-Or et al., 2012; Eytan et al., 2020; Jahani et al., 2020). If such radiative effects of the moist halo region are neglected, remote sensing retrieval algorithms of aerosol properties can be biased toward data far from clouds and lead to the underestimation of aerosol optical depth and possible uncertainties in radiative forcing associated with aerosol (Koren et al., 2007; Marshak et al., 2021; Mieslinger et al., 2021). Hence, the distribution of relative humidity is critical for estimating the aerosol humidification and the distribution of aerosol optical depth.

Therefore, characterizing the distribution of relative humidity in the halo region and the size of this region, and hence the correct representation of mixing in the halo region can help advance the development of convection parameterization and improve the accuracy of remote sensing near cloud, shedding light on cloud dynamics, as well as the cloud-aerosol-environment interaction. Nevertheless, there are disagreements on the moist halo region between theories, observations and numerical simulations, partly due to different definitions of cloud halo region. Theoretical studies (Pinsky and Khain, 2019, 2020) simplified the entrainment-mixing process at cloud boundaries using a one-dimensional turbulent diffusion equation and estimated the halo size to be around 100 m. However, observational studies have recorded a large uncertainty in the halo size, ranging from less than 100 m to more than 1 km (Perry and Hobbs, 1996; Lu et al., 2003; Laird, 2005; Twohy et al., 2009; Wang and Geerts, 2010). A few high-resolution numerical simulations have been performed to investigate the halo region. Using large eddy simulations, Bar-Or et al. (2012) reported the characteristic scale of exponential decay of relative humidity to be slightly less than 100 m, and

Lu et al. (2002) found a dependence of halo size on cloud size, but their horizontal resolutions were rather coarse (100 m grid length). Nair et al. (2021) investigated the interfaces at the edge of cumulus clouds using a direct numerical simulation, but this covered a small region of cloud edge and could not provide comprehensive information on the halo region. Nair et al. (2021) also performed a high-resolution large eddy simulation with 4.1 m grid length and found that the size of the “invisible shell” is less than 200 m, for a shell defined in terms of enstrophy. Heus et al. (2008) performed simulations of shallow cumulus clouds with grid lengths from 12.5 m to 100 m but they mainly focused on the downdraft shells, which have been found to be wider than the moist halo region (McMichael et al., 2022). The downward mass flux in cloud shells was stronger in finer resolution simulations (Heus et al., 2008) and the integrated mass flux in cloud shells was stronger for larger size clouds (Heus and Jonker, 2008). However, it remains unclear whether the properties of cloud shells can be robustly applied to understand the moist halo region since we lack a systematic assessment of the sensitivity of moist halo structure to resolution and numerical choices using large eddy simulations.

The present study is designed to systematically investigate the moist halo region around shallow cumulus clouds, including the relative humidity distribution, the halo size and possible physical processes involved in its formation, using high-resolution large eddy simulations. The rest of the paper is organized as follows. Section 2 introduces the large eddy simulations (Sec. 2.1) and a composite algorithm for determining the relative humidity distribution within the halo region (Sec. 2.2). Section 3 examines the size of moist patches outside the cloud through auto-correlation analyses. Section 4 investigates general features of relative humidity distribution within the halo region (Sec. 4.1), their dependence on model resolution (Sec. 4.2) and numerical details (Sec. 4.3). Section 5 reveals connections between the halo regions at different levels, by means of Lagrangian trajectories. Discussions are given in Section 6 and a summary in Section 7.

## 2 | METHODOLOGY

### 2.1 | Large eddy simulations

The Met Office-NERC (Natural Environment Research Council) Cloud model (MONC; Brown et al., 2015, 2018) is used to perform large eddy simulations of oceanic shallow convection based on the Barbados Oceanographic and Meteorological Experiment (BOMEX). Most of the model configuration follows that of Siebesma et al. (2003) but the grid spacing is changed. The horizontal grid spacings used are 100 m, 50 m, 25 m and 10 m, in order to investigate the dependency of halo region structure on model resolution. Vertical grid spacings are 40 m, 25 m, 25 m and 10 m, respectively. All simulations have the same model top at 3 km but the domain sizes are different with consistent horizontal grids (600 × 600) to save computational resource. The 3D Smagorinsky-Lilly scheme is used for the parameterization of sub-grid turbulence (Smagorinsky, 1963; Lilly, 1962). A simple saturation adjustment cloud scheme is used to represent the conversion between water vapor and cloud liquid water. There is no rain formation during our simulation period.

In all the simulations, constant surface sensible and latent heat fluxes are prescribed. Rather than interactive radiation, we prescribe the large-scale radiative cooling to represent clear-sky longwave radiation. The radiative cooling is constant ( $-2 \text{ K day}^{-1}$ ) from surface to 1.5 km height and decreases linearly to zero at model top. To close the energy budget, we also prescribe a large-scale subsidence that linearly increases with height up to the inversion at 1500 m, above which it decreases. The subsidence is applied to both moisture and temperature fields. We further prescribe a small moisture tendency in the lowest 500 m to mimic the large-scale horizontal advection. The effects of large-scale pressure gradients are parameterized through imposed geostrophic winds ( $\mathbf{v}_g = (-10 + 1.8 \times 10^{-3}z, 0) \text{ m s}^{-1}$ ) and

the Coriolis parameter  $f = 0.376 \times 10^{-4} \text{ s}^{-1}$ . Other details of the case specification are available in Siebesma et al. (2003). Our analyses cover a period in the equilibrium state (hour 5–6) of the simulation, with 1 min output frequency. Consistent with the previous inter-comparison study of Siebesma et al. (2003), the domain-averaged cloud properties remain steady during this period and thus are suitable for our analyses.

## 2.2 | Composite Algorithm

We use a spatial composite analysis, namely the "Onion Algorithm", to examine the distribution of relative humidity in the near environment around each cloud. At each vertical level, all cloudy points are first identified with the cloud liquid water criterion  $q_l > 10^{-5} \text{ kg kg}^{-1}$ . Contiguous cloudy points are combined to form an individual cloud object. For each cloud object, we identify its boundary and then investigate the distribution of relative humidity in the near-cloud environment as a function of distance from the cloud edge. Distances away from the edge are measured in terms of the real distance and also the distance normalized by cloud size. For the distributions in terms of real distance, we move outward from the cloud boundary in steps of a single grid box (Fig. 1a). For the distributions in terms of normalized distance, at each vertical level, we first calculate the effective radius of each cloud object as  $\sqrt{S/\pi}$ , where  $S$  is the area coverage of the cloud object. We then express the radius as a number of grid points. The distribution is evaluated by moving outwards by this number of grid boxes on each step (Fig. 1b). Any cloudy points outside of the individual cloud in question and that are found during the outward movement are excluded from the composite. Mean properties for a given distance are composited to obtain the distribution in the halo region. Previous studies (Zhao and Austin, 2005; Dawe and Austin, 2011) applied similar ideas to understand the interaction between clouds and environment but were limited to the region adjacent to the cloud edge and are thus not able to cover the whole halo region.

## 3 | SIZE OF MOIST PATCHES OUTSIDE THE CLOUDS

The size of moist patches outside the clouds is first examined using the spatial auto-correlation functions of relative humidity and cloud liquid water at each vertical level. The spatial auto-correlation function  $C(\mathbf{R})$  of a field  $f$  is defined as:

$$C_f(\mathbf{R}) = \int f(\mathbf{r} + \mathbf{R})f^*(\mathbf{r})d\mathbf{r}, \quad (1)$$

where  $\mathbf{r}$  is the position vector in the field,  $\mathbf{R}$  is the displacement position vector and  $f^*(\mathbf{r})$  represents the complex conjugate of  $f(\mathbf{r})$ . The auto-correlation function can be computed with two fast Fourier transforms according to the Wiener-Khinchin theorem. Figure 2 shows the auto-correlation function of relative humidity at different levels. Physically, the auto-correlation of relative humidity characterises how the moist patches associated with coherent structures decay with distance. The spatial pattern of large correlation coefficients is found to be elongated along the west-east direction (Fig. 2), and takes a more elliptical shape in the sub-cloud layer (Fig. 2a). This is because the morphology of coherent structures is shaped by the east-to-west mean flow, which is largest ( $10 \text{ m s}^{-1}$ ) in the sub-cloud layer (Denby et al., 2022). The spatial patterns of auto-correlation field of cloud liquid water from cloud base and above, are closer to a round shape and similar across different vertical levels, consistent with the geometry of the clouds (Fig. 3). In addition, the high auto-correlation coefficients of  $q_l$  are more concentrated near the center than those of relative humidity, indicating that the clouds have more compact structures than the moist region. The auto-

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2 112 correlation of cloud liquid water has similar patterns near and above the cloud base, except that the auto-correlation  
3 113 coefficient decays more quickly from the center than auto-correlation coefficient in relative humidity field. Therefore,  
4 114 the sizes of moist patches are larger than the cloud sizes. We define the auto-correlation length scales  $L_{RH}$  and  $L_{q_l}$   
5 115 as the effective length scales of an enclosed area of the corresponding spatial auto-correlation fields as follows

$$L = \sqrt{4A/\pi}, \quad (2)$$

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10 116 where  $A$  is the area within which the auto-correlation coefficient is larger than  $e^{-1}$ .  $L_{RH}$  and  $L_{q_l}$  can be considered as  
11 117 proxies for the sizes of moist patches and cloud objects, respectively.

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14 118 Figure 4a shows the time averaged (5-6 h) vertical profiles of  $L_{RH}$  and  $L_{q_l}$  in the simulations at different resolutions.  
15 119  $L_{RH}$  is clearly larger than  $L_{q_l}$  at all vertical levels in each simulation. Both  $L_{RH}$  and  $L_{q_l}$  start to converge at 25 m  
16 120 resolution, and the length scales in the 100 m simulation are much larger (about twice) than in the higher resolution  
17 121 simulations. In all simulations,  $L_{q_l}$  increases quickly with height near cloud base and is then fairly constant throughout  
18 122 the cloud layer.  $L_{RH}$  is relatively small near the surface, where the size of turbulent eddies is constrained. It has a  
19 123 local maximum at around 100 – 150 m height, and decreases through the rest of the sub-cloud layer and through cloud  
20 124 base to achieve a local minimum at around 1000 m height. Thereafter, it increases again to the cloud top. A slight  
21 125 oscillation of  $L_{RH}$  above 1000 m in the 10 m grid length simulation is probably due to a lack of sufficient sampling  
22 126 within a small domain size. Larger  $L_{RH}$  in the upper part of the cloud layer might be related to terminal detrainment  
23 127 of moist air out of clouds. Moist patches may be large even if the corresponding clouds have dissipated since their  
24 128 associated water vapor remains within the vicinity for longer than the cloud lifetime. The difference between  $L_{RH}$  and  
25 129  $L_{q_l}$  ( $\Delta L = L_{RH} - L_{q_l}$ ) provides a measure of bulk halo size in the auto-correlation field. Figure 4b shows the vertical  
26 130 profile of  $\Delta L$ . The halo sizes in the 10 and 25 m simulations are comparable (200 – 300 m) throughout the cloud layer,  
27 131 while those in the 50 m simulation are somewhat larger, particularly in the upper part of the cloud layer. Halo sizes in  
28 132 the 100 m simulation are much larger.

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32 133 Since the vertical variation of  $\Delta L$  is largely controlled by  $L_{RH}$ , we can examine how the halo sizes at different  
33 134 vertical levels are connected through a correlation analysis. Figure 4c shows the correlation coefficients between  
34 135 the time series of  $L_{RH}$  at different vertical levels during hour 5-6 in the 25 m resolution simulation. The results from  
35 136 other simulations are similar (not shown). As expected,  $\Delta L$  at a specified level is always highly correlated with that  
36 137 at neighbouring levels. Away from the neighbouring levels, high positive correlations are also found at low levels  
37 138 between 250 and 750 m, and at high levels between 1500 and 2000 m. This indicates that the halo region near cloud  
38 139 base may be related with coherent structures in the sub-cloud layer, and that the halo region in the inversion layer may  
39 140 be associated with overturning structures near cloud top. It is also found that  $\Delta L$  at around 1000-1200 m is positively  
40 141 correlated with that in the inversion layer (1500-2000 m). Such a connection between the halo region in the mid-levels  
41 142 of the cloud layer and that at cloud top may indicate a role for downdrafts outside the cloud. Negative correlations  
42 143 between the halo sizes at 500-1000 m with those at 1000-1500 m suggest a possible out of phase evolution, meaning  
43 144 that an increase of  $L_{RH}$  in the mid-levels of the cloud layer is accompanied by a decrease of  $L_{RH}$  in the inversion layer  
44 145 and vice-versa. We hypothesize that the halo size from cloud top to the mid-levels of the cloud layer is increased due  
45 146 to the enhanced mixing between cloud and environmental dry air. Such mixing results in more negative buoyancy and  
46 147 thus leads to stronger downdrafts that can bring drier air from higher levels downward and decrease the size of halo  
47 148 region below the mid-level of the cloud layer.

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## 4 | DISTRIBUTION OF RELATIVE HUMIDITY

### 4.1 | General features

The auto-correlation analyses above might overestimate the actual halo size because some moist patches are remnants of dissipated clouds without any clouds within them. To focus directly on the near environment around each cloud, we use the "Onion Algorithm", to assess the distribution of relative humidity away from the cloud edge (Sec. 2.2). Figure 5 shows the distribution of relative humidity perturbation (relative to the domain mean) outside the cloud in the 25 m grid length simulation at three vertical levels: 600 m, 1000 m and 1500 m, which are representative of cloud base, cloud layer, and near cloud top, respectively. Only the cloud objects larger than 100 m are included in the composite analyses. These retained cloud objects are categorized into two groups: large and small, based on the median effective size (220 m near cloud base). The distribution expressed in terms of normalized cloud size shows clear differences between the larger and smaller clouds (Figs. 5a, c, e). At all vertical levels, the relative humidity of large clouds decreases much more quickly to match the environment than that of the small clouds. In contrast, the distributions expressed as a function of real distance are much more similar for the larger and smaller clouds (Figs. 5b, d, f). The same observations can also be made for the simulations at other horizontal resolutions (not shown). Hence, the decay of relative humidity within the halo region around shallow cumulus clouds scales better with real distance from cloud edge, indicating that the halo size is determined by some length scale or scales independent of cloud size. Some observational studies previously suggested that the halo size was proportional to the cloud size, but may have lacked sufficient sampling or they focused on different types of clouds (Lu et al., 2003; Wang and Geerts, 2010).

Although the distributions for larger and smaller clouds are more similar when expressed in terms of real distance from the cloud edge, nonetheless the relative humidity around the larger clouds at a given distance is lower than around the smaller clouds. This is consistent with the notion that larger clouds have stronger downdrafts, which in turn lead to a slightly drier halo region (Rodts et al., 2003; Heus and Jonker, 2008; Wang et al., 2009; Gu et al., 2020a). This point is more apparent in the simulations with finer resolution and near the cloud top because the cloud top downdrafts are much better resolved with higher horizontal resolution.

### 4.2 | Dependency on model resolution

As shown by Figure 6, it is important to notice that the distribution of relative humidity in the halo region is affected by the horizontal resolution. The relative humidity decreases more slowly from the cloud edge in the coarser resolution simulations, probably because the full spectrum of eddies responsible for mixing across the edge are less well captured. The decrease of relative humidity in the highest resolution simulation (10 m grid length) resembles an exponential decay while the shape follows a more quadratic decay at lower resolutions. In other words, the distributions of relative humidity away from the cloud edge have not converged with increasing horizontal resolution, at least above 10 m grid length. Nonetheless, the decay rate of relative humidity is consistently found to be slower near cloud base (Figs. 6a,d) than within the cloud layer (Figs. 6b,c,e,f), indicating that the formation of the halo region near cloud base and at other vertical levels may be affected by different processes. We discuss this point further in Section 6.

If the outer edge of the halo region is defined as the position where the composited mean relative humidity perturbation approaches zero, then the halo size can be calculated as the distance between the cloud boundary and the outer edge. With this definition, we find that the halo sizes in the 10, 25 and 50 m simulations are comparable despite their different decay rates near cloud edge. In each simulation, the halo size near cloud base is around 200 m and decreases to around 100 m at higher levels. However, the halo size so diagnosed is larger in the 100 m simulation

at all vertical levels. A robust feature of all simulations is that the halo size is largest near cloud base and smaller within the cloud layer. This is also consistent with the results from auto-correlation analyses, apart from the impact of moist patches left by decaying clouds at levels around cloud top. Similar vertical variation can also be found for downdraft cloud shells (Jonker et al., 2008).

However, the halo size is sensitive to how we define the outer boundary of the halo region. If a non-zero threshold of relative humidity perturbation is used, then the halo size is smaller and also dependent on the horizontal resolution. The halo size becomes a monotonic function of horizontal resolution, with finer resolution simulations having smaller halo size due to the more rapid decay of relative humidity. The halo size does not converge within the range of resolutions explored in this study. The explanation for this resolution dependence of halo size may be related to the resolution dependence of cloud number density. Assume we have two large eddy simulations. The model grid lengths are  $\Delta x_1$  and  $\Delta x_2$  and  $\Delta x_2 < \Delta x_1$ . The mean sizes of cloud objects at a specified vertical level are  $l_{c1}$  and  $l_{c2}$ . The mean sizes of moist regions in the two simulations are  $l_{m1}$  and  $l_{m2}$ . The numbers of clouds across the domain are  $N_1$  and  $N_2$ , respectively. A key result in our simulations, shown by Figs. 7a, b, is that the fractional area coverage of cloud and halo regions (defined as the region with relative humidity perturbation larger than one standard deviation outside the clouds) are both independent of model resolution (see the proof in the Appendix). This implies the following equalities:

$$N_1 l_{c1}^2 = N_2 l_{c2}^2 \quad (3)$$

$$N_1 (l_{m1}^2 - l_{c1}^2) = N_2 (l_{m2}^2 - l_{c2}^2) \quad (4)$$

Eq. 4 can be rewritten as:

$$N_1 (l_{m1} - l_{c1})(l_{m1} + l_{c1}) = N_2 (l_{m2} - l_{c2})(l_{m2} + l_{c2}) \quad (5)$$

Define  $L_{h1} = l_{m1} - l_{c1}$  and  $L_{h2} = l_{m2} - l_{c2}$ .  $L_{h1}$  and  $L_{h2}$  can be considered as the size of cloud halo regions when the model grid lengths are  $\Delta x_1$  and  $\Delta x_2$ , respectively. From Eq. 5, we can derive the ratio between  $L_{h1}$  and  $L_{h2}$ :

$$\frac{L_{h1}}{L_{h2}} = \frac{N_2 (l_{m2} + l_{c2})}{N_1 (l_{m1} + l_{c1})} \quad (6)$$

Combining Eqs. 3 and 4, we have:

$$\frac{N_1}{N_2} = \frac{l_{c2}^2}{l_{c1}^2} = \frac{l_{m2}^2}{l_{m1}^2} \quad (7)$$

and therefore

$$\frac{l_{c2}}{l_{c1}} = \frac{l_{m2}}{l_{m1}} = \sqrt{\frac{N_1}{N_2}} \quad (8)$$

Substituting Eq. 8 ( $l_{c2} = l_{c1} l_{m2} / l_{m1}$ ) into Eq. 6, the ratio between  $L_{h1}$  and  $L_{h2}$  is

$$\frac{L_{h1}}{L_{h2}} = \frac{N_2 (l_{m2} + l_{c2})}{N_1 (l_{m1} + l_{c1})} = \frac{N_2 l_{m2}}{N_1 l_{m1}} = \sqrt{\frac{N_2}{N_1}} \quad (9)$$

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2 210 Shallow cumulus clouds in our large eddy simulations tend to be smaller and more numerous with increased horizontal  
3 211 resolution (Fig. 7c). Similar behaviour can also be found in Brown (1999). Hence, we have  $N_2 > N_1$ . As a result, the  
4 212 ratio  $L_{h1}/L_{h2} > 1$  from Eq. 9. This means that the mean size of the moist area around an individual cloud must be  
5 213 smaller in finer resolution simulations.

### 8 214 4.3 | Sensitivity to numerical choices

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10 215 It is plausible to speculate that the distribution of relative humidity may be sensitive to the numerical details of the  
11 216 model. The robustness of the composited structure in the halo region is therefore also examined with another large  
12 217 eddy model, the CM1 model (Bryan and Fritsch, 2002). The BOMEX simulations were again performed using the  
13 218 horizontal grid lengths of 100 m, 50 m, 25 m and 10 m, but with a smaller domain size (6.4 km) for computational  
14 219 considerations. Similar features can also be found in these simulations. The distribution of relative humidity in the  
15 220 halo depends only weakly on the cloud size for a given simulation. Also, the rate of decay of the relative humidity  
16 221 perturbation is larger in the finer resolution simulations and smaller near cloud base (Figs. 6d, e, f).

17  
18 222 To test if the size of the halo region is sensitive to the details of sub-grid turbulent schemes (e.g. mixing length  
19 223 scale) or the advection schemes, we perform additional sensitivity simulations at 25 m grid spacing. The mixing length  
20 224 scale in the sub-grid turbulence scheme in MONC simulations is changed by setting the Smargorinsky constant  $C_s$   
21 225 from its default value 0.23 to smaller ones, 0.15 and 0.10. As the MONC model does not have multiple options for  
22 226 advection schemes, we test the sensitivity to advection scheme using CM1 model. The advection scheme in the  
23 227 control simulation with CM1 is the third order WENO scheme (Jiang and Shu, 1996; Balsara and Shu, 2000). We  
24 228 further use the 5th, 7th and 9th order WENO scheme for the sensitivity simulations. Figure 8 shows that the general  
25 229 features found in control simulations are not sensitive to the numerical choices.

## 28 230 5 | LAGRANGIAN TRAJECTORIES ANALYSIS

30 231 The two independent methods of Secs. 3 and 2.2 give some consistent results in terms of the vertical variation of the  
31 232 moist halo region, but they cannot provide a picture of time evolution of air within the halo region. To further under-  
32 233 stand how the halo regions at different vertical levels are connected, and the physical processes involved, Lagrangian  
33 234 particles are used to trace the air parcels in the halo region (defined as  $RH' > \sigma_{RH}$ , where  $\sigma_{RH}$  is one standard de-  
34 235 viation of relative humidity) outside the cloud at all vertical levels and at each model output time during hour 5-6 (1  
35 236 min interval). The Lagrangian trajectories are calculated following the method of Gheusi and Stein (2002), with some  
36 237 extensions. The positions (coordinates) of model grid boxes are used as Lagrangian labels and are advected with the  
37 238 flow using the same advection scheme as that applied to the scalar fields in the model. The trajectories of labelled  
38 239 particles can then be calculated backward and forward through the advected coordinates. The trajectories for each  
39 240 model output time are calculated both backward and forward for 30 min. We chose the 60 min time window as it is  
40 241 longer than the entire lifetime of almost all clouds in our simulations.

42 242 The particles in the moist halo region at reference times come from other parts of the domain and thereby are  
43 243 located at different heights before and after the formation of halo region. Figure 9 shows the distributions of heights of  
44 244 Lagrangian trajectories before (-30 min, -10 min) and after (10 min) the reference times and it can be used to indicate  
45 245 the neighbouring levels that are critical during the formation of moist halo region. Near cloud base (Fig. 9a), 30 min  
46 246 before the reference time, slightly more than 50% of the air parcels in the halo region come from the neighbouring  
47 247 levels (about 250 m below and above). However, about another half of the air parcels originate from the sub-cloud

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2 248 layer, with most of them being near the surface (Fig. 9a). 10 min after the formation of the halo region, about 70% of  
3 249 the air parcels have moved downward and half of them (35% of total) go back to the sub-cloud layer. These findings  
4 250 provide clear evidence that the halo region near cloud base is closely related with coherent structures from the sub-  
5 251 cloud layer. More than half of the air parcels within the halo region in the middle of the cloud layer (1000 m, Fig. 9b) and  
6 252 near the cloud top (1500 m, Fig. 9c) come from higher levels and they descend slowly to form the halo. However, only  
7 253 10 min after the reference time, more than 65% of the air parcels have already descended to lower levels, suggesting  
8 254 that the formation of the halo region is accompanied by a downdraft (Heus and Jonker, 2008; McMichael et al., 2022).  
9 255 These results provide evidence to support our hypothesis of length scales associated with moist halo region in the  
10 256 next section.

## 13 257 6 | DISCUSSION

15  
16 258 The region with downward motion outside the cloud is usually referred to as a “cloud shell”, but it is not necessarily  
17 259 related to higher water vapor (Savre, 2021). Recent studies (Savre, 2021; McMichael et al., 2022) suggested that from  
18 260 the composited perspective, the region with downward motion outside the cloud is broader than the halo region with  
19 261 higher water vapor. Thus, the moist halo region seems to be a subset of the cloud shell, and it should be emphasised  
20 262 that the moist halo region investigated in this study is not the same as the downdraft cloud shells studied by Jonker  
21 263 et al. (2008); Heus and Jonker (2008); Heus et al. (2008) for example.

22 264 First of all, the primary formation mechanisms of the moist halo region and the cloud shell are different. Since  
23 265 the large-scale relative humidity and moisture content decrease with height in the simulations, the descending cloud  
24 266 shell alone would result in a drier near-cloud environment outside the cloud, which is not the case. The presence of  
25 267 a moist halo region immediately outside the cloud is thus strong evidence that horizontal mixing occurs near cloud  
26 268 boundaries. The mixing between the detrained cloud condensate and the environmental air leads to evaporation and  
27 269 humidifies the near cloud environment. Meanwhile, the evaporative cooling starts to drive downward motions and  
28 270 thus the formation of the cloud shell. In this sense, the moist halo region and cloud shell form simultaneously but the  
29 271 underlying mechanisms are not quite the same.

30 272 In addition, the moist halo region always surrounds each cloud object while the strong downdrafts within the  
31 273 cloud shell are not necessarily present, as shown in Figure 10. The distribution of strong downdrafts outside the  
32 274 cloud also has stronger asymmetry, compared to the moist halo region, probably because of the weak vertical wind  
33 275 shear. Savre (2021) found that in addition to the buoyancy effect, other mechanical forcings, for example, the pressure  
34 276 gradient force and the horizontal advection, may be important for downward motion in the cloud shell. These results  
35 277 indicate that there might be more dynamical processes involved in the formation and maintenance of cloud shell,  
36 278 which contribute to the asymmetries. Furthermore, in terms of detailed structures, Heus et al. (2008) found that  
37 279 the downward mass flux density was stronger in higher resolution simulations but the size of downdraft shell was  
38 280 consistent across different grid spacings (their Figure 10), which is in contrast with the resolution dependence of the  
39 281 moist halo region. Heus and Jonker (2008) showed that the integrated mass flux in cloud shells depends on cloud size  
40 282 while our results suggest that the relative humidity distribution in the moist halo region scales with real distance from  
41 283 cloud edge. These points strongly indicate that the moist halo region is different from the downdraft shell and worthy  
42 284 of in-depth understanding.

43 285 The fact that the distribution of relative humidity within the halo region scales better with the real distance  
44 286 away from the cloud edge rather than with cloud sizes indicates some size-independent length scales governing the  
45 287 formation of the halo region. A robust finding from all simulations is that the cloud halo size is largest near cloud base

and decreases upwards. In considering this behavior, assume that the largest overturning structure responsible for the mixing between cloud and environment has a length scale of  $l_0$ . That structure breaks down continuously into smaller scales until the eddy is dissipated. We hypothesize that the halo size should be characterized by the mean size of these continuously breaking eddies. We estimate the mean size using the energy-weighted mean as:

$$\bar{l} = \frac{\int_{l_K}^{l_0} l E(l) dl}{\int_{l_K}^{l_0} E(l) dl}, \quad (10)$$

where  $E(l) dl = E(k) dk$  is the energy spectrum at length  $l$  or wavenumber  $k$  and  $l_K$  is the Kolmogorov length. Assuming that the energy spectrum follows the “-5/3” power law in the inertial range, we have:

$$\bar{l} = \frac{\int_{2\pi/l_0}^{2\pi/l_K} \frac{2\pi}{k} E(k) dk}{\int_{2\pi/l_0}^{2\pi/l_K} E(k) dk} = 2\pi \frac{\int_{2\pi/l_0}^{2\pi/l_K} k^{-\frac{8}{3}} dk}{\int_{2\pi/l_0}^{2\pi/l_K} k^{-\frac{5}{3}} dk} \approx 0.4 l_0 \quad (11)$$

Here we have used the fact that  $l_K \ll l_0$ . We should keep in mind that the simulations cannot capture the full spectrum across the inertial range because the eddies with sizes smaller than the grid length cannot be resolved. Therefore, the factor proportional to the largest eddy size  $l_0$  will be slightly larger than “2/5” since fewer small size eddies are explicitly resolved. The factor is only used for a rough estimation to have comparison with our analyses.

As shown in Section 5, backward and forward trajectories of Lagrangian particles reveal a close connection of cloud base halo formation with sub-cloud coherent structures. In the sub-cloud layer, a reasonable first guess of  $l_0$  would be the height of the well-mixed sub-cloud layer. The mixed layer height in the BOMEX case is around 500 m and thus we estimate  $\bar{l}$  to be 200 m. This is consistent with both the auto-correlation and composite analyses. In the cloud layer, a reasonable length scale near clouds is the buoyancy length scale (Craig and Dörnbrack, 2008). The buoyancy length scale in our simulations can be estimated as  $\sqrt{\overline{e_c}}/N$ , where  $e_c$  is the turbulent kinetic energy ( $0.5(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$ ) in the cloud and  $N$  is the Brunt-Väisälä frequency. The buoyancy length scale describes the maximum vertical displacement that can be induced against the stratification in the environment by buoyancy-driven pressure perturbations and thus the maximum scale of eddies that cross the cloud boundary. The mean value of this buoyancy length scale in the cloud layer is around 150 m and thus results in a mean length scale of 60 m, which is smaller than that near cloud base.

Our large eddy simulations produce converged area fractions of cloud across different resolutions, indicating that properties of cloud field are controlled by the large scale forcing (Craig, 1996; Brown, 1999). The converged area fraction of moist patches across different resolutions is a surprise. Possible reasons for the constancy of halo area fraction might be also related to the prescribed large scale forcing, as discussed in the Appendix. However, the cloud spectrum changes with model resolution in our simulations, leading to a resolution dependency of the relative humidity distribution away from the cloud edge, as explained in Section 4. Thus, the lack of convergence in relative humidity distribution in the halo region may be a numerical bias induced by the lack of convergence in cloud number. Whether the distributions converge at even higher resolutions needs further investigation. This may also raise doubt about the fidelity of large eddy models to realistically capture the details of natural clouds, so long as the cloud spectrum depends on resolution, when model grid length is no finer than 10 m. Although previous studies (Siebesma and Jonker, 2000) have shown that large eddy models can reasonably reproduce the fractal behaviour of clouds (area-perimeter fractal dimension), the distributions of relative humidity changing with horizontal resolution suggests that aspects of detailed cloud morphology may still be difficult to capture. A recent study found that, in comparison with observations, large eddy models tend to generate more plume-like, rather than bubble-like clouds (Romps et al., 2021). These results

1  
2 323 indicate a continuing need for improvement of large eddy models to better capture detailed structures associated with  
3 324 cloud geometry.

## 6 325 7 | SUMMARY

8 326 The moist halo region, immediately outside a cloud, is moister than the air further from the cloud and is different from  
9 327 the cloud downdraft shell. It is critical for the interplay between the cloud and the large-scale environment and also  
10 328 has non-negligible impact on radiation. In the present study, we systematically investigated the halo region using large  
11 329 eddy simulations across various model resolutions. Auto-correlation analyses of cloud liquid water and the relative  
12 330 humidity field revealed the converged size of moist patches outside of cloud to be around 200–300 m when the model  
13 331 spacing is below 50 m. This value may overestimate the size of the halo region due to the presence of moist patches  
14 332 left by dissipated clouds. To focus on the structure around individual clouds, we examine the distribution of relative  
15 333 humidity from cloud edge based on an "onion algorithm". Different from previous studies (Lu et al., 2002; Wang et al.,  
16 334 2009), the distribution of relative humidity in the halo region is independent of cloud size and scales much better  
17 335 with the real distance away from the cloud boundary, indicating some size-independent length scales responsible for  
18 336 its formation. However, the distribution of relative humidity strongly depends on model grid spacings, with larger  
19 337 decay rates in higher resolution simulations, leading to smaller halo sizes. This may be related with the inability of  
20 338 the large eddy model to simulate a consistent cloud spectrum across the range of model resolutions explored in this  
21 339 study. Nevertheless, regardless of grid spacings, a robust feature is that the cloud halo size varies vertically, with the  
22 340 largest halo near cloud base. Lagrangian trajectory analyses suggest that the formation of the halo region at different  
23 341 vertical levels may result from different physical processes. The size of the halo region in the cloud layer is possibly  
24 342 affected by the buoyancy length scale. The halo region near cloud base is likely related to coherent structures in the  
25 343 sub-cloud layer and thus is characterized by the depth of mixed layer.

26 344 Finally, we want to stress that this study only focused on the halo region outside non-precipitating shallow cu-  
27 345 mulus clouds. Whether the conclusions or the physical processes can be applied to understand the halo region of  
28 346 organized convection or deep convection in response to different large-scale forcings for example, or over different  
29 347 basins or continents, remains unclear. Such studies have larger computational demands and need further investiga-  
30 348 tion. It should also be noted that the aerosol impacts were not considered in our simulations although their role has  
31 349 been discussed in the Introduction. How aerosol-cloud interactions may affect the dynamics near the cloud edge and  
32 350 the stratification through vertical-dependent radiative effects, and thus change the size of halo region, is also left for  
33 351 future studies.

## 38 352 Acknowledgements

39 353 The authors do not have conflict of interest. The first author thanks Professor Bowen Zhou for helpful discussions.  
40 354 This work is funded by NERC under the joint NERC/Met Office ParaCon programme, specifically through the RevCon  
41 355 project, NE/N013743/1, and the ParaCon Phase 2 project, NE/T003871/1. J.-F. Gu is also supported through Na-  
42 356 tional Natural Science Foundation of China under Grants 42192555. We gratefully acknowledge Adrian Hill, Nick  
43 357 Brown and Todd Jones for their support of the Met Office/NERC Cloud model (MONC). The simulation was con-  
44 358 ducted on Monsoon2, a collaborative High Performance Computing facility funded by the Met Office and NERC, and  
45 359 the analyses were conducted on the NERC/NCAS (National Center for Atmospheric Science) JASMIN computer fa-  
46 360 cility. Finally, we thank the two anonymous reviewers for their critical comments and constructive suggestions that

helped improve this study.

## Author contribution statements

J.-F. Gu designed the study, performed analysis, generated all figures and wrote the original manuscript. R. S. Plant and C. E. Holloway reviewed and edited the manuscript. P. A. Clark write the code for Lagrangian trajectory analysis. All authors contributed to interpreting the results and improving the paper.

## Appendix: Why is the area fraction of the moist halo region independent of model resolution?

We can characterize the moisture content across a domain in terms of the domain average  $\bar{q}$  and fluctuations  $q'$  with a probability distribution function (PDF)  $\rho(q')$ . Assuming that the clouds occupy a fractional area  $\sigma_c$  and that the moisture content within the cloud can be well approximated by  $q_{sat}(\bar{T})$ , the domain-averaged moisture content can be written as:

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + (1 - \sigma_c) [\bar{q} + \int_{-\infty}^{q_{sat}(\bar{T})} \rho(q') q' dq']. \quad (A1)$$

The second term on the right hand side of Eq. (A1) is the mean moisture outside the clouds, obtained by integrating the non-cloudy part of the PDF over the non-cloudy area. If the mean state profiles  $\bar{q}(z)$  and  $\bar{T}(z)$  are independent of model resolution, the cloud area fraction  $\sigma_c$  should also be constant with resolution as it is controlled by the large scale forcing (Craig, 1996; Brown, 1999).

We define the moist halo region by all the non-cloudy points with a moisture content larger than  $\bar{q} + s$ , where  $s$  is the standard deviation of moisture fluctuations. Let the fractional area of the points following this definition be  $\sigma_h$  and we have

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + \sigma_h [\bar{q} + \int_{\bar{q}+s}^{q_{sat}(\bar{T})} \rho(q') q' dq'] + (1 - \sigma_c - \sigma_h) [\bar{q} + \int_{-\infty}^{\bar{q}+s} \rho(q') q' dq']. \quad (A2)$$

The mean moisture contents of the environment and the halo regions are

$$q_{env} = \bar{q} + \int_{-\infty}^{\bar{q}+s} \rho(q') q' dq', \quad (A3)$$

$$q_h = \bar{q} + \int_{\bar{q}+s}^{q_{sat}(\bar{T})} \rho(q') q' dq'. \quad (A4)$$

Therefore, the domain-average moisture content can also be written as

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + \sigma_h q_h + (1 - \sigma_c - \sigma_h) q_{env} = \sigma_c q_{sat}(\bar{T}) + \sigma_h (q_h - q_{env}) + (1 - \sigma_c) q_{env} \quad (A5)$$

If  $\bar{q}(z)$ ,  $\bar{T}(z)$  and  $\sigma_c(z)$  are constant with resolution, so must be  $\sigma_h(q_h - q_{env}) + (1 - \sigma_c) q_{env}$ . What does change with resolution is the number and size distribution of the clouds that contribute towards the fixed total  $\sigma_c$ . If  $\sigma_h$  is to

be similarly unchanging with resolution, then the algebra above indicates that  $q_{env}$  and  $q_h - q_{env}$  (the moisture excess within the halo region) should be unchanging as well.

Figures A1a, b and d show the vertical profiles of  $\bar{q}(z)$ ,  $\bar{T}(z)$  and  $s(z)$ . It is clear that the domain-averaged moisture content, temperature, as well as the standard deviation of moisture content are almost independent from the model resolution. Moreover, the fact that cloud fraction  $\sigma_c$  is independent of resolution means that the  $\rho(q')$  integral in Eq. (A1) cannot change by too much with resolution. If this holds also for the split ranges of  $[-\infty, \bar{q} + s]$  and  $[\bar{q} + s, q_{sat}(\bar{T})]$ , then  $q_{env}$  and  $q_h - q_{env}$  also do not change by too much with resolution. Indeed, this proves to be the case, as confirmed by Figure A1c for the environmental moisture content  $\bar{q}_{env}(z)$ . We can thereby come to the conclusion that the area fraction of the moist halo region  $\sigma_h$  must also remain similar at different model resolutions, according to Eq. (A5).

Physically, we hypothesize that the near constancy of  $\sigma_h$  is another consequence of the equilibrium nature of the simulation. In our model setup, the prescribed surface energy fluxes, together with the prescribed subsidence warming, are in equilibrium with the prescribed radiative cooling so that the whole simulated domain achieves energy balance at equilibrium period. Because no precipitation occurs in the BOMEX case, there should not be net heating at any vertical level and a steady state can be reached. If simulations at different resolutions achieve a very similar steady state, then we might plausibly expect the evaporative cooling contribution to the energy budget to be consistent with resolution. We know that the evaporative cooling predominantly occurs within the moist halo region where there is mixing between cloud and the environmental air. If we can further assume that the moist halo area fraction controls the total evaporative cooling, then it follows that  $\sigma_h$  should remain constant when resolution is changed.

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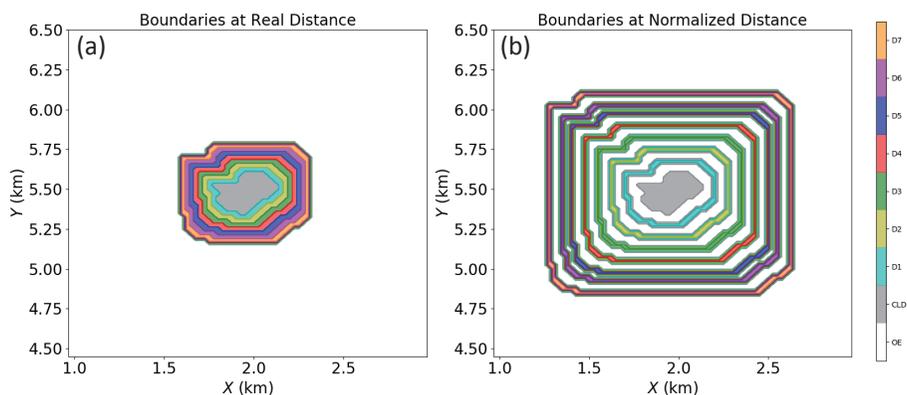
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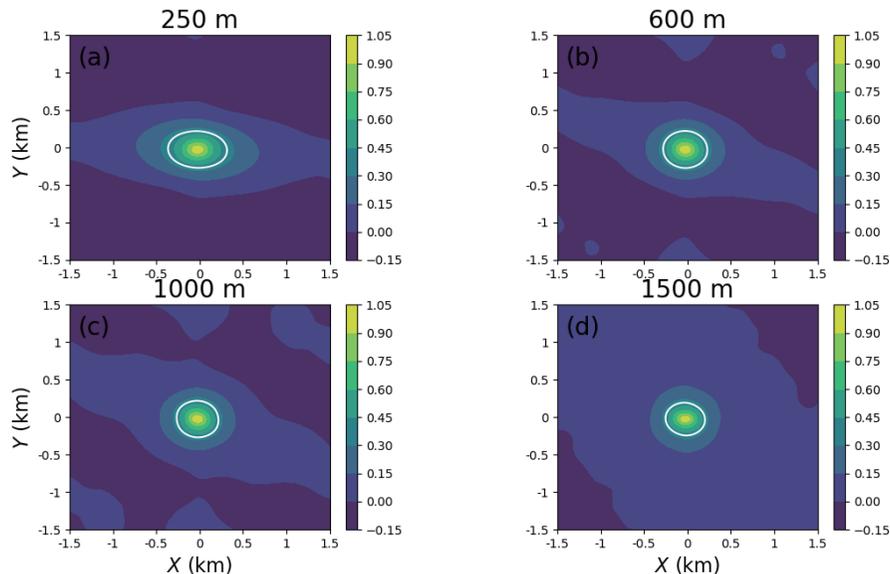
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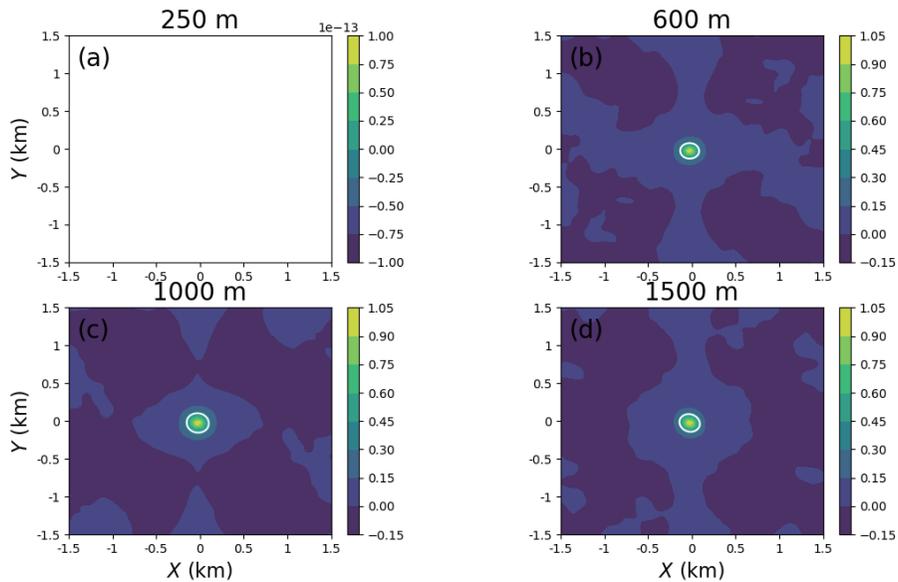
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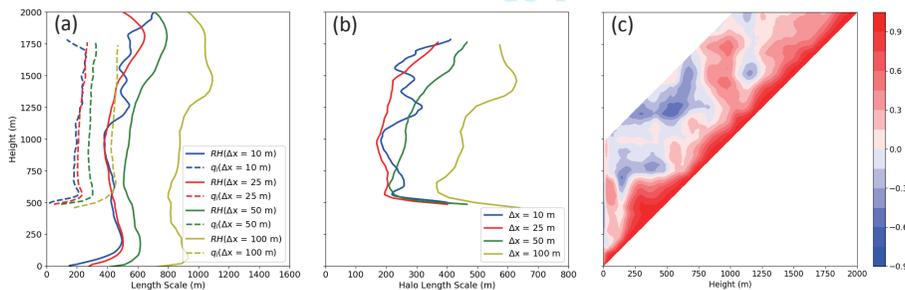
**FIGURE 1** Schematic diagram of the algorithm to detect the near cloud environment step-by-step in terms of (a) real distance; (b) normalized distance; outward from the edge of each cloud object. The grey shading represents an example of cloud object. In (a), cyan, yellow, green, red, blue, magenta and brown colours represent the environment that is 1, 2, 3, 4, 5, 6, 7 grid boxes away from the cloud boundary, respectively. Similarly, in (b), these colours denote the environment that is 0.5, 1.5, 2.5, 3.5, 4.5, 5.5, 6.5 times of cloud size ( $R$ ) away from the cloud boundary, respectively.  $R$  is the effective radius of each cloud object  $R = \sqrt{S/\pi}$ , where  $S$  is the area coverage of the cloud object.



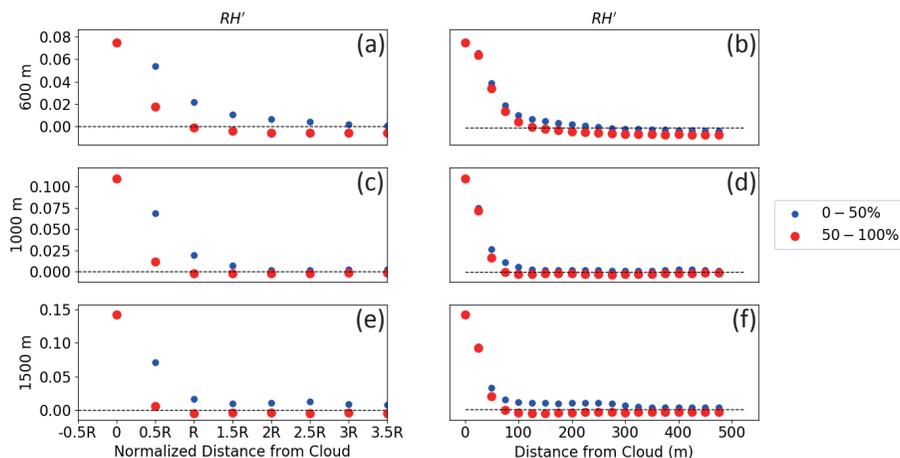
**FIGURE 2** Auto-correlation field of relative humidity  $RH$  in 25 m grid length simulation at different vertical levels: (a) 250 m; (b) 600 m; (c) 1000 m; and (d) 1500 m. The white contour represents the  $e$ -folding line.



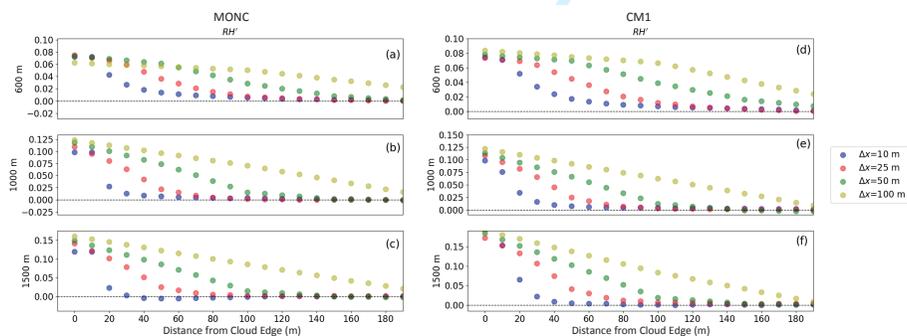
**FIGURE 3** The same as Fig. 2, but for the auto-correlation field of cloud liquid water  $q_l$ .



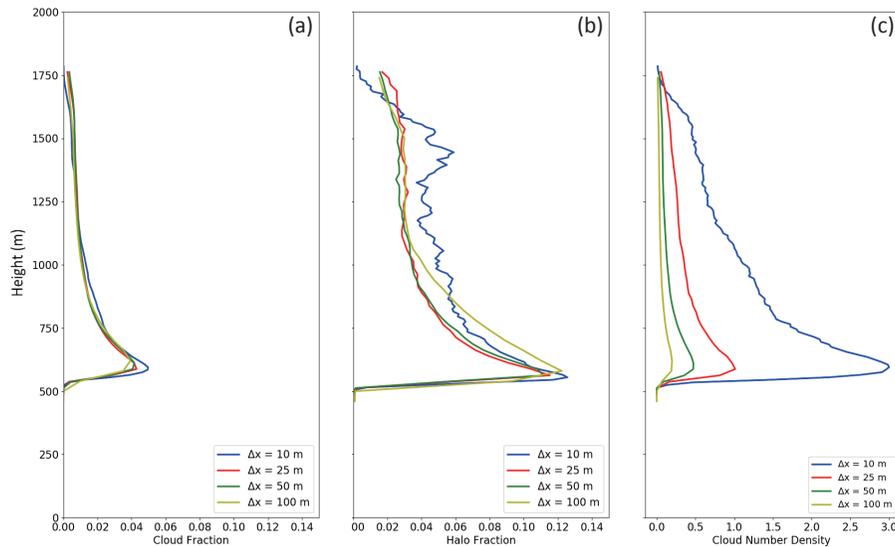
**FIGURE 4** Time-averaged (5-6 h) (a) vertical profiles of auto-correlation length scales for relative humidity ( $L_{RH}$ , solid lines) and cloud liquid water ( $L_{q_l}$ , dashed lines); and (b) vertical profiles of halo sizes ( $L_{RH} - L_{q_l}$ ) in the simulations with different horizontal grid lengths: 10 m (blue), 25 m (red), 50 m (green), and 100 m (yellow). (c) Correlation coefficients between the time series (5-6 h) of auto-correlation length scale of relative humidity at different vertical levels in the simulation with horizontal resolution of 25 m. Due to the symmetry, the lower half of the triangular correlation matrix is not shown. The coefficients are shown within a vertical range of 1000 m from the current level, because the air parcels that form the halo region do not travel more than 1000 m in the vertical, as shown in Fig. 9.



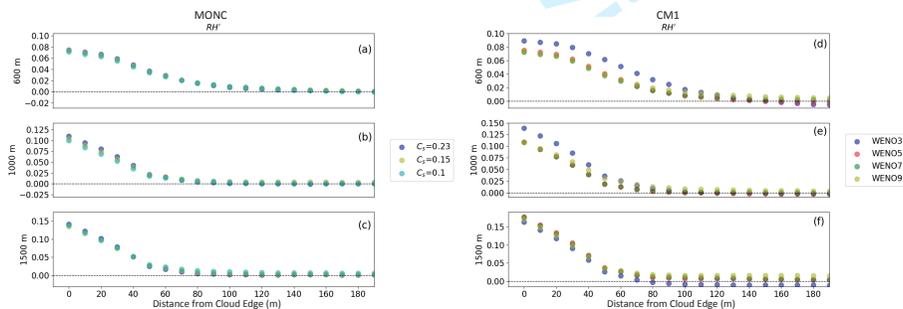
**FIGURE 5** The composited distributions (perturbations have been interpolated on 10 m intervals before being composited) of relative humidity perturbation as functions of normalized distance (a, c, e) and real distance (b, d, f) outward from the cloud boundary, at 600 m (a, b), 1000 m (c, d) and 1500 m (e, f) heights in 25 m grid length simulation. Large red dots are composites for clouds whose radii are larger than the median value, while blue small dots are composites for the smaller clouds.



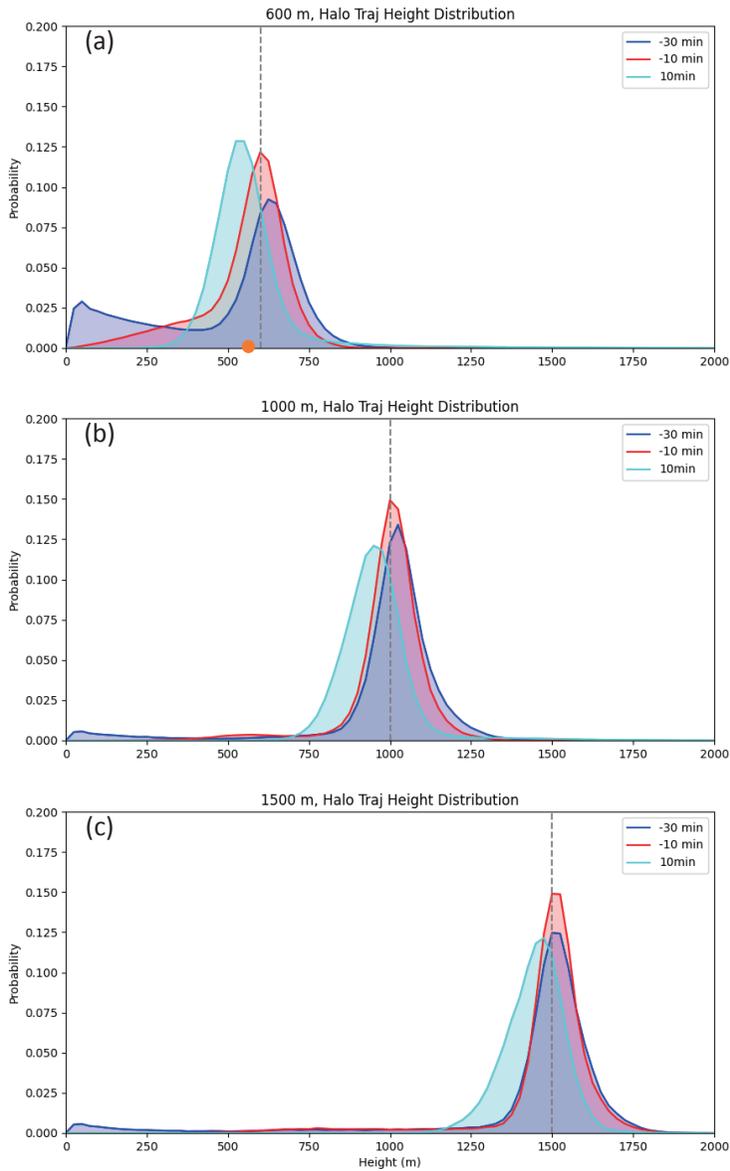
**FIGURE 6** The composited distributions of relative humidity perturbation as functions of real distance from the cloud boundary, at the heights 600 m (a, d), 1000 m (b, e) and 1500 m (c, f). The left (a, b, c) and right columns (d, e, f) show results from MONC and the CM1 model, respectively. Different horizontal grid lengths are represented with different colours: 10 m (blue), 25 m (red), 50 m (green) and 100 m (yellow).



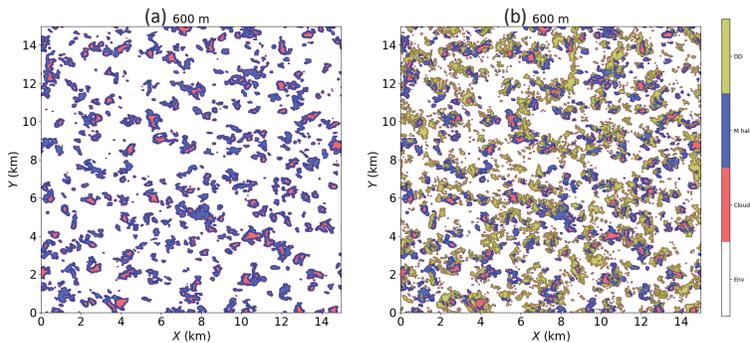
**FIGURE 7** (a) Vertical profiles of cloud area fraction in different resolution simulations. (b) Vertical profiles of area fraction of the halo region outside the clouds in different resolution simulations. The inner boundary of the halo region is defined as the cloud edge and the outer boundary is defined using one standard deviation of relative humidity perturbation at each vertical level. (c) Vertical profiles of cloud number density ((km<sup>2</sup>)<sup>-1</sup>) in simulations with different horizontal resolutions. The solid blue, red, green and yellow lines represent the results from simulations with grid lengths of 10 m, 25 m, 50 m and 100 m, respectively.



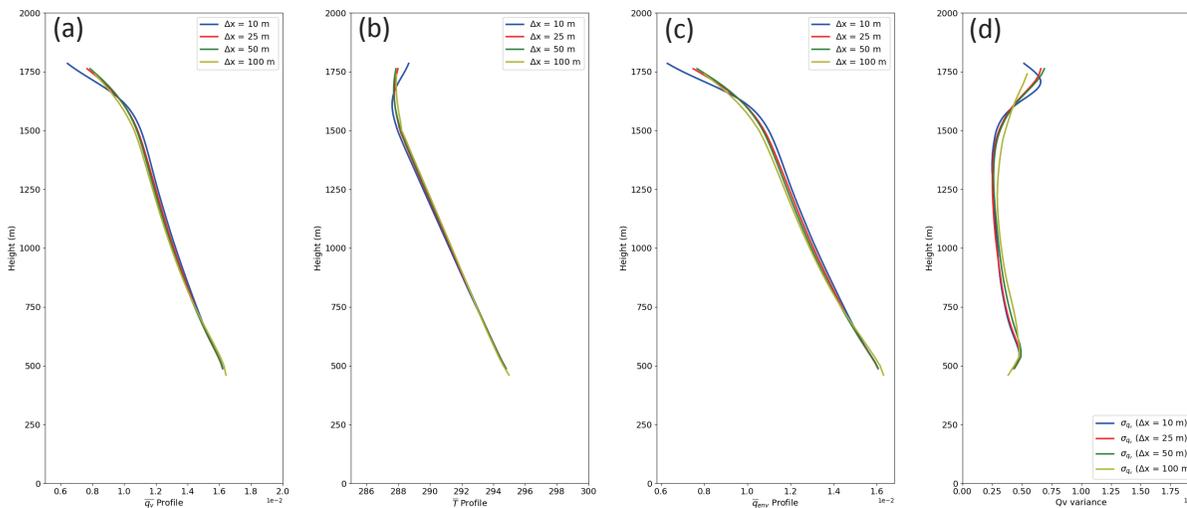
**FIGURE 8** The composited distribution of relative humidity perturbation as functions of real distance from the cloud boundary at 600 m (a, d), 1000 m (b, e) and 1500 m (c, f) heights from 25 m grid length simulations. The left column (a, b, c) shows the results in MONC simulations with different setting of mixing length scale in the sub-grid turbulence scheme: C<sub>s</sub> = 0.23 (blue), C<sub>s</sub> = 0.15 (yellow), C<sub>s</sub> = 0.10 (cyan). The right column (d, e, f) shows the results in CM1 simulations with different orders of WENO advection scheme: 3rd (blue), 5th (red), 7th (green) and 9th (yellow).



**FIGURE 9** Probability distributions of the heights of Lagrangian trajectories in the 10 m grid length simulation. Trajectories are calculated for air parcels that form the halo region at the reference times, and different colours represent the distribution at different times relative to the reference time: -30 min (blue), -10 min (red) and 10 min (cyan). The different panels are for the halo region defined at different vertical levels at the reference time: 600 m (a), 1000 m (b) and 1500 m (c). The orange dot in (a) denotes the height of cloud base.



**FIGURE 10** Snapshot of regions of cloud (red shading), moist halo (blue shading) and downdrafts (yellow shading) at hour 6 and at the height of 600 m. (a) Snapshot showing the cloud and moist halo regions. (b) As in (a) but including overlapping downdrafts. The clouds are defined using  $q_l > 10^{-5} \text{ kg kg}^{-1}$ . The moist halo region is defined as where the relative humidity anomaly is larger than one standard deviation of relative humidity at 600 m. The downdrafts are defined as the region with downward motion stronger than one deviation of vertical velocity at 600 m.



**FIGURE A1** Vertical profiles within the cloud layer of (a) domain-mean water vapor ( $\bar{q}$ ,  $\text{kg kg}^{-1}$ ), (b) domain-mean temperature ( $\bar{T}$ , K), (c) environmental water vapor ( $\bar{q}_{env}$ ,  $\text{kg kg}^{-1}$ ) during hour 5 – 6. Also shown are the vertical profiles of (d) the standard deviation of water vapor ( $\sigma_q$ ). Results are shown for simulations with horizontal grid lengths of 10 m (blue), 25 m (red), 50 m (green), and 100 m (yellow).

**ORIGINAL ARTICLE**

Quarterly Journal of the Royal Meteorological Society

# Moist Halo Region Around Shallow Cumulus Clouds in Large Eddy Simulations

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**Funding information**

This work has been funded by Natural Environment Research Council (NERC) under the joint NERC/Met Office ParaCon programme, through the RevCon project, NE/N013743/1, the Circle-A project, NE/N013735/1, and ParaCon phase 2 project, NE/T003871/1. J.-F. Gu is also supported through National Natural Science Foundation of China under Grants 42192555.

In this study, the moist buffering halo region of shallow maritime cumulus clouds is systematically investigated using large eddy simulations with various grid resolutions and numerical choices. Auto-correlation analyses of cloud liquid water and relative humidity suggest a converged size of 200 – 300 m for moist patches outside clouds when model resolution is below 50 m but may overestimate this size due to non-cloudy moist regions. Based on a composite analysis, the structure of the moist halo immediately outside individual clouds is examined. It is found that, regardless of model resolution, the distribution of relative humidity in the halo region does not depend on cloud size, but on the real distance away from the cloud boundary, indicating some size-independent length scales responsible for the halo formation. The relative humidity decays with distance more quickly with finer horizontal resolution, which is possibly related to the model resolution dependency of the cloud spectrum. The halo size near cloud base is larger than that within the cloud layer and this feature is robust across all simulations. Further analyses of backward and forward Lagrangian trajectories originating from the moist halo region reveal the possible role for sub-cloud coherent structures on the cloud-base halo formation. Possible mechanisms explaining cloud halo sizes and associated length scales are

discussed.

#### KEYWORDS

shallow cumulus clouds, moist halo region, length scales, large eddy simulations

## 1 | INTRODUCTION

The near-cloud environment is characterized by a halo region where the condensates are absent but relative humidity is larger than that in the remote environment (Ackerman, 1958; Telford and Wagner, 1980; Radke, 1991; Perry and Hobbs, 1996; Kollias et al., 2001; Lu et al., 2003). Mixing of cloud liquid water in this sub-saturated region results in evaporative cooling and induces downward motions to balance much of the upward mass flux within the clouds (Jonker et al., 2008; Heus and Jonker, 2008; Heus et al., 2008). Thus, the presence of halo region with higher relative humidity is critical for cloud dynamics, especially in cloud-environment interactions. In conventional convection parameterizations, it is assumed that the air entrained into the cloud takes the properties of the far field environment while in fact only the near cloud environment air is mixed into the cloud. The underestimation of the specific humidity of the entraining air leads to smaller entrainment rates being diagnosed compared to the direct estimations of entrainment rate using cloud properties in the halo region (Romps, 2010; Dawe and Austin, 2011). Hence better understanding of the moist halo region can help define the correct properties of entraining air in a plume model of convection parameterization.

Besides dynamical effects, the higher relative humidity in the moist halo region also favors hygroscopic growth of aerosol (Carrico et al., 2003; Feingold and Morley, 2003; Flores et al., 2012; Petters and Kreidenweis, 2007). With higher aerosol concentration, the humidity in the halo region can be increased through mixing of more condensed water into the near-cloud environment and in turn can promote large-scale ascent and stronger convection (Abbott and Cronin, 2021). Aerosol humidification can also lead to a change of optical properties in the near-cloud environment (Altartatz et al., 2013). The gradual decrease of aerosol optical depth from cloud to clear sky in the "twilight zone" (Koren et al., 2007, 2009), a transition zone between cloud and cloud-free atmosphere, can have a non-negligible contribution to radiative forcing (Bar-Or et al., 2012; Eytan et al., 2020; Jahani et al., 2020). If such radiative effects of the moist halo region are neglected, remote sensing retrieval algorithms of aerosol properties can be biased toward data far from clouds and lead to the underestimation of aerosol optical depth and possible uncertainties in radiative forcing associated with aerosol (Koren et al., 2007; Marshak et al., 2021; Mieslinger et al., 2021). Hence, the distribution of relative humidity is critical for estimating the aerosol humidification and the distribution of aerosol optical depth.

Therefore, characterizing the distribution of relative humidity in the halo region and the size of this region, [and hence the correct representation of mixing in the halo region](#) can help advance the development of convection parameterization and improve the accuracy of remote sensing near cloud, shedding light on cloud dynamics, as well as the cloud-aerosol-environment interaction. Nevertheless, there are disagreements on the moist halo region between theories, observations and numerical simulations, partly due to different definitions of cloud halo region. Theoretical studies (Pinsky and Khain, 2019, 2020) simplified the entrainment-mixing process at cloud boundaries using a one-dimensional turbulent diffusion equation and estimated the halo size to be around 100 m. However, observational studies have recorded a large uncertainty in the halo size, ranging from less than 100 m to more than 1 km (Perry and Hobbs, 1996; Lu et al., 2003; Laird, 2005; Twohy et al., 2009; Wang and Geerts, 2010). A few high-resolution numerical simulations have been performed to investigate the halo region. Using large eddy simulations, Bar-Or et al. (2012) reported the characteristic scale of exponential decay of relative humidity to be slightly less than 100 m, and

Lu et al. (2002) found a dependence of halo size on cloud size, but their horizontal resolutions were rather coarse (100 m grid length). Nair et al. (2021) investigated the interfaces at the edge of cumulus clouds using a direct numerical simulation, but this covered a small region of cloud edge and could not provide comprehensive information on the halo region. Nair et al. (2021) also performed a high-resolution large eddy simulation with 4.1 m grid length and found that the size of the “invisible shell” is less than 200 m, for a shell defined in terms of enstrophy. Heus et al. (2008) performed simulations of shallow cumulus clouds with grid lengths from 12.5 m to 100 m but they mainly focused on the downdraft shells, which have been found to be wider than the moist halo region (McMichael et al., 2022). The downward mass flux in cloud shells was stronger in finer resolution simulations (Heus et al., 2008) and the integrated mass flux in cloud shells was stronger for larger size clouds (Heus and Jonker, 2008). However, it remains unclear whether the properties of cloud shells can be robustly applied to understand the moist halo region since we lack a systematic assessment of the sensitivity of moist halo structure to resolution and numerical choices using large eddy simulations.

The present study is designed to systematically investigate the moist halo region around shallow cumulus clouds, including the relative humidity distribution, the halo size and possible physical processes involved in its formation, using high-resolution large eddy simulations. The rest of the paper is organized as follows. Section 2 introduces the large eddy simulations (Sec. 2.1) and a composite algorithm for determining the relative humidity distribution within the halo region (Sec. 2.2). Section 3 examines the size of moist patches outside the cloud through auto-correlation analyses. Section 4 investigates general features of relative humidity distribution within the halo region (Sec. 4.1), their dependence on model resolution (Sec. 4.2) and numerical details (Sec. 4.3). Section 5 reveals connections between the halo regions at different levels, by means of Lagrangian trajectories. Discussions are given in Section 6 and a summary in Section 7.

## 2 | METHODOLOGY

### 2.1 | Large eddy simulations

The Met Office-NERC (Natural Environment Research Council) Cloud model (MONC; Brown et al., 2015, 2018) is used to perform large eddy simulations of oceanic shallow convection based on the Barbados Oceanographic and Meteorological Experiment (BOMEX). Most of the model configuration follows that of Siebesma et al. (2003) but the grid spacing is changed. The horizontal grid spacings used are 100 m, 50 m, 25 m and 10 m, in order to investigate the dependency of halo region structure on model resolution. Vertical grid spacings are 40 m, 25 m, 25 m and 10 m, respectively. All simulations have the same model top at 3 km but the domain sizes are different with consistent horizontal grids (600 × 600) to save computational resource. The 3D Smagorinsky-Lilly scheme is used for the parameterization of sub-grid turbulence (Smagorinsky, 1963; Lilly, 1962). A simple saturation adjustment cloud scheme is used to represent the conversion between water vapor and cloud liquid water. There is no rain formation during our simulation period.

In all the simulations, constant surface sensible and latent heat fluxes are prescribed. Rather than interactive radiation, we prescribe the large-scale radiative cooling to represent clear-sky longwave radiation. The radiative cooling is constant ( $-2 \text{ K day}^{-1}$ ) from surface to 1.5 km height and decreases linearly to zero at model top. To close the energy budget, we also prescribe a large-scale subsidence that linearly increases with height up to the inversion at 1500 m, above which it decreases. The subsidence is applied to both moisture and temperature fields. We further prescribe a small moisture tendency in the lowest 500 m to mimic the large-scale horizontal advection. The effects of large-scale pressure gradients are parameterized through imposed geostrophic winds ( $\mathbf{v}_g = (-10 + 1.8 \times 10^{-3}z, 0) \text{ m s}^{-1}$ ) and

the Coriolis parameter  $f = 0.376 \times 10^{-4} \text{ s}^{-1}$ . Other details of the case specification are available in Siebesma et al. (2003). Our analyses cover a period in the equilibrium state (hour 5–6) of the simulation, with 1 min output frequency. Consistent with the previous inter-comparison study of Siebesma et al. (2003), the domain-averaged cloud properties remain steady during this period and thus are suitable for our analyses.

## 2.2 | Composite Algorithm

We use a spatial composite analysis, **namely**, the "Onion Algorithm", to examine the distribution of relative humidity in the near environment around each cloud. At each vertical level, all cloudy points are first identified with the cloud liquid water criterion  $q_l > 10^{-5} \text{ kg kg}^{-1}$ . Contiguous cloudy points are combined to form an individual cloud object. For each cloud object, we identify its boundary and then investigate the distribution of relative humidity in the near-cloud environment as a function of distance from the cloud edge. Distances away from the edge are measured in terms of the real distance and also the distance normalized by cloud size. For the distributions in terms of real distance, we move outward from the cloud boundary in steps of a single grid box (Fig. 1a). For the distributions in terms of normalized distance, at each vertical level, we first calculate the effective radius of each cloud object as  $\sqrt{S/\pi}$ , where  $S$  is the area coverage of the cloud object. We then express the radius as a number of grid points. The distribution is evaluated by moving outwards by this number of grid boxes on each step (Fig. 1b). Any cloudy points outside of the individual cloud in question and that are found during the outward movement are excluded from the composite. Mean properties for a given distance are composited to obtain the distribution in the halo region. Previous studies (Zhao and Austin, 2005; Dawe and Austin, 2011) applied similar ideas to understand the interaction between clouds and environment but were limited to the region adjacent to the cloud edge and are thus not able to cover the whole halo region.

## 3 | SIZE OF MOIST PATCHES OUTSIDE THE CLOUDS

The size of moist patches outside the clouds is first examined using the spatial auto-correlation functions of relative humidity and cloud liquid water at each vertical level. The spatial auto-correlation function  $C(\mathbf{R})$  of a field  $f$  is defined as:

$$C_f(\mathbf{R}) = \int f(\mathbf{r} + \mathbf{R})f^*(\mathbf{r})d\mathbf{r}, \quad (1)$$

where  $\mathbf{r}$  is the position vector in the field,  $\mathbf{R}$  is the displacement position vector and  $f^*(\mathbf{r})$  **represent-represents** the complex conjugate of  $f(\mathbf{r})$ . The auto-correlation function can be computed with two fast Fourier transforms according to the Wiener-Khinchin theorem. Figure 2 shows the auto-correlation function of relative humidity at different levels. Physically, the auto-correlation of relative humidity characterises how the moist patches associated with coherent structures decay with distance. The spatial pattern of large correlation coefficients is found to be elongated along the west-east direction (Fig. 2), and takes a more elliptical shape in the sub-cloud layer (Fig. 2a). This is because the morphology of coherent structures is shaped by the east-to-west mean flow, which is largest ( $10 \text{ m s}^{-1}$ ) in the sub-cloud layer (Denby et al., 2022). The spatial patterns of auto-correlation field of cloud liquid water from cloud base and above, are closer to a round shape and similar across different vertical levels, consistent with the geometry of the clouds (Fig. 3). In addition, the high auto-correlation coefficients of  $q_l$  are more concentrated near the center than those of relative humidity, indicating that the clouds have more compact structures **than the moist region**. The auto-

1  
2 correlation of cloud liquid water has similar patterns near and above the cloud base, except that the auto-correlation  
3 coefficient decays more quickly from the center than auto-correlation coefficient in relative humidity field. Therefore,  
4 the sizes of moist patches are larger than the cloud sizes. We define the auto-correlation length scales  $L_{RH}$  and  $L_{q_l}$   
5 as the effective length scales of an enclosed area of the corresponding spatial auto-correlation fields as follows  
6

$$L = \sqrt{4A/\pi}, \quad (2)$$

7  
8  
9 where  $A$  is the area within which the auto-correlation coefficient is larger than  $e^{-1}$ .  $L_{RH}$  and  $L_{q_l}$  can be considered as  
10 proxies for the sizes of moist patches and cloud objects, respectively.  
11  
12

13 Figure 4a shows the time averaged (5-6 h) vertical profiles of  $L_{RH}$  and  $L_{q_l}$  in the simulations at different resolutions.  
14  $L_{RH}$  is clearly larger than  $L_{q_l}$  at all vertical levels in each simulation. Both  $L_{RH}$  and  $L_{q_l}$  start to converge at 25 m  
15 resolution, and the length scales in the 100 m simulation are much larger (about twice) than in the higher resolution  
16 simulations. In all simulations,  $L_{q_l}$  increases quickly with height near cloud base and is then fairly constant throughout  
17 the cloud layer.  $L_{RH}$  is relatively small near the surface, where the size of turbulent eddies is constrained. It has a  
18 local maximum at around 100 – 150 m height, and decreases through the rest of the sub-cloud layer and through cloud  
19 base to achieve a local minimum at around 1000 m height. Thereafter, it increases again to the cloud top. A slight  
20 oscillation of  $L_{RH}$  above 1000 m in the 10 m grid length simulation is probably due to a lack of sufficient sampling  
21 within a small domain size. Larger  $L_{RH}$  in the upper part of the cloud layer might be related to terminal detrainment  
22 of moist air out of clouds. Moist patches may be large even if the corresponding clouds have dissipated since their  
23 associated water vapor remains within the vicinity for longer than the cloud lifetime. The difference between  $L_{RH}$  and  
24  $L_{q_l}$  ( $\Delta L = L_{RH} - L_{q_l}$ ) provides a measure of bulk halo size in the auto-correlation field. Figure 4b shows the vertical  
25 profile of  $\Delta L$ . The halo sizes in the 10 and 25 m simulations are comparable (200 – 300 m) throughout the cloud layer,  
26 while those in the 50 m simulation are somewhat larger, particularly in the upper part of the cloud layer. Halo sizes in  
27 the 100 m simulation are much larger.  
28  
29

30  
31 Since the vertical variation of  $\Delta L$  is largely controlled by  $L_{RH}$ , we can examine how the halo sizes at different  
32 vertical levels are connected through a correlation analysis. Figure 4c shows the correlation coefficients between  
33 the time series of  $L_{RH}$  at different vertical levels during hour 5-6 in the 25 m resolution simulation. The results from  
34 other simulations are similar (not shown). As expected,  $\Delta L$  at a specified level is always highly correlated with that  
35 at neighbouring levels. Away from the neighbouring levels, high positive correlations are also found at low levels  
36 between 250 and 750 m, and at high levels between 1500 and 2000 m. This indicates that the halo region near cloud  
37 base may be related with coherent structures in the sub-cloud layer, and that the halo region in the inversion layer may  
38 be associated with overturning structures near cloud top. It is also found that  $\Delta L$  at around 1000-1200 m is positively  
39 correlated with that in the inversion layer (1500-2000 m). Such a connection between the halo region in the mid-levels  
40 of the cloud layer and that at cloud top may indicate a role for downdrafts outside the cloud. Negative correlations  
41 between the halo sizes at 500-1000 m with those at 1000-1500 m suggest a possible out of phase evolution, meaning  
42 that an increase of  $L_{RH}$  in the mid-levels of the cloud layer is accompanied by a decrease of  $L_{RH}$  in the inversion layer  
43 and vice-versa. We hypothesize that the halo size from cloud top to the mid-levels of the cloud layer is increased due  
44 to the enhanced mixing between cloud and environmental dry air. Such mixing results in more negative buoyancy and  
45 thus leads to stronger downdrafts that can bring drier air from higher levels downward and decrease the size of halo  
46 region below the mid-level of the cloud layer.  
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## 4 | DISTRIBUTION OF RELATIVE HUMIDITY

### 4.1 | General features

The auto-correlation analyses above might overestimate the actual halo size because some moist patches are remnants of dissipated clouds without any clouds within them. To focus directly on the near environment around each cloud, we use the "Onion Algorithm", to assess the distribution of relative humidity away from the cloud edge (Sec. 2.2). Figure 5 shows the distribution of relative humidity perturbation (relative to the domain mean) outside the cloud in the 25 m grid length simulation at three vertical levels: 600 m, 1000 m and 1500 m, which are representative of cloud base, cloud layer, and near cloud top, respectively. Only the cloud objects larger than 100 m are included in the composite analyses. These retained cloud objects are categorized into two groups: large and small, based on the median effective size (220 m near cloud base). The distribution expressed in terms of normalized cloud size shows clear differences between the larger and smaller clouds (Figs. 5a, c, e). At all vertical levels, the relative humidity of large clouds decreases much more quickly to match the environment than that of the small clouds. In contrast, the distributions expressed as a function of real distance are much more similar for the larger and smaller clouds (Figs. 5b, d, f). The same observations can also be made for the simulations at other horizontal resolutions (not shown). Hence, the decay of relative humidity within the halo region around shallow cumulus clouds scales better with real distance from cloud edge, indicating that the halo size is determined by some length scale or scales independent of cloud size. Some observational studies previously suggested that the halo size was proportional to the cloud size, but may have lacked sufficient sampling or they focused on different types of clouds (Lu et al., 2003; Wang and Geerts, 2010).

Although the distributions for larger and smaller clouds are more similar when expressed in terms of real distance from the cloud edge, nonetheless the relative humidity around the larger clouds at a given distance is lower than around the smaller clouds. This is consistent with the notion that larger clouds have stronger downdrafts, which in turn lead to a slightly drier halo region (Rodts et al., 2003; Heus and Jonker, 2008; Wang et al., 2009; Gu et al., 2020a). This point is more apparent in the simulations with finer resolution and near the cloud top because the cloud top downdrafts are much better resolved with higher horizontal resolution.

### 4.2 | Dependency on model resolution

As shown by Figure 6, it is important to notice that the distribution of relative humidity in the halo region is affected by the horizontal resolution. The relative humidity decreases more slowly from the cloud edge in the coarser resolution simulations, probably because the full spectrum of eddies responsible for mixing across the edge are less well captured. The decrease of relative humidity in the highest resolution simulation (10 m grid length) resembles an exponential decay while the shape follows a more quadratic decay at lower resolutions. In other words, the distributions of relative humidity away from the cloud edge have not converged with increasing horizontal resolution, at least above 10 m grid length. Nonetheless, the decay rate of relative humidity is consistently found to be slower near cloud base (Figs. 6a,d) than within the cloud layer (Figs. 6b,c,e,f), indicating that the formation of the halo region near cloud base and at other vertical levels may be affected by different processes. We discuss this point further in Section 6.

If the outer edge of the halo region is defined as the position where the composited mean relative humidity perturbation approaches zero, then the halo size can be calculated as the distance between the cloud boundary and the outer edge. With this definition, we find that the halo sizes in the 10, 25 and 50 m simulations are comparable despite their different decay rates near cloud edge. In each simulation, the halo size near cloud base is around 200 m and decreases to around 100 m at higher levels. However, the halo size so diagnosed is larger in the 100 m simulation

at all vertical levels. A robust feature of all simulations is that the halo size is largest near cloud base and smaller within the cloud layer. This is also consistent with the results from auto-correlation analyses, apart from the impact of moist patches left by decaying clouds at levels around cloud top. Similar vertical variation can also be found for downdraft cloud shells (Jonker et al., 2008).

However, the halo size is sensitive to how we define the outer boundary of the halo region. If a non-zero threshold of relative humidity perturbation is used, then the halo size is smaller and also dependent on the horizontal resolution. The halo size becomes a monotonic function of horizontal resolution, with finer resolution simulations having smaller halo size due to the more rapid decay of relative humidity. The halo size does not converge within the range of resolutions explored in this study. The explanation for this resolution dependence of halo size may be related to the resolution dependence of cloud number density. Assume we have two large eddy simulations. The model grid lengths are  $\Delta x_1$  and  $\Delta x_2$  and  $\Delta x_2 < \Delta x_1$ . The mean sizes of cloud objects at a specified vertical level are  $l_{c1}$  and  $l_{c2}$ . The mean sizes of moist regions in the two simulations are  $l_{m1}$  and  $l_{m2}$ . The numbers of clouds across the domain are  $N_1$  and  $N_2$ , respectively. A key result in our simulations, shown by Figs. 7a, b, is that the fractional area coverage of cloud and halo regions (defined as the region with relative humidity perturbation larger than one standard deviation outside the clouds) are both independent of model resolution (see the proof in the Appendix). This implies the following equalities:

$$N_1 l_{c1}^2 = N_2 l_{c2}^2 \quad (3)$$

$$N_1 (l_{m1}^2 - l_{c1}^2) = N_2 (l_{m2}^2 - l_{c2}^2) \quad (4)$$

Eq. 4 can be rewritten as:

$$N_1 (l_{m1} - l_{c1})(l_{m1} + l_{c1}) = N_2 (l_{m2} - l_{c2})(l_{m2} + l_{c2}) \quad (5)$$

Define  $L_{h1} = l_{m1} - l_{c1}$  and  $L_{h2} = l_{m2} - l_{c2}$ .  $L_{h1}$  and  $L_{h2}$  can be considered as the size of cloud halo regions when the model grid lengths are  $\Delta x_1$  and  $\Delta x_2$ , respectively. From Eq. 5, we can derive the ratio between  $L_{h1}$  and  $L_{h2}$ :

$$\frac{L_{h1}}{L_{h2}} = \frac{N_2 (l_{m2} + l_{c2})}{N_1 (l_{m1} + l_{c1})} \quad (6)$$

Combining Eqs. 3 and 4, we have:

$$\frac{N_1}{N_2} = \frac{l_{c2}^2}{l_{c1}^2} = \frac{l_{m2}^2}{l_{m1}^2} \quad (7)$$

and therefore

$$\frac{l_{c2}}{l_{c1}} = \frac{l_{m2}}{l_{m1}} = \sqrt{\frac{N_1}{N_2}} \quad (8)$$

Substituting Eq. 8 ( $l_{c2} = l_{c1} l_{m2} / l_{m1}$ ) into Eq. 6, the ratio between  $L_{h1}$  and  $L_{h2}$  is

$$\frac{L_{h1}}{L_{h2}} = \frac{N_2 (l_{m2} + l_{c2})}{N_1 (l_{m1} + l_{c1})} = \frac{N_2 l_{m2}}{N_1 l_{m1}} = \sqrt{\frac{N_2}{N_1}} \quad (9)$$

1  
2 210 Shallow cumulus clouds in our large eddy simulations tend to be smaller and more numerous with increased horizontal  
3 211 resolution (Fig. 7c). Similar behaviour can also be found in Brown (1999). Hence, we have  $N_2 > N_1$ . As a result, the  
4 212 ratio  $L_{h1}/L_{h2} > 1$  from Eq. 9. This means that the mean size of the moist area around an individual cloud must be  
5 213 smaller in finer resolution simulations.

### 8 214 4.3 | Sensitivity to numerical choices

9  
10 215 It is plausible to speculate that the distribution of relative humidity may be sensitive to the numerical details of the  
11 216 model. The robustness of the composited structure in the halo region is therefore also examined with another large  
12 217 eddy model, the CM1 model (Bryan and Fritsch, 2002). The BOMEX simulations were again performed using the  
13 218 horizontal grid lengths of 100 m, 50 m, 25 m and 10 m, but with a smaller domain size (6.4 km) for computational  
14 219 considerations. Similar features can also be found in these simulations. The distribution of relative humidity in the  
15 220 halo depends only weakly on the cloud size for a given simulation. Also, the rate of decay of the relative humidity  
16 221 perturbation is larger in the finer resolution simulations and smaller near cloud base (Figs. 6d, e, f).

17  
18 222 To test if the size of the halo region is sensitive to the details of sub-grid turbulent schemes (e.g. mixing length  
19 223 scale) or the advection schemes, we perform additional sensitivity simulations at 25 m grid spacing. The mixing length  
20 224 scale in the sub-grid turbulence scheme in MONC simulations is changed by setting the Smargorinsky constant  $C_s$   
21 225 from its default value 0.23 to smaller ones, 0.15 and 0.10. As the MONC model does not have multiple options for  
22 226 advection schemes, we test the sensitivity to advection scheme using CM1 model. The advection scheme in the  
23 227 control simulation with CM1 is the third order WENO scheme (Jiang and Shu, 1996; Balsara and Shu, 2000). We  
24 228 further use the 5th, 7th and 9th order WENO scheme for the sensitivity simulations. Figure 8 shows that the general  
25 229 features found in control simulations are not sensitive to the numerical choices.

## 28 230 5 | LAGRANGIAN TRAJECTORIES ANALYSIS

30 231 The two independent methods of Secs. 3 and 2.2 give some consistent results in terms of the vertical variation of the  
31 232 moist halo region, but they cannot provide a picture of time evolution of air within the halo region. To further under-  
32 233 stand how the halo regions at different vertical levels are connected, and the physical processes involved, Lagrangian  
33 234 particles are used to trace the air parcels in the halo region (defined as  $RH' > \sigma_{RH}$ , where  $\sigma_{RH}$  is one standard de-  
34 235 viation of relative humidity) outside the cloud at all vertical levels and at each model output time during hour 5-6 (1  
35 236 min interval). The Lagrangian trajectories are calculated following the method of Gheusi and Stein (2002), with some  
36 237 extensions. The positions (coordinates) of model grid boxes are used as Lagrangian labels and are advected with the  
37 238 flow using the same advection scheme as that applied to the scalar fields in the model. The trajectories of labelled  
38 239 particles can then be calculated backward and forward through the advected coordinates. The trajectories for each  
39 240 model output time are calculated both backward and ~~forwards~~ forward for 30 min. We chose the 60 min time window  
40 241 as it is longer than the entire lifetime of almost all clouds in our simulations.

42 242 The particles in the moist halo region at reference times come from other parts of the domain and thereby are  
43 243 located at different heights before and after the formation of halo region. Figure 9 shows the distributions of heights  
44 244 of Lagrangian trajectories before (-30 min, ~~-10~~ -10 min) and after (10 min) the reference times and it can be used  
45 245 to indicate the neighbouring levels that are critical ~~for the halo formation~~ during the formation of moist halo region.  
46 246 Near cloud base (Fig. 9a), 30 min before the reference time, slightly more than 50% of the air parcels in the halo region  
47 247 come from the neighbouring levels (about 250 m below and above). However, about another half of the air parcels

originate from the sub-cloud layer, with most of them being near the surface (Fig. 9a). 10 min after the formation of the halo region, about 70% of the air parcels have moved downward and half of them (35% of total) go back to the sub-cloud layer. These findings provide clear evidence that the halo region near cloud base is closely related with coherent structures from the sub-cloud layer. More than half of the air parcels within the halo region in the middle of the cloud layer (1000 m, Fig. 9b) and near the cloud top (1500 m, Fig. 9c) come from higher levels and they descend slowly to form the halo. However, only 10 min after the reference time, more than 65% of the air parcels have already descended to lower levels, suggesting that the formation of the halo region is accompanied by a downdraft (Heus and Jonker, 2008; McMichael et al., 2022). These results provide evidence to support our hypothesis of length scales associated with moist halo region in the next section.

## 6 | DISCUSSION

The region with downward motion outside the cloud is usually referred to as a "cloud shell", but it is not necessarily related to higher water vapor (Savre, 2021). Recent studies (Savre, 2021; McMichael et al., 2022) suggested that from the composited perspective, the region with downward motion outside the cloud is broader than the halo region with higher water vapor. Thus, the moist halo region seems to be a subset of the cloud shell, and it should be emphasised that the moist halo region investigated in this study is not the same as the downdraft cloud shells studied by Jonker et al. (2008); Heus and Jonker (2008); Heus et al. (2008) for example.

First of all, the primary formation mechanisms of the moist halo region and the cloud shell are different. Since the large-scale relative humidity and moisture content decrease with height in the simulations, the descending cloud shell alone would result in a drier near-cloud environment outside the cloud, which is not the case. The presence of a moist halo region immediately outside the cloud is thus strong evidence that horizontal mixing occurs near cloud boundaries. The mixing between the detrained cloud condensate and the environmental air leads to evaporation and humidifies the near cloud environment. Meanwhile, the evaporative cooling starts to drive downward motions and thus the formation of the cloud shell. In this sense, the moist halo region and cloud shell form simultaneously but the underlying mechanisms are not quite the same.

In addition, the moist halo region always surrounds each cloud object while the strong downdrafts within the cloud shell are not necessarily present, as shown in Figure 10. The distribution of strong downdrafts outside the cloud also has stronger asymmetry, compared to the moist halo region, probably because of the weak vertical wind shear. Savre (2021) found that in addition to the buoyancy effect, other mechanical forcings, for example, the pressure gradient force and the horizontal advection, may be important for downward motion in the cloud shell. These results indicate that there might be more dynamical processes involved in the formation and maintenance of cloud shell, which contribute to the asymmetries. Furthermore, in terms of detailed structures, Heus et al. (2008) found that the downward mass flux density was stronger in higher resolution simulations but the size of downdraft shell was consistent across different grid spacings (their Figure 10), which is in contrast with the resolution dependence of the moist halo region. Heus and Jonker (2008) showed that the integrated mass flux in cloud shells depends on cloud size while our results suggest that the relative humidity distribution in the moist halo region scales with real distance from cloud edge. These points strongly indicate that the moist halo region is different from the downdraft shell and worthy of in-depth understanding.

The fact that the distribution of relative humidity within the halo region scales better with the real distance away from the cloud edge rather than with cloud sizes indicates some size-independent length scales governing the formation of the halo region. A robust finding from all simulations is that the cloud halo size is largest near cloud base

and decreases upwards. In considering this behavior, assume that the largest overturning structure responsible for the mixing between cloud and environment has a length scale of  $l_0$ . That structure breaks down continuously into smaller scales until the eddy is dissipated. We hypothesize that the halo size should be characterized by the mean size of these continuously breaking eddies. We estimate the mean size using the energy-weighted mean as:

$$\bar{l} = \frac{\int_{l_K}^{l_0} l E(l) dl}{\int_{l_K}^{l_0} E(l) dl}, \quad (10)$$

where  $E(l) dl = E(k) dk$  is the energy spectrum at length  $l$  or wavenumber  $k$  and  $l_K$  is the Kolmogorov length. Assuming that the energy spectrum follows the “-5/3” power law in the inertial range, we have:

$$\bar{l} = \frac{\int_{2\pi/l_0}^{2\pi/l_K} \frac{2\pi}{k} E(k) dk}{\int_{2\pi/l_0}^{2\pi/l_K} E(k) dk} = 2\pi \frac{\int_{2\pi/l_0}^{2\pi/l_K} k^{-\frac{8}{3}} dk}{\int_{2\pi/l_0}^{2\pi/l_K} k^{-\frac{5}{3}} dk} \approx 0.4 l_0 \quad (11)$$

Here we have used the fact that  $l_K \ll l_0$ . We should keep in mind that the simulations cannot capture the full spectrum across the inertial range because the eddies with sizes smaller than the grid length cannot be resolved. Therefore, the factor proportional to the largest eddy size  $l_0$  will be slightly larger than “2/5” since fewer small size eddies are explicitly resolved. The factor is only used for a rough estimation to have comparison with our analyses.

As shown in Section 5, backward and forward trajectories of Lagrangian particles reveal a close connection of cloud base halo formation with sub-cloud coherent structures. In the sub-cloud layer, a reasonable first guess of  $l_0$  would be the height of the well-mixed sub-cloud layer. The mixed layer height in the BOMEX case is around 500 m and thus we estimate  $\bar{l}$  to be 200 m. This is consistent with both the auto-correlation and composite analyses. In the cloud layer, a reasonable length scale near clouds is the buoyancy length scale (Craig and Dörnbrack, 2008). The buoyancy length scale in our simulations can be estimated as  $\sqrt{\overline{e_c}}/N$ , where  $e_c$  is the turbulent kinetic energy ( $0.5(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$ ) in the cloud and  $N$  is the Brunt-Väisälä frequency. The buoyancy length scale describes the maximum vertical displacement that can be induced against the stratification in the environment by buoyancy-driven pressure perturbations and thus the maximum scale of eddies that cross the cloud boundary. The mean value of this buoyancy length scale in the cloud layer is around 150 m and thus results in a mean length scale of 60 m, which is smaller than that near cloud base.

Our large eddy simulations produce converged area fractions of cloud across different resolutions, indicating that properties of cloud field are controlled by the large scale forcing (Craig, 1996; Brown, 1999). The converged area fraction of moist patches across different resolutions is a surprise. Possible reasons for the constancy of halo area fraction might be also related to the prescribed large scale forcing, as discussed in the Appendix. However, the cloud spectrum changes with model resolution in our simulations, leading to a resolution dependency of the relative humidity distribution away from the cloud edge, as explained in Section 4. Thus, the lack of convergence in relative humidity distribution in the halo region may be a numerical bias induced by the lack of convergence in cloud number. Whether the distributions converge at even higher resolutions needs further investigation. This may also raise doubt about the fidelity of large eddy models to realistically capture the details of natural clouds, so long as the cloud spectrum depends on resolution, when model grid length is no finer than 10 m. Although previous studies (Siebesma and Jonker, 2000) have shown that large eddy models can reasonably reproduce the fractal behaviour of clouds (area-perimeter fractal dimension), the distributions of relative humidity changing with horizontal resolution suggests that aspects of detailed cloud morphology may still be difficult to capture. A recent study found that, in comparison with observations, large eddy models tend to generate more plume-like, rather than bubble-like clouds (Romps et al., 2021). These results

1  
2 323 indicate a continuing need for improvement of large eddy models to better capture detailed structures associated with  
3 324 cloud geometry.

## 6 325 7 | SUMMARY

8 326 The moist halo region, immediately outside a cloud, is moister than the air further from the cloud and is different from  
9 327 the cloud downdraft shell. It is critical for the interplay between the cloud and the large-scale environment and also  
10 328 has non-negligible impact on radiation. In the present study, we systematically investigated the halo region using large  
11 329 eddy simulations across various model resolutions. Auto-correlation analyses of cloud liquid water and the relative  
12 330 humidity field revealed the converged size of moist patches outside of cloud to be around 200–300 m when the model  
13 331 spacing is below 50 m. This value may overestimate the size of the halo region due to the presence of moist patches  
14 332 left by dissipated clouds. To focus on the structure around individual clouds, we examine the distribution of relative  
15 333 humidity from cloud edge based on an "onion algorithm". Different from previous studies (Lu et al., 2002; Wang et al.,  
16 334 2009), the distribution of relative humidity in the halo region is independent of cloud size and scales much better  
17 335 with the real distance away from the cloud boundary, indicating some size-independent length scales responsible for  
18 336 its formation. However, the distribution of relative humidity strongly depends on model grid spacings, with larger  
19 337 decay rates in higher resolution simulations, leading to smaller halo sizes. This may be related with the inability of  
20 338 the large eddy model to simulate a consistent cloud spectrum across the range of model resolutions explored in this  
21 339 study. Nevertheless, regardless of grid spacings, a robust feature is that the cloud halo size varies vertically, with the  
22 340 largest halo near cloud base. Lagrangian trajectory analyses suggest that the formation of the halo region at different  
23 341 vertical levels may result from different physical processes. The size of the halo region in the cloud layer is possibly  
24 342 affected by the buoyancy length scale. The halo region near cloud base is likely related to coherent structures in the  
25 343 sub-cloud layer and thus is characterized by the depth of mixed layer.

26 344 Finally, we want to stress that this study only focused on the halo region outside non-precipitating shallow cu-  
27 345 mulus clouds. Whether the conclusions or the physical processes can be applied to understand the halo region of  
28 346 organized convection or deep convection in response to different large-scale forcings for example, or over different  
29 347 basins or continents, remains unclear. Such studies have larger computational demands and need further investiga-  
30 348 tion. It should also be noted that the aerosol impacts were not considered in our simulations although their role has  
31 349 been discussed in the Introduction. How aerosol-cloud interactions may affect the dynamics near the cloud edge and  
32 350 the stratification through vertical-dependent radiative effects, and thus change the size of halo region, is also left for  
33 351 future studies.

## 34 352 Acknowledgements

35 353 The authors do not have conflict of interest. The first author thanks Professor Bowen Zhou for helpful discussions.  
36 354 This work is funded by NERC under the joint NERC/Met Office ParaCon programme, specifically through the RevCon  
37 355 project, NE/N013743/1, and the ParaCon Phase 2 project, NE/T003871/1. J.-F. Gu is also supported through Na-  
38 356 tional Natural Science Foundation of China under Grants 42192555. We gratefully acknowledge Adrian Hill, Nick  
39 357 Brown and Todd Jones for their support of the Met Office/NERC Cloud model (MONC). The simulation was con-  
40 358 ducted on Monsoon2, a collaborative High Performance Computing facility funded by the Met Office and NERC, and  
41 359 the analyses were conducted on the NERC/NCAS (National Center for Atmospheric Science) JASMIN computer fa-  
42 360 cility. Finally, we thank the two anonymous reviewers for their critical comments and constructive suggestions that

helped improve this study.

## Author contribution statements

J.-F. Gu designed the study, performed analysis, generated all figures and wrote the original manuscript. R. S. Plant and C. E. Holloway reviewed and edited the manuscript. P. A. Clark write the code for Lagrangian trajectory analysis. All authors contributed to interpreting the results and improving the paper.

## Appendix: Why is the area fraction of the moist halo region independent of model resolution?

We can characterize the moisture content across a domain in terms of the domain average  $\bar{q}$  and fluctuations  $q'$  with a probability distribution function (PDF)  $p(q')$ . Assuming that the clouds occupy a fractional area  $\sigma_c$  and that the moisture content within the cloud can be well approximated by  $q_{sat}(\bar{T})$ , the domain-averaged moisture content can be written as:

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + (1 - \sigma_c) [\bar{q} + \int_{-\infty}^{q_{sat}(\bar{T})} p(q') q' dq']. \quad (A1)$$

The second term on the right hand side of Eq. (A1) is the mean moisture outside the clouds, obtained by integrating the non-cloudy part of the PDF over the non-cloudy area. If the mean state profiles  $\bar{q}(z)$  and  $\bar{T}(z)$  are independent of model resolution, the cloud area fraction  $\sigma_c$  should also be constant with resolution as it is controlled by the large scale forcing (Craig, 1996; Brown, 1999).

We define the moist halo region by all the non-cloudy points with a moisture content larger than  $\bar{q} + s$ , where  $s$  is the standard deviation of moisture fluctuations. Let the fractional area of the points following this definition be  $\sigma_h$  and we have

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + \sigma_h [\bar{q} + \int_{\bar{q}+s}^{q_{sat}(\bar{T})} p(q') q' dq'] + (1 - \sigma_c - \sigma_h) [\bar{q} + \int_{-\infty}^{\bar{q}+s} p(q') q' dq']. \quad (A2)$$

The mean moisture contents of the environment and the halo regions are

$$q_{env} = \bar{q} + \int_{-\infty}^{\bar{q}+s} p(q') q' dq', \quad (A3)$$

$$q_h = \bar{q} + \int_{\bar{q}+s}^{q_{sat}(\bar{T})} p(q') q' dq'. \quad (A4)$$

Therefore, the domain-average moisture content can also be written as

$$\bar{q} = \sigma_c q_{sat}(\bar{T}) + \sigma_h q_h + (1 - \sigma_c - \sigma_h) q_{env} = \sigma_c q_{sat}(\bar{T}) + \sigma_h (q_h - q_{env}) + (1 - \sigma_c) q_{env} \quad (A5)$$

If  $\bar{q}(z)$ ,  $\bar{T}(z)$  and  $\sigma_c(z)$  are constant with resolution, so must be  $\sigma_h(q_h - q_{env}) + (1 - \sigma_c) q_{env}$ . What does change with resolution is the number and size distribution of the clouds that contribute towards the fixed total  $\sigma_c$ . If  $\sigma_h$  is to

be similarly unchanging with resolution, then the algebra above indicates that  $q_{env}$  and  $q_h - q_{env}$  (the moisture excess within the halo region) should be unchanging as well.

Figures A1a, b and d show the vertical profiles of  $\bar{q}(z)$ ,  $\bar{T}(z)$  and  $s(z)$ . It is clear that the domain-averaged moisture content, temperature, as well as the standard deviation of moisture content are almost independent from the model resolution. Moreover, the fact that cloud fraction  $\sigma_c$  is independent of resolution means that the  $\rho(q')$  integral in Eq. (A1) cannot change by too much with resolution. If this holds also for the split ranges of  $[-\infty, \bar{q} + s]$  and  $[\bar{q} + s, q_{sat}(\bar{T})]$ , then  $q_{env}$  and  $q_h - q_{env}$  also do not change by too much with resolution. Indeed, this proves to be the case, as confirmed by Figure A1c for the environmental moisture content  $\bar{q}_{env}(z)$ . We can thereby come to the conclusion that the area fraction of the moist halo region  $\sigma_h$  must also remain similar at different model resolutions, according to Eq. (A5).

Physically, we hypothesize that the near constancy of  $\sigma_h$  is another consequence of the equilibrium nature of the simulation. In our model setup, the prescribed surface energy fluxes, together with the prescribed subsidence warming, are in equilibrium with the prescribed radiative cooling so that the whole simulated domain achieves energy balance at equilibrium period. Because no precipitation occurs in the BOMEX case, there should not be net heating at any vertical level and a steady state can be reached. If simulations at different resolutions achieve a very similar steady state, then we might plausibly expect the evaporative cooling contribution to the energy budget to be consistent with resolution. We know that the evaporative cooling predominantly occurs within the moist halo region where there is mixing between cloud and the environmental air. If we can further assume that the moist halo area fraction controls the total evaporative cooling, then it follows that  $\sigma_h$  should remain constant when resolution is changed.

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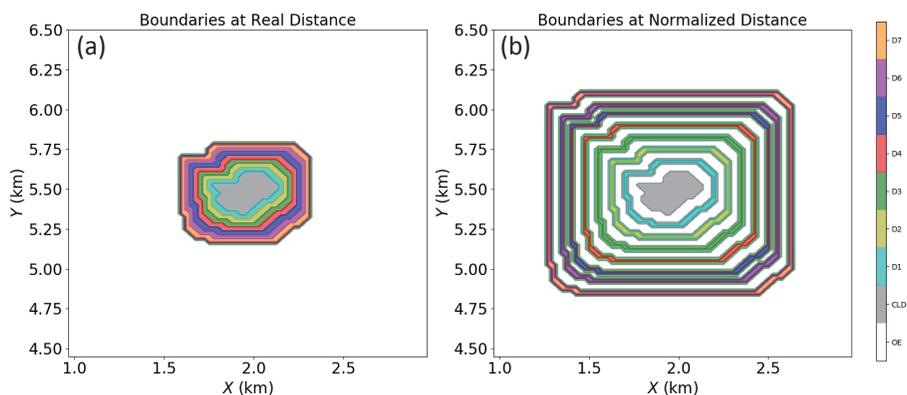
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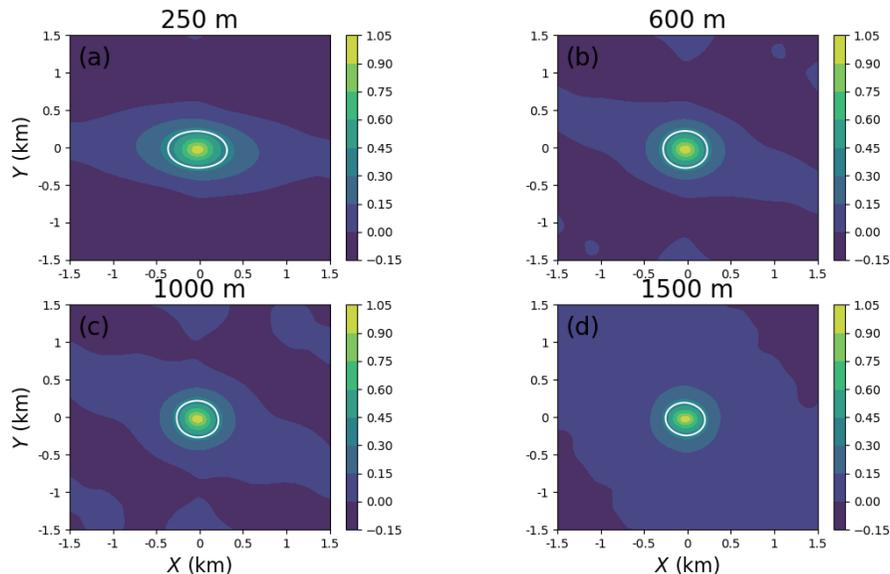
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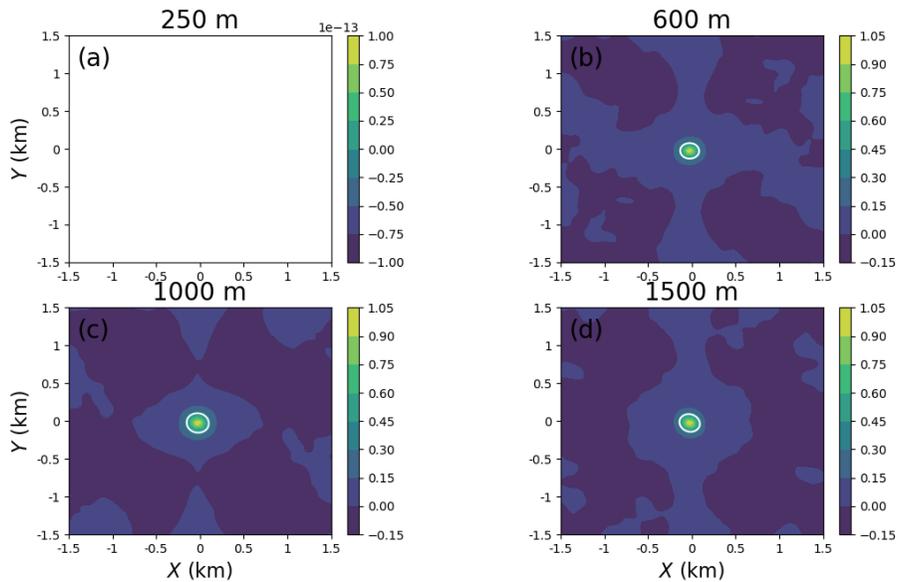
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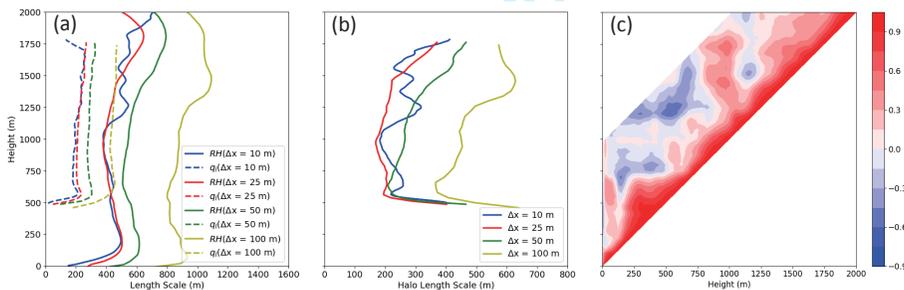
**FIGURE 1** Schematic diagram of the algorithm to detect the near cloud environment step-by-step in terms of (a) real distance; (b) normalized distance; outward from the edge of each cloud object. The grey shading represents an example of cloud object. In (a), cyan, yellow, green, red, blue, magenta and brown colours represent the environment that is 1, 2, 3, 4, 5, 6, 7 grid boxes away from the cloud boundary, respectively. Similarly, in (b), these colours denote the environment that is 0.5, 1.5, 2.5, 3.5, 4.5, 5.5, 6.5 times of cloud size ( $R$ ) away from the cloud boundary, respectively.  $R$  is the effective radius of each cloud object  $R = \sqrt{S/\pi}$ , where  $S$  is the area coverage of the cloud object.



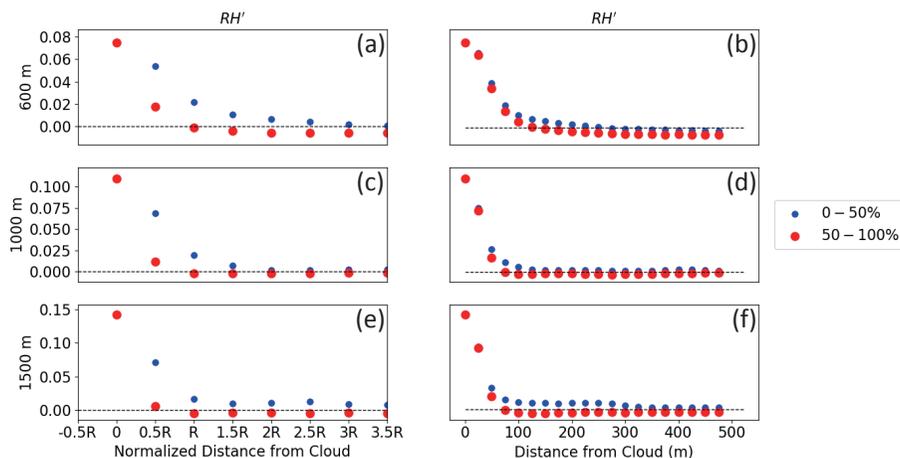
**FIGURE 2** Auto-correlation field of relative humidity  $RH$  in 25 m grid length simulation at different vertical levels: (a) 250 m; (b) 600 m; (c) 1000 m; and (d) 1500 m. The white contour represents the  $e$ -folding line.



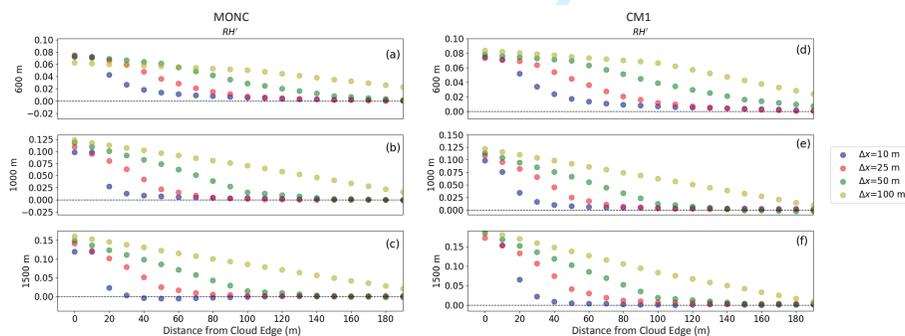
**FIGURE 3** The same as Fig. 2, but for the auto-correlation field of cloud liquid water  $q_l$ .



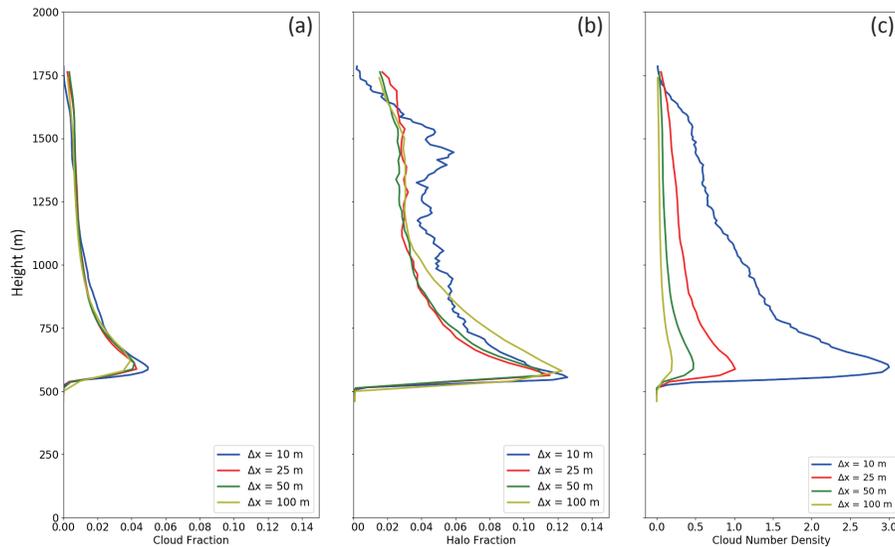
**FIGURE 4** Time-averaged (5-6 h) (a) vertical profiles of auto-correlation length scales for relative humidity ( $L_{RH}$ , solid lines) and cloud liquid water ( $L_{q_l}$ , dashed lines); and (b) vertical profiles of halo sizes ( $L_{RH} - L_{q_l}$ ) in the simulations with different horizontal grid lengths: 10 m (blue), 25 m (red), 50 m (green), and 100 m (yellow). (c) Correlation coefficients between the time series (5-6 h) of auto-correlation length scale of relative humidity at different vertical levels in the simulation with horizontal resolution of 25 m. Due to the symmetry, the lower half of the triangular correlation matrix is not shown. The coefficients are shown within a vertical range of 1000 m from the current level, because the air parcels that form the halo region do not travel more than 1000 m in the vertical, as shown in Fig. 9.



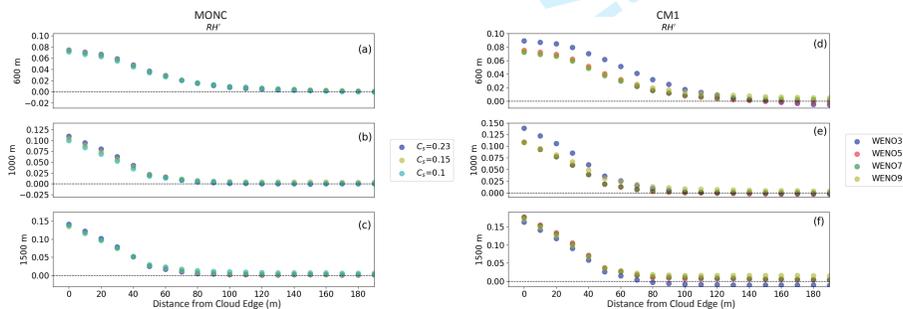
**FIGURE 5** The composited distributions (perturbations have been interpolated on 10 m intervals before being composited) of relative humidity perturbation as functions of normalized distance (a, c, e) and real distance (b, d, f) outward from the cloud boundary, at 600 m (a, b), 1000 m (c, d) and 1500 m (e, f) heights in 25 m grid length simulation. Large red dots are composites for clouds whose radii are larger than the median value, while blue small dots are composites for the smaller clouds.



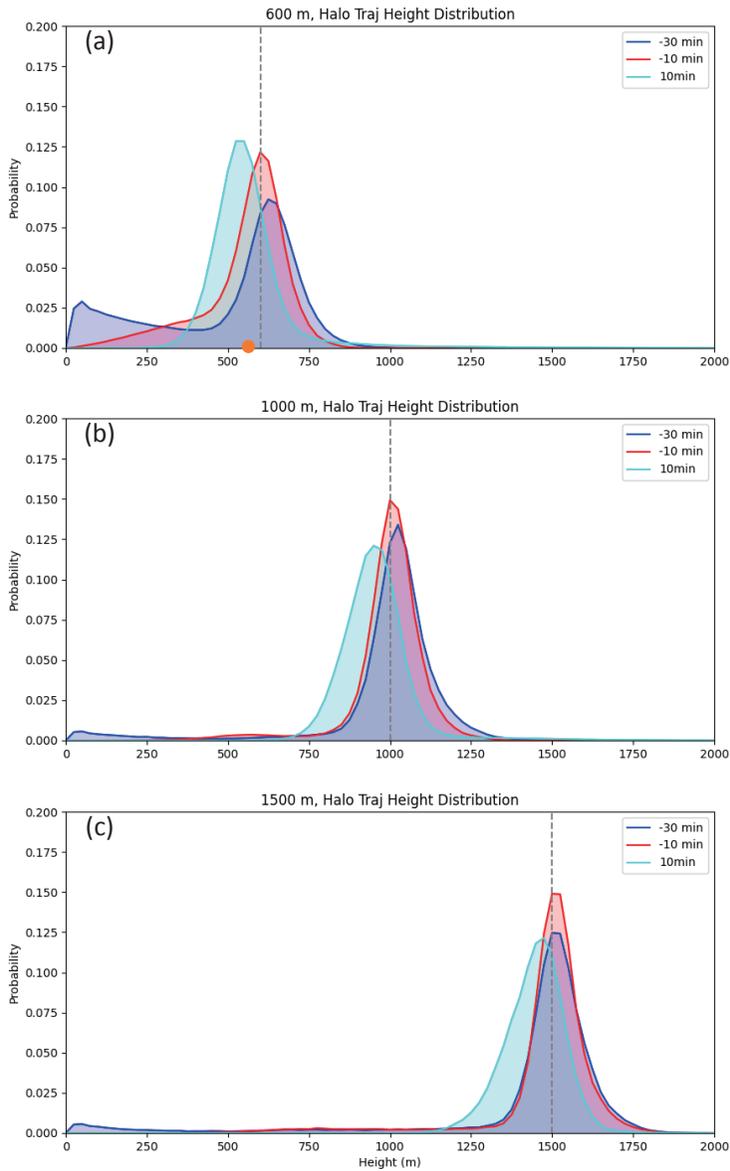
**FIGURE 6** The composited distributions of relative humidity perturbation as functions of real distance from the cloud boundary, at the heights 600 m (a, d), 1000 m (b, e) and 1500 m (c, f). The left (a, b, c) and right (d, e, f) columns show results from MONC and the CM1 model, respectively. Different horizontal grid lengths are represented with different colours: 10 m (blue), 25 m (red), 50 m (green) and 100 m (yellow).



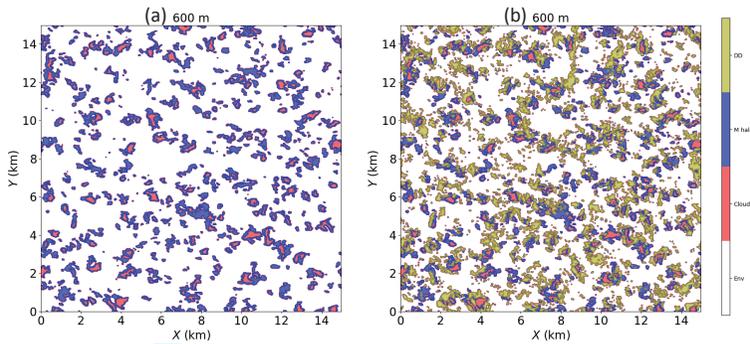
**FIGURE 7** (a) Vertical profiles of cloud area fraction in different resolution simulations. (b) Vertical profiles of area fraction of the halo region outside the clouds in different resolution simulations. The inner boundary of the halo region is defined as the cloud edge and the outer boundary is defined using one standard deviation of relative humidity perturbation at each vertical level. (c) Vertical profiles of cloud number density ((km<sup>2</sup>)<sup>-1</sup>) in simulations with different horizontal resolutions. The solid blue, red, green and yellow lines represent the results from simulations with grid lengths of 10 m, 25 m, 50 m and 100 m, respectively.



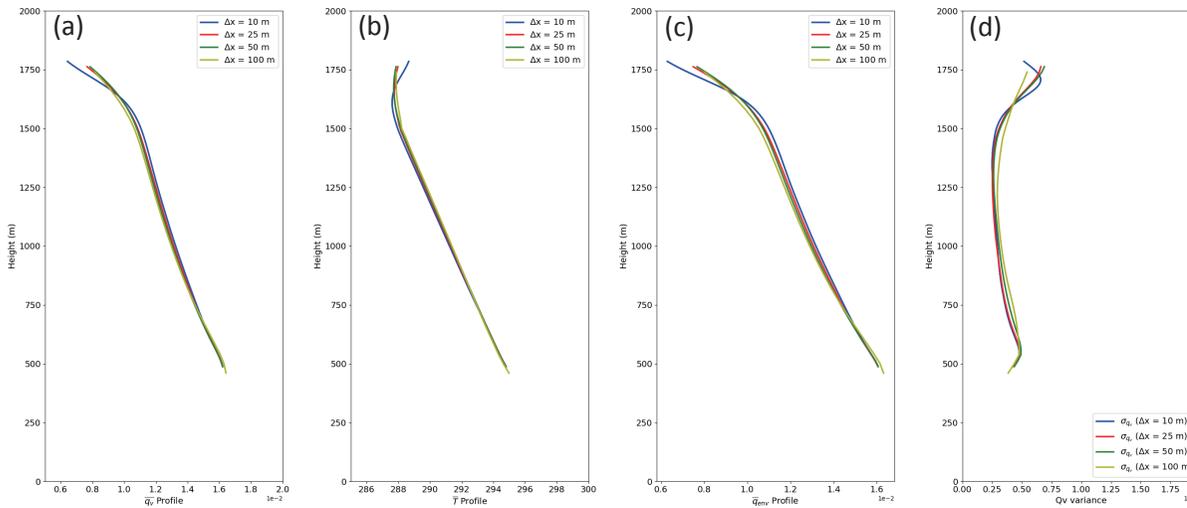
**FIGURE 8** The composited distribution of relative humidity perturbation as functions of real distance from the cloud boundary at 600 m (a, d), 1000 m (b, e) and 1500 m (c, f) heights from 25 m grid length simulations. The left column (a, b, c) shows the results in MONC simulations with different setting of mixing length scale in the sub-grid turbulence scheme: C<sub>s</sub> = 0.23 (blue), C<sub>s</sub> = 0.15 (yellow), C<sub>s</sub> = 0.10 (cyan). The right column (d, e, f) shows the results in CM1 simulations with different orders of WENO advection scheme: 3rd (blue), 5th (red), 7th (green) and 9th (yellow).



**FIGURE 9** Probability distributions of the heights of Lagrangian trajectories in the 10 m grid length simulation. Trajectories are calculated for air parcels that form the halo region at the reference times, and different colours represent the distribution at different times relative to the reference time: -30 min (blue), -10 min (red) and 10 min (cyan). The different panels are for the halo region defined at different vertical levels at the reference time: 600 m (a), 1000 m (b) and 1500 m (c). The orange dot in (a) denotes the height of cloud base.



**FIGURE 10** Snapshot of regions of cloud (red shading), moist halo (blue shading) and downdrafts (yellow shading) at hour 6 and at the height of 600 m. (a) Snapshot showing the cloud and moist halo regions. (b) As in (a) but including overlapping downdrafts. The clouds are defined using  $q_l > 10^{-5} \text{ kg kg}^{-1}$ . The moist halo region is defined as where the relative humidity anomaly is larger than one standard deviation of relative humidity at 600 m. The downdrafts are defined as the region with downward motion stronger than one deviation of vertical velocity at 600 m.



**FIGURE A1** Vertical profiles within the cloud layer of (a) domain-mean water vapor ( $\bar{q}$ ,  $\text{kg kg}^{-1}$ ), (b) domain-mean temperature ( $\bar{T}$ , K), (c) environmental water vapor ( $\bar{q}_{env}$ ,  $\text{kg kg}^{-1}$ ) during hour 5 – 6. Also shown are the vertical profiles of (d) the standard deviation of water vapor ( $\sigma_q$ ). Results are shown for simulations with horizontal grid lengths of 10 m (blue), 25 m (red), 50 m (green), and 100 m (yellow).

**Title:**

Moist Halo Region Around Shallow Cumulus Clouds in Large Eddy Simulations

**Authors:**

Jian-Feng Gu\*, Robert Stephen Plant, Christopher E Holloway, Peter A. Clark

Graphical Abstract: in a separate PDF file

**Caption:**

The halo region immediately outside the cloud is moister than the remote environment. It serves as a buffering region for the interaction between clouds and large-scale environment and also favors hygroscopic growth of aerosols, implying an important role on cloud dynamics and cloud-aerosol-radiation interactions. Here, large eddy simulations are used to systematically investigate the properties of this moist halo region (e.g. width, relative humidity distribution), providing useful evidence for continuous improvement of the representation of clouds in numerical models.

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# MOIST HALO REGION



Cloud



Eddies

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