

1 **Contrasting fast precipitation responses to tropospheric and stratospheric ozone forcing**

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12 **Key Points**

- 13 • Fast precipitation response to ozone change simulated in a global climate model
- 14 • Fast precipitation responses to tropospheric and stratospheric O₃ change oppose each
- 15 other
- 16 • Simple model indicates present-day precipitation change due to O₃ could exceed 50%
- 17 of that from CO₂

18 **Abstract**

19 The precipitation response to radiative forcing (RF) can be decomposed into a fast
20 precipitation response (FPR), which depends on the atmospheric component of RF, and a
21 slow response, which depends on surface temperature change. We present the first detailed
22 climate model study of the FPR due to tropospheric and stratospheric ozone changes. The
23 FPR depends strongly on the altitude of ozone change. Increases below about 3 km cause a
24 positive FPR; increases above cause a negative FPR. The FPR due to stratospheric ozone
25 change is, per unit RF, about 3 times larger than that due to tropospheric ozone. As historical
26 ozone trends in the troposphere and stratosphere are opposite in sign, so too are the FPRs.
27 Simple climate model calculations of the time-dependent total (fast and slow) precipitation
28 change, indicate that ozone's contribution to precipitation change in 2011, compared to 1765,
29 could exceed 50% of that due to CO₂ change.

30 Index Terms: 1655 Water cycles; 3354 Precipitation; 3359 Radiative processes; 3362
31 Stratosphere-Troposphere Interactions

32 **1. Introduction**

33 Recent research [e.g. *Allen and Ingram, 2002; Ming et al. 2010; O’Gorman et al. 2012*] has
34 created a framework, based on energetic constraints, for understanding the global
35 precipitation response to climate perturbations. A simple model has been developed [e.g.
36 *Allan et al. 2014; Ming et al. 2010; Thorpe and Andrews, 2014*] that relates the component
37 of top-of-atmosphere radiative forcing (RF) that directly affects the atmosphere (RF_{atm}),
38 surface temperature change (ΔT) and global-mean precipitation change (ΔP). This
39 distinguishes between a *slow* precipitation response (SPR), related to ΔT , and a *fast*
40 precipitation response (FPR), involving rapid atmospheric adjustments over a period of days

41 and months, related to RF_{atm} and the fast response of surface sensible heat (SH) fluxes
42 (ΔSH_{fast}), so that

$$43 \quad L\Delta P = SPR + FPR \approx k\Delta T - (RF_{atm} + \Delta SH_{fast}). \quad (1)$$

44 L is the latent heat of vaporization and k is a model-dependent constant. This relationship
45 arises because, to first order, net radiative cooling is balanced by latent heating due to
46 condensation [e.g. *Mitchell et al.*, 1987]. In steady state, the net rate of condensation equals
47 the global-mean precipitation. In response to a forcing, the net atmospheric radiative cooling
48 (and hence the precipitation) responds to both RF_{atm} , and the subsequent climate response.

49 We consider RF_{atm} in terms of RF using a parameter f (so that $f = RF_{atm}/RF$) which is the
50 fraction of RF felt directly by the atmosphere; $k\Delta T$ represents the slow response arising from
51 changes in atmospheric temperature, humidity and cloudiness due to ΔT . k can be derived
52 from climate model simulations, and may incorporate the slow SH response [*Lambert and*
53 *Webb*, 2008; *Andrews et al.*, 2010]. ΔSH_{fast} is normally smaller than $L\Delta P$, and was not
54 included in previous analyses [e.g. *Allan et al.*, 2014; *Thorpe and Andrews*, 2014], but will be
55 computed here. We use two forms of RF [*Myhre et al.*, 2013]. The more traditional RF (with
56 stratospheric temperature adjustment) is used for illustrative calculations in Section 2.
57 Effective RF (ERF), which accounts for fast atmospheric adjustments to RF, is used in
58 climate model simulations in Sections 3.

59 Climate model simulations [*Andrews et al.*, 2010; *Kvalevåg et al.*, 2013] show that f depends
60 on the species under consideration. To our knowledge, *Andrews et al.* [2010] is the only study
61 to quantify f for ozone. For total (pre-industrial to present-day) ozone changes they found that
62 f was negative (-0.3) and so FPR and SPR are the same sign (assuming ΔSH_{fast} to be small);
63 by contrast they found $f = 0.8$ for CO_2 , so that FPR opposes SPR. Ozone's potential
64 importance can be illustrated by computing the equilibrium ΔP to present-day RF; from Eq.

65 (1) this is $RF(k\lambda - f)$ (neglecting ΔSH_{fast} for simplicity) [Shine *et al.* 2015], where λ is the
66 climate sensitivity parameter. Using the Andrews *et al.* [2010] f factors, the 2011 RF values
67 from Myhre *et al.* [2013] for total ozone and CO₂ (0.35 and 1.82 W m⁻² respectively), a mid-
68 range λ of 0.8 K (W m⁻²)⁻¹ (assuming it is the same for ozone and CO₂) and $k = 2.2$ K (W
69 m⁻²)⁻¹ (see section 4), ozone's equilibrium ΔP is about 40% that of CO₂; this is
70 disproportionately strong compared to the RF (and equilibrium ΔT), where ozone's effect is
71 20% that of CO₂.

72 This letter distinguishes, for the first time, between the FPR for stratospheric and
73 tropospheric ozone perturbations and explains their combined response. This is important as
74 the time variation of stratospheric and tropospheric ozone, and their RF, is quite different
75 [e.g. Myhre *et al.*, 2013] because they respond to different drivers; hence a single value of f
76 for ozone is unlikely to be applicable at all times. We first use radiation-only calculations to
77 illustrate how RF_{atm} depends on the height of the ozone perturbation. These provide a
78 platform for interpreting the response of an atmospheric general circulation model (GCM)
79 which explicitly simulates the FPR. The first set of GCM calculations uses idealised ozone
80 perturbations, particularly to explore the opposing FPR for lower and upper tropospheric
81 ozone change and the amplified impact of stratospheric ozone changes, which are suggested
82 by the radiation-only calculations. The second set uses more-realistic ozone perturbations, to
83 quantify the FPR in response to historical ozone changes and to derive representative values
84 for f . We then use these values in a simple global-mean model of historical precipitation
85 change which includes both the FPR and SPR (Eq. 1) to contrast the roles of tropospheric and
86 stratospheric ozone change, and compare them CO₂.

87 This paper focuses largely on the relationship between global precipitation response and the
88 global atmospheric energy balance. Ozone forcing can, via both the global response and
89 changes in local circulation, induce changes in regional precipitation that are discussed

90 elsewhere [e.g. *Kang et al. 2011; Shindell et al., 2012; Marvel and Bonfils, 2013; Delworth*
91 *and Zeng, 2014*]. These papers stress that the precipitation response can be remote from the
92 location of RF_{atm} and *Muller and O’Gorman [2011]* demonstrate how RF_{atm} and precipitation
93 changes can be locally uncorrelated, due to changes in horizontal transport of moisture and
94 energy; in the present context, *Kang et al. [2011]* and *Delworth and Zeng [2014]* show how
95 Antarctic ozone depletion can influence tropical and sub-tropical precipitation patterns, by
96 causing a poleward shift in the mid-latitude jet and an associated shift in the Hadley cell.
97 Thus an understanding of the local precipitation response requires an understanding of the
98 impact of changes in the convergence and divergence of atmospheric moisture and energy

99 **2. Atmospheric radiative forcing as a function of the altitude of ozone perturbation**

100 Assuming the thermal infrared is the most height-dependent component of RF (as will be
101 shown below), a simple conceptual model can be used to anticipate the response. The net
102 effect of an increase in ozone depends on competition between increased atmospheric
103 absorption of surface-emitted radiation (causing a positive RF_{atm}) and increased atmospheric
104 emission (causing a negative RF_{atm}). In the warm lower troposphere, the emission term is
105 likely the largest; in the colder upper troposphere, the absorption term is likely more
106 important. Simple grey-body considerations (see Supporting Information) indicate that the
107 RF_{atm} is likely to change sign in the mid-troposphere. Such a sign change (at around 700 hPa)
108 has previously been shown, using detailed calculations, in response to increased water vapor
109 amounts [*Previdi, 2010*].

110 A set of idealized radiation-only perturbation experiments are performed in which ozone is
111 increased by 20% in each atmospheric layer in turn. RF, RF_{atm} and f are calculated for both
112 cloud-free and all-sky cases using the *Edwards and Slingo [1996]* radiation code with 9
113 longwave and 6 shortwave spectral bands. The day-averaged shortwave calculations use mid-

114 month conditions and a 6-point Gaussian integration over daylight hours. Calculations are
115 performed on a $2.5^\circ \times 3.75^\circ$ horizontal grid at 22 levels, using temperatures and humidity
116 climatologies described in *MacIntosh et al.* [2015]. The zonal-mean ozone distribution is
117 taken from the Atmospheric Chemistry and Climate Model Intercomparison Project
118 (ACCMIP) multi-model-mean (not including the MOCAGE model in the stratosphere, where
119 it is an outlier) [*Young et al.*, 2013] and is based on year 2000 ozone precursor emissions and
120 concentrations of ozone-depleting substances. Stratospheric temperature adjustment is
121 applied using fixed-dynamical heating with a 2 K km^{-1} tropopause definition. Annual-means
122 are derived from averaging monthly-mean calculations for January, April, July and October.
123 Some sensitivity to these specifications can be anticipated, but the prime purpose is to
124 illustrate the driving physics, to help anticipate and interpret the GCM calculations in Section
125 3.

126
127 Figure 1a shows the strong dependence of RF_{atm} on the height of ozone perturbation, with
128 only a small dependence on whether clouds are present. The variation with height in the
129 troposphere is largely driven by the longwave (Fig. 1b). However, the shortwave perturbation
130 strongly modifies where RF_{atm} changes sign and its magnitude, particularly in the upper
131 troposphere and lower stratosphere. RF itself (Fig. 1c) also depends on the height of the
132 ozone perturbation but it remains positive throughout the troposphere and lower stratosphere;
133 it only becomes negative in the upper stratosphere [e.g. *Lacis et al.*, 1990] above the region of
134 interest here. Hence, f depends strongly on the vertical distribution of ozone change (Fig. 1d)
135 and changes sign at about 650 hPa. Because ΔT , driven by RF , is positive for an ozone
136 increase, the associated FPR will enhance the SPR for lower tropospheric ozone increases but
137 oppose it for increases at higher altitudes.

138 For stratospheric ozone increases, the atmosphere as a whole gains energy due to increased
139 SW absorption; this is opposed by increased LW emission, mostly as a result of the increase
140 in stratospheric temperature in response to the SW absorption. Further analysis shows that the
141 tropospheric energy gain, in this case, is primarily due to increased LW emission from the
142 warmed stratosphere, as the SW absorbed by the troposphere decreases for this case. For
143 tropospheric ozone increases, the increased SW absorption results in a tropospheric energy
144 gain; whether the atmosphere as a whole gains or loses LW energy depends on the altitude of
145 the ozone change.

146

147 **3. Climate model simulations of the fast precipitation response to ozone change**

148 We test the link between ERF and FPR using the atmosphere-only version of the HadGEM3
149 climate model, with a resolution of $1.875^\circ \times 1.25^\circ$ and 63 vertical levels between the surface
150 and 40 km [Hewitt *et al.*, 2011]. It also uses the *Edwards and Slingo* [1996] radiation scheme.
151 Model winds above the boundary layer are relaxed towards ERA-Interim analyses following
152 the method of *Telford et al.* [2008]. This experimental set-up allows relatively short model
153 integrations which produce ERF's very similar to those from longer (20 year) integrations
154 using an unconstrained model [Bellouin *et al.*, manuscript in preparation]. By not relaxing
155 temperatures, the fast adjustments are less constrained, but there will be some suppression of
156 the dynamical response. Simulations are run for 3 years (2008-2010) with sea surface
157 temperatures (SSTs) and sea ice from the AMIP climatology [Reynolds *et al.*, 2007]. Fixing
158 SSTs inhibits the SPR, although land temperatures remain free to adjust. ACCMIP ozone
159 fields (Section 2) were imposed as monthly-varying zonal-mean climatologies. Forcings are
160 presented as 3-year averages; the range that encompasses the forcings for individual years is
161 shown, to indicate the robustness of the 3-year mean.

162 3.1 Idealized ozone perturbations

163 A control simulation was conducted with the year 2000 ACCMIP ozone climatology (Section
164 2). Idealized simulations were then run by doubling ozone mixing ratios between the surface
165 and 700 hPa (labeled Lower Troposphere, LT), between 700 hPa and the tropopause (Upper
166 Troposphere, UT), and between the surface and the tropopause (LT+UT) to test the additivity
167 of the UT and LT responses. For the stratosphere perturbation (ST) ozone mixing ratios were
168 decreased by 20% between the tropopause and the model top. The 150 nmol mol⁻¹ ozone
169 contour was used to identify the tropopause in the simulations.

170 Table 1 shows the global-mean results for these experiments for ERF, ERF_{atm}, ΔSH_{fast} and f
171 (from ERF_{atm}/ERF). The validity of the simple FPR model (Eq. 1) is assessed by comparing
172 the predicted FPR due to ERF_{atm} + ΔSH_{fast} with the GCM-simulated change in precipitation
173 (converted to units of W m⁻²).

174 Table 1 shows that LT causes a positive FPR whereas UT causes a negative FPR despite ERF
175 being positive for both cases. The ST experiment causes a positive FPR; because this is for an
176 ozone decrease, the sense of the response (ozone increase leads to negative FPR) is the same
177 as for UT. ERF_{atm} + ΔSH_{fast} predict this behavior well, supporting the utility of Eq. (1);
178 ΔSH_{fast} is quite significant in size, typically 20-30% of L Δ P. The sign difference between the
179 LT and UT FPR is as anticipated from Fig. 1, showing that the behavior is understood.
180 LT+UT is within 5% of the sum of LT and UT, and shows that UT dominates. f varies
181 strongly with height; it is largest for ST, and positive in all cases except LT. The FPR for ST
182 is, per unit ERF, roughly 4 times larger than the FPR for LT+UT.

183 We briefly discuss the annual- and zonal-mean latitudinal distribution of FPR, and the role of
184 cloud changes in influencing ERF. Figures 2a, 2d and 2g show the structure of ERF_{atm} (for
185 clear-sky and all-sky cases) and the change in cloud radiative forcing between the control and

186 perturbed cases. Clear and all-sky ozone forcings differ, because clouds strongly modulate
187 the shortwave and longwave RF [e.g. *Berntsen et al.*, 1997]. Here the GCM results illustrate a
188 marked difference between clear and all-sky ERFs (shown by the change in cloud forcing),
189 particularly for LT (Fig. 2a), which is larger than anticipated from the RF calculations (Fig.
190 1). This indicates a significant fast cloud adjustment to the ozone perturbation, which
191 modifies the ERF_{atm} and acts in addition to RF_{atm} .

192 Figures 2b, 2e and 2h show that precipitation changes occur largely in the tropics in all cases,
193 and illustrate further the contrasting response of precipitation to LT and UT/ST ozone
194 changes. Figures 2c, 2f and 2i show indicators of cloud response in the model, the change in
195 mid plus high and low cloud fraction (to distinguish between cloud within and above the
196 boundary layer). The response is complex, and merits detailed study but, for all three
197 simulations, a similar signature to the tropical precipitation change can clearly be seen in the
198 mid plus high cloud fraction.

199 **3.2 More-realistic ozone perturbations**

200 We now consider more realistic ozone changes between the pre-industrial (1850) and the
201 present-day (2000) atmosphere, derived from ACCMIP multi-model means (see Section 2).
202 The control simulation uses 1850 ozone. Three perturbations are performed. “TROP” uses
203 year 2000 tropospheric ozone; “STRAT” uses year 2000 ozone above the tropopause;
204 “FULL” uses year 2000 ozone throughout the atmosphere. Since GCM runs are inherently
205 noisy, we increased the TROP forcing to amplify the signal, by perturbing ozone by twice its
206 historical change. The results presented here are divided by 2; we tested the linearity via off-
207 line radiation calculations; for ozone perturbations of this size, RF_{atm} is linear to better than
208 1%.

209 Table 1 shows that TROP causes a negative FPR. Hence for more realistic ozone changes, as
210 well as the idealized ones (Section 3.1), upper tropospheric changes are more influential than
211 lower troposphere changes. STRAT causes a positive FPR and, as in the idealized
212 experiments, f is much larger (by about a factor of 3 here) than for tropospheric ozone
213 changes. The FULL FPR is approximately the sum of the individual STRAT plus TROP
214 experiments. $\text{ERF}_{\text{atm}} + \Delta SH_{\text{fast}}$ is again a good indicator of FPR, with ΔSH_{fast} accounting for
215 20-30% of $L\Delta P$. Figure S2 shows the equivalent plot to Fig. 2 for these simulations, and has
216 broadly the same patterns; the signal is noisier because ozone and ERF changes are smaller
217 (see Table 1). In the STRAT case while ERF_{atm} is predominantly at high southern latitudes,
218 the response is largely in the tropics; this emphasizes that while the global energetic
219 constraint explains global-mean precipitation response (Table 1), the relationship does not
220 hold locally, even to the extent that the sign of the local ERF_{atm} does not predict the sign of
221 the local precipitation response (see also *Muller and O’Gorman* [2011]).

222

223 The resulting FULL ERF_{atm} is positive, but small, and f is close to zero. The FPR due to
224 stratospheric and tropospheric ozone changes strongly oppose each other in present-day
225 conditions, despite the tropospheric ozone ERF being about 3.5 times the stratospheric ozone
226 ERF.

227 These results contrast with Andrews et al. [2010] who find a net ozone RF of 0.16 W m^{-2} for
228 the pre-industrial to 1990 period (compared to 0.26 W m^{-2} found here for FULL), and f of
229 -0.3 ; this suggests that, in their calculation, stratospheric ozone depletion is a larger
230 component of RF.

231 We are unaware of any other ERF calculations for ozone, but our ERFs are broadly consistent
232 with the RFs in *Stevenson et al.* [2013] and *Conley et al.* [2013] as used in *Myhre et al.*

233 [2013]. For tropospheric ozone *Stevenson et al.* [2013] give an RF of 0.34 W m^{-2} for the same
234 1850-2000 dataset compared with our ERF of 0.36 W m^{-2} . For stratospheric ozone *Conley et*
235 *al.* [2013] calculate an RF of -0.02 W m^{-2} using a single radiation code applied to ozone
236 changes from several ACCMIP models; *Myhre et al.* [2013] assess the 1750-2011 RF to be
237 -0.05 (range -0.15 to $+0.05 \text{ W m}^{-2}$) compared with the ERF of -0.1 W m^{-2} derived here.

238 Repeating the equilibrium ΔP calculation in Section 1, but using the f values derived here for
239 stratospheric and tropospheric ozone (and the separate 2011 RFs of 0.05 and 0.40 W m^{-2}
240 respectively [*Myhre et al.*, 2013]) yields a reduced proportion to the CO_2 change of 33%
241 compared to 40% in Section 1, because the FPR no longer enhances the SPR. Nevertheless,
242 this remains disproportionately strong compared to the RFs.

243 **4. Simple model calculations of total precipitation response**

244 To investigate the impact of these f values on the time-varying total precipitation response,
245 we use the simple model approach of *Allan et al.* [2014] which incorporates the SPR and
246 FPR. As in *Thorpe and Andrews* [2014] and *Allan et al.* [2014], ΔSH_{fast} is not included, given
247 the illustrative nature of the calculations, but could reduce the ozone FPR by about 20%.

248 To compute the time-varying SPR, temperature is calculated with a simple global-mean
249 model, with a mixed-layer ocean connected to a deep ocean via diffusion. These
250 temperatures, and the f values from Section 3.2, are used to calculate the precipitation
251 response using Eq. (1). A mid-range climate sensitivity of $0.8 \text{ K (W m}^{-2}\text{)}^{-1}$ [*IPCC, 2013*] is
252 used (and assumed to be the same for all forcing components). k is taken to be $2.2 \text{ W m}^{-2} \text{ K}^{-1}$,
253 consistent with the multi-model mean value in *Previdi* [2010] and *Thorpe and Andrews*
254 [2014], and includes the slow component of ΔSH . The SPR, and hence the relative
255 importance of the FPR, depends strongly on the choice of λ [e.g. *Shine et al.* 2015] and k .

256 The 1765-2011 tropospheric and stratospheric ozone RFs are taken from *IPCC* [2013
257 Appendix AII.1.2]. These are used to directly calculate the time-varying FPR; as explained in
258 Section 3.2, these do not exactly correspond to the forcings derived from the more-realistic
259 ozone GCMs perturbations, so the present-day FPRs differ slightly from Table 1 (and differ
260 because ΔSH_{fast} is neglected in the simple model). The precipitation response is compared
261 with that for CO₂ (assuming $f=0.8$ [*Andrews et al.*, 2010] and the *IPCC* [2013] CO₂ RFs),
262 and for ozone but assuming the *Andrews et al.* [2010] $f=-0.3$ for both tropospheric and
263 stratospheric ozone.

264 Figure 3a shows the total ozone-related precipitation response and the FPR using $f=-0.3$. In
265 this case, the tropospheric ozone FPR is positive, enhancing the SPR, while the stratospheric
266 ozone FPR and total response is negative. Figure 3b is the same as Fig. 3a but uses the new f
267 values for tropospheric and stratospheric ozone. In contrast to Fig. 3a, since the tropospheric
268 ozone FPR now opposes the SPR, the total response is reduced, by a quarter in 2011. By
269 contrast, the FPR is so strong for stratospheric ozone that it overwhelms the SPR, causing a
270 small precipitation increase. Figure 3c shows the SPR and FPR for CO₂ and tropospheric
271 ozone using the f value derived here, to emphasize the strong compensation between the SPR
272 and FPR components for CO₂.

273 Although the total ozone ΔP is now smaller than when using $f=-0.3$, Fig. 3b shows that it
274 remains a large fraction of the CO₂ ΔP (about 70% in 2011) despite the RF being only about
275 20% that of CO₂. It is also significantly stronger than the value of 33% of equilibrium ΔP
276 derived in Section 3.2. This is because, in a transient calculation, the SPR, which drives the
277 positive ΔP for CO₂ and tropospheric ozone, is not fully expressed (unlike the FPR), as the
278 temperature change is not in equilibrium with the RF. Since the FPR is proportionately more
279 important in suppressing precipitation for CO₂ than tropospheric ozone, (Fig. 3c), the ozone
280 total ΔP is a larger fraction of that for CO₂ in the transient case. The relative importance of

281 tropospheric ozone is also slightly larger because, in 2011, its ΔT (and hence its SPR) is
282 closer to equilibrium (about 67%) than CO_2 (about 60%) because the ozone forcing is, in
283 relative terms, increasing less rapidly than the CO_2 forcing.

284 The results emphasize the need to treat tropospheric and stratospheric ozone separately in
285 simple models. The time variation of stratospheric ozone can be seen to have some influence
286 on recent precipitation changes, accelerating it (relative to the troposphere-only case) during
287 the 1980s, and opposing it after 2000. Using the “compound” value of f for present-day ozone
288 forcing (about 0.02 from Table 1) would misrepresent the time evolution of the FPR, as it
289 would be close to zero throughout the time period in Fig. 3.

290 **5. Discussion**

291 This work has presented the first detailed climate model calculations of the FPR for
292 tropospheric and stratospheric ozone changes and further demonstrate the primary role of the
293 atmospheric energy constraint in driving the FPR. As is clear from Table 1, across all the
294 GCM experiments discussed here, ΔSH_{fast} offsets about 20% of the FPR that would result
295 directly from RF_{atm} . This almost constant proportion contrasts with the absorbing aerosol case
296 of *Ming et al.* [2010] where ΔSH (and, they argue, ΔSH_{fast}) became the dominant term in
297 balancing RF_{atm} when aerosol was located in the boundary layer. The contrasting behaviour
298 may be because our ozone perturbations are rather deep (extending to 700 hPa in the LT case)
299 or it may be related to the differences in the impact of ozone and aerosol on RF_{atm} .

300 This study demonstrates that the FPR for changes in lower tropospheric ozone is the same
301 sign as the SPR, while for upper tropospheric and stratospheric ozone changes, it is of
302 opposite sign. Radiation-only calculations demonstrate the reasons originate in the balance
303 between the change in absorption and emission of infrared radiation modified by the change
304 in absorption of solar radiation. For more realistic ozone changes, the FPR for tropospheric

305 ozone overall acts to oppose the SPR, as it does for stratospheric ozone; however, since the
306 historical changes in tropospheric and stratospheric ozone (and their RFs) are of opposite
307 signs, so too are their FPRs. Per unit radiative forcing, the FPR for stratospheric ozone
308 changes are found to be 3 to 4 times larger than the tropospheric ozone FPR.

309 A simple model of the time-varying global-mean precipitation change, including the FPR and
310 SPR, indicates that, for the model parameters chosen here, the present-day precipitation
311 response to ozone change may exceed 50% of that due to CO₂, even though the RF is only
312 about 20%. This is mostly because the compensation between the FPR and SPR is much
313 stronger for CO₂ than tropospheric ozone, and partly because stratospheric ozone depletion,
314 despite its negative RF, causes precipitation increases. The results also indicate that, in simple
315 model approaches, it is important to treat tropospheric and stratospheric ozone separately; the
316 total ozone FPR depends on the balance of the strength of the individual tropospheric and
317 stratospheric RFs which is very time dependent.

318 Clearly the analysis presented here is for a single GCM and for particular ozone
319 perturbations; the response of other climate models would be of great interest. It also focuses
320 on the global, rather than regional, responses. Nevertheless, the results highlight the opposing
321 roles of stratospheric and tropospheric ozone in the FPR, the efficacy of stratospheric ozone
322 in causing an FPR and show the overall impact of ozone change on global precipitation
323 response may be substantial.

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436 input to the model calculations in this study are properly cited and referred to in the reference
437 list. The model output data presented here are available from the corresponding author upon
438 request.

439

440 Table 1: Top-of-atmosphere and atmospheric effective radiative forcing, the fast sensible heat
441 flux change, fast precipitation response (multiplied by the latent heat of vaporization) (all in
442 W m^{-2}) and f (i.e. $\text{ERF}_{\text{atm}}/\text{ERF}$) for climate model simulations for 4 idealised (top rows) and
443 3 more realistic (bottom rows) ozone perturbations^a. The fast precipitation response in mm
444 day^{-1} is shown in parentheses.

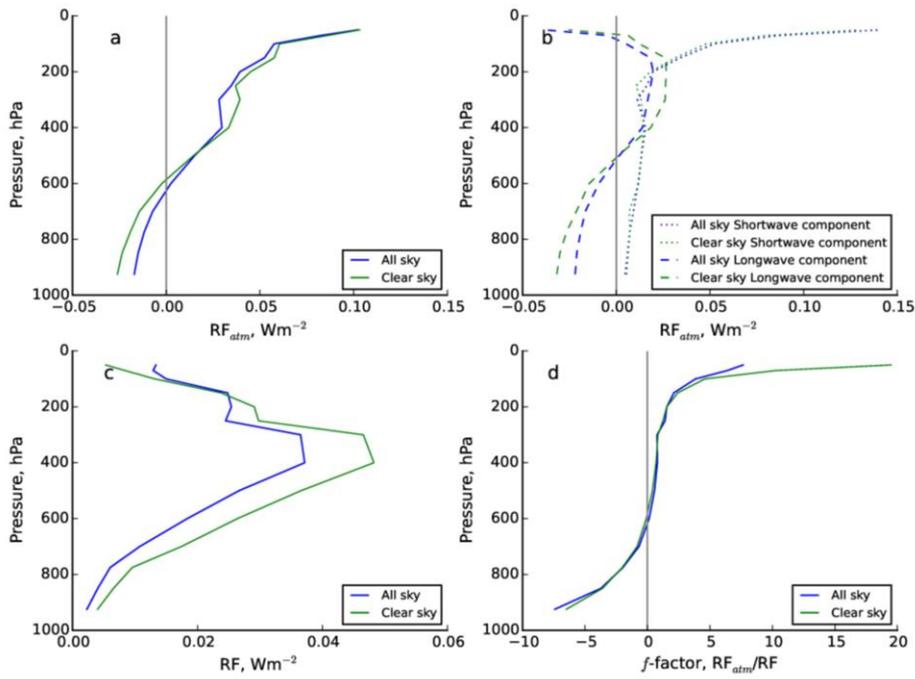
Experiment	ERF (W m^{-2})	ERF_{atm} (W m^{-2})	$\Delta\text{SH}_{\text{fast}}$ (W m^{-2})	$\text{ERF}_{\text{atm}} + \Delta\text{SH}_{\text{fast}}$ (W m^{-2})	FPR (W m^{-2}) (and mm day^{-1})	f
Idealized						
UT+LT	1.11±0.01	0.48±0.01	-0.11±0.01	0.37±0.01	-0.37±0.01 (-0.013)	0.43±0.01
LT	0.28±0.01	-0.12±0.01	0.02±0.01	-0.10±0.00	0.10±0.00 (0.0034)	-0.42±0.03
UT	0.83±0.01	0.58±0.01	-0.13±0.01	0.46±0.01	-0.45±0.00 (-0.015)	0.70±0.01
ST	-0.27±0.02	-0.46±0.02	0.10±0.00	-0.36±0.01	0.36±0.02 (0.012)	1.70±0.10
More realistic						
FULL	0.26±0.02	0.006±0.002	-0.009±0.005	-0.003±0.007	0.005±0.011 (0.0017)	0.02±0.01
TROP	0.36±0.00	0.13±0.01	-0.03±0.00	0.10±0.00	-0.10±0.01 (-0.0034)	0.36±0.01
STRAT	-0.096±0.026	-0.12±0.01	0.02±0.01	-0.10±0.01	0.10±0.01 (0.0034)	1.27±0.36

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446 ^aThe results are the average of three years; the \pm range encompasses the values for each
447 individual year.

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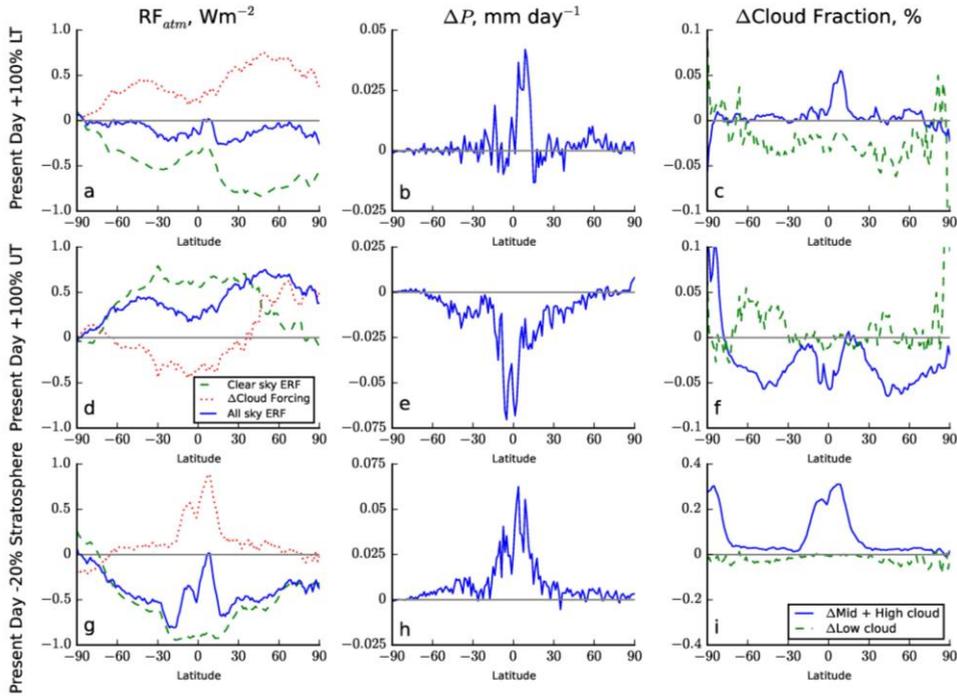
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452 Figure 1: Impact of 20% global increases in ozone applied in each atmospheric layer in turn
 453 on RF_{atm} , RF, and f . The vertical coordinate is the pressure at which the perturbation is
 454 applied. a) RF_{atm} ; b) longwave (including stratospheric adjustment) and shortwave
 455 components of a); c) RF; d) $f = RF_{atm}/RF$. Results are shown for clear and all-sky cases.

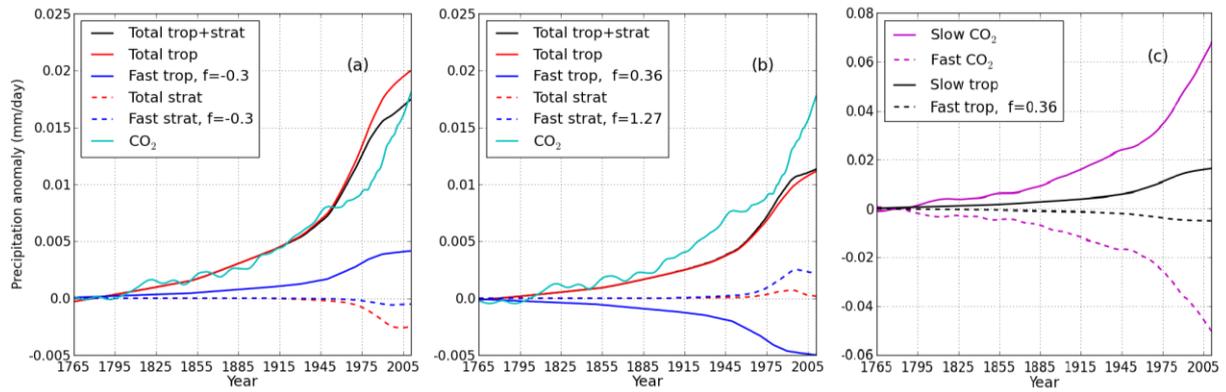
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458 Figure 2: Zonal and annual-mean ERFs (left), precipitation changes (middle) and cloud
 459 changes (right) for the idealized ozone perturbation GCM simulations. Cloud responses are
 460 separated between below 2 km (“low”) and above 2 km (“Mid + High”). The LT, UT and ST
 461 simulations are in the top, middle and bottom rows respectively.

462



463

464

465 Figure 3: Simple model estimates of the global-mean precipitation response to ozone forcing

466 using the IPCC AR5 radiative forcings from 1765 to 2010. a: Total and fast precipitation

467 response to tropospheric, stratospheric ozone and both using $f=-0.3$. The total response to

468 CO_2 is also shown. b: As a, but using $f=0.36$ for tropospheric and $f=1.27$ for stratospheric

469 ozone. c: The fast and slow components of the response for CO_2 and tropospheric ozone.

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Supporting Information for

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Contrasting fast precipitation response to tropospheric and stratospheric ozone forcing

476

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480 **Contents of this file**

481

Simple model derivation (including Figure S1) and Figure S2

482

483 **Introduction**

484

The supporting information contains a brief derivation, using a simple grey-body model, to

485

illustrate the dependence of the longwave component of the atmospheric radiative forcing to

486

the surface-atmosphere temperature difference and one further figure.

487

488 **Simple grey-body model of longwave component of the atmospheric radiative forcing**

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490

In support of the discussion in Section 2, consider a single-layer atmosphere, with

491

temperature T_a and emittance ϵ , overlying a black-body surface of temperature T_s (see

492

Figure S1).

493

494

The longwave radiation budget of this single-layer atmosphere is the net effect of absorption

495

of infrared radiation emitted by the surface ($\epsilon\sigma T_s^4$ since absorptance=emittance) and

496

emission by the layer ($2\epsilon\sigma T_a^4$).

497

498

If the emittance of this layer is changed by $\Delta\epsilon$, by, for example, changing the ozone

499

concentration, then atmospheric radiative forcing RF_{atm} will be $\Delta\epsilon\sigma(T_s^4 - 2T_a^4)$. In this case if

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$\Delta\epsilon$ is positive, RF_{atm} will be negative if $T_a > 0.84T_s$, as the increased atmospheric emission

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as a result of $\Delta\epsilon$ exceeds the increased absorption of surface-emitted radiation, and vice

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versa if $T_a < 0.84T_s$.

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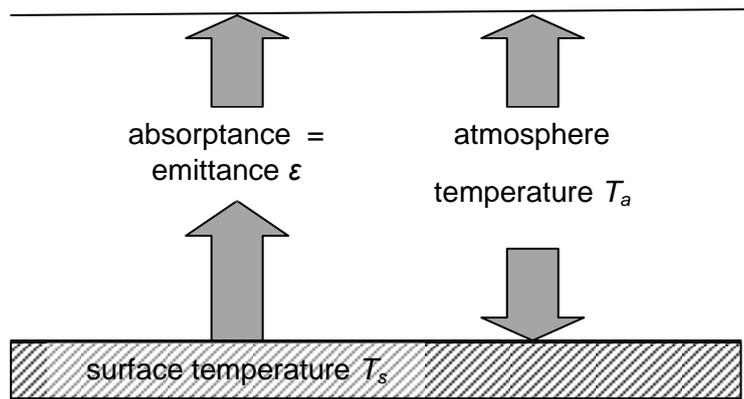
From this we anticipate that the longwave component of RF_{atm} will be negative for ozone

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increases close to the surface, and positive for ozone increases away from the surface, as is

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indeed found in the detailed radiative calculations shown in Figure 1(a) of the paper.



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Figure S1. Schematic of simple grey-body single-layer atmosphere model to show the absorption of surface emitted radiation and the emission of radiation by the atmosphere.

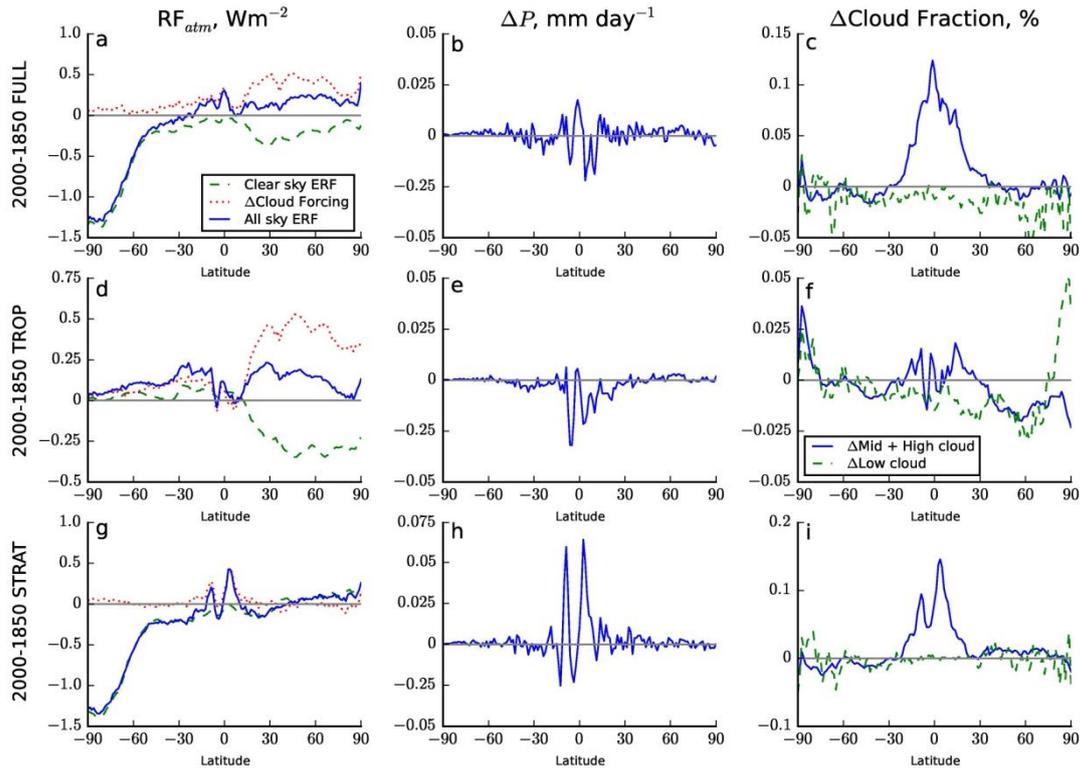
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Figure S2

516

517 Figure S2 shows the zonal-mean and annual mean atmospheric component of the radiative
 518 forcing, precipitation changes and changes in low and mid-plus-high cloud for the more-
 519 realistic ozone perturbations described in Section 3.2 of the paper, and is the equivalent of
 520 Figure 2 in the paper that pertains to the idealized ozone perturbations. The FULL simulation
 521 perturbs ozone in the troposphere and stratosphere, STRAT perturbs it in the stratosphere
 522 only and TROP perturbs it in the troposphere only.



523

524 **Figure S2.** As Fig. 2, but for the more-realistic ozone perturbations. Zonal and annual-mean
 525 ERFs (left column), precipitation changes (middle column) and cloud changes (right column).
 526 Cloud responses are separated between those below 2 km (“low”) and above 2 km (“Mid +
 527 High”). The FULL simulations (see main text for explanation) are shown in the top row, the
 528 TROP (middle row) and STRAT (bottom row).

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