# The inter-annual variability of tropical precipitation and inter-hemispheric energy transport AARON DONOHOE \* Massachusetts Institute of Technology, Cambridge, Massachusetts JOHN MARSHALL, DAVID FERREIRA, KYLE ARMOUR, AND DAVID MCGEE

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### ABSTRACT

The inter-annual variability of the location of the inter-tropical convergence zone (ITCZ) 6 is strongly (R=0.75) correlated with the atmospheric heat transport across the equator 7  $(AHT_{EQ})$  over the satellite era (1979-2009). A 1° northward displacement of the ITCZ is 8 associated with 0.34 PW of anomalous  $AHT_{EQ}$  from north to south. The  $AHT_{EQ}$  and pre-9 cipitation anomalies are both associated with an intensification of the climatological Hadley 10 cell which is displaced north of the equator. This relationship suggests that the tropical 11 precipitation variability is driven by a hemispheric asymmetry of energy input to the atmo-12 sphere at all latitudes by way of the constraint that  $AHT_{EQ}$  is balanced by a hemispheric 13 asymmetry in energy input to the atmosphere. 14

A 500 year coupled model simulation also features strong inter-annual correlations be-15 tween the ITCZ location and  $AHT_{EQ}$ . The inter-annual variability of  $AHT_{EQ}$  in the model 16 is associated with a hemispheric asymmetry in top of the atmosphere radiative anomalies in 17 the tropics with the northern hemisphere gaining energy when the ITCZ is displaced north-18 ward. The surface heat fluxes make a secondary contribution to the inter-annual variability 19 of  $AHT_{EQ}$  despite the fact that the inter-annual variability of the ocean heat transport across 20 the equator  $(OHT_{EQ})$  is comparable in magnitude to that in  $AHT_{EQ}$ . The  $OHT_{EQ}$  makes 21 a minimal impact on the atmospheric energy budget because the vast majority of the inter-22 annual variability in  $OHT_{EQ}$  is stored in the sub-surface ocean and, thus, the inter-annual 23 variability of  $OHT_{EQ}$  does not strongly impact the atmospheric circulation. 24

# <sup>25</sup> 1. Introduction

The region of intense tropical precipitation, known as the intertropical convergence zone 26 (ITCZ), is co-located with the ascending branch of the Hadley cell (Hadley 1735). The atmo-27 spheric heat transport in the deep tropics is dominated by the mean overturning circulation, 28 with net heat transport oriented in the direction of motion in the upper branch of the Hadley 29 cell (Held 2001). Therefore, energy is transported away from the ITCZ. Consequently, an 30 ITCZ in the Southern Hemisphere (SH) implies northward atmospheric energy transport 31 across the equator ( $\equiv AHT_{EQ}$ ), and an ITCZ in the Northern Hemisphere (NH) requires 32 southward  $AHT_{EQ}$  (Frierson and Hwang 2012). 33

The close connection between ITCZ location and  $AHT_{EQ}$  has been well documented in the 34 recent literature, across a myriad of timescales and applications ranging from idealized model 35 simulations to the observational data. Zhang and Delworth (2005) found that freshwater 36 hosing in the North Atlantic resulted in a shutdown of the Atlantic meridional overturning 37 circulation, cooling the NH and shifting the ITCZ to the south. They related the ITCZ 38 shift to the enhanced northward  $AHT_{EQ}$  demanded by the system as the northward ocean 39 heat transport was reduced. Similarly, Yoshimori and Broccoli (2008, 2009); Kang et al. 40 (2008); Chiang and Bitz (2005) found that a prescribed hemispheric asymmetry in forcing 41 (i.e. surface fluxes and ice cover) resulted in an ITCZ shift toward the source of atmospheric 42 heating in order to provide the necessary anomalous  $AHT_{EQ}$  away from the heat source. 43

The climatological mean ITCZ location can be related to the hemispheric asymmetry of energy input into the atmosphere, driven by hemispheric asymmetries in energy fluxes at both the top of the atmosphere (TOA) and at the ocean surface. The resulting  $AHT_{EQ}$  requires

the ITCZ be located in the hemisphere in which the atmosphere is heated more strongly 47 (Frierson et al. 2013) and the displacement of the ITCZ from the equator is proportional 48 to the magnitude of the hemispheric asymmetry in atmospheric heating (Donohoe et al. 49 2013). Using this framework, Frierson et al. (2013) and Marshall et al. (2013) recently 50 demonstrated that the observed annual mean ITCZ location (to the north of the equator) is a 51 consequence of northward ocean heat transport across the equator and largely compensating 52 southward  $AHT_{EQ}$  demanded by nearly hemispherically symmetric net radiation at the TOA. 53 Moreover, Frierson and Hwang (2012) found that the response of the ITCZ to anthropogenic 54 forcing varies drastically between different global climate models and is strongly correlated 55 with the  $AHT_{EQ}$  change demanded by the hemispheric asymmetry in extratropical radiative 56 feedbacks. In this view, the annual mean spatial distribution of tropical precipitation is 57 fundamentally set by the large-scale oceanic circulations (that give rise to the cross-equatorial 58 oceanic energy transport) and the hemispheric asymmetry in TOA energy fluxes. 59

A quantitative relationship between the ITCZ location and  $AHT_{EQ}$  of 3° latitude per PW 60 was established by Donohoe et al. (2013); this scaling was found to apply to the seasonal cycle 61 in the observations, the seasonal cycle in coupled climate models, and the shift in the annual 62 mean ITCZ location due to anthropogenic and paleoclimate forcing. Seasonal variations in 63  $AHT_{EQ}$  (and therefore ITCZ location) are driven by seasonal variations in insolation and 64 opposed by ocean heat storage and emitted radiation at the TOA (Donohoe and Battisti 65 2013). On seasonal timescales, ocean heat transport and atmospheric energy storage make a 66 negligible contribution to the hemispheric asymmetry of atmospheric heating when compared 67 with the contributions from TOA radiation and ocean energy storage. This is in contrast 68 to the annual mean climatology where ocean heat transport plays a fundamental role in 69

<sup>70</sup> sustaining the mean position of the ITCZ.

Here, we consider the relationship between inter-annual variability in ITCZ location and 71  $AHT_{EQ}$  with a focus on the inter-annual frequency (period of  $2 \rightarrow 10$  years) variability. 72 We first quantify this relationship within the observational record, and compare it to the 73 scaling identified previously within the contexts of the seasonal cycle and the equilibrium 74 response to a climate perturbation. We then assess the roles of several candidate mech-75 anisms for driving the inter-annual variability of  $AHT_{EQ}$ . As summarized above, in the 76 long-term mean  $AHT_{EQ}$  (and thus ITCZ location) is driven primarily by the hemispheric 77 asymmetry of surface heat fluxes via the cross-equatorial ocean heat transport, while over 78 the seasonal cycle it is driven by radiative fluxes at the TOA. A key question is: are anoma-79 lies in surface heat fluxes or TOA radiative fluxes responsible for the inter-annual variability 80 of  $AHT_{EQ}$  and ITCZ location? Alternatively, are other mechanisms—such as tropical TOA 81 radiative anomalies associated with the shifting Hadley cell itself—of central importance? 82 Distinguishing between these candidate mechanisms for driving inter-annual variability in 83  $AHT_{EQ}$  is difficult in the observational record due to the large uncertainties in estimates of 84 TOA radiation and surface heat fluxes, neither of which are directly observed by a consistent 85 observational network over a prolonged time period (Trenberth and Caron 2001). For this 86 reason, we turn to a coupled atmosphere-ocean general circulation model simulation that 87 also exhibits a strong correlation between ITCZ location and  $AHT_{EQ}$  to diagnose the cause 88 of their respective inter-annual variabilities. 89

Our manuscript is organized as follows. In Section 2 we analyze the observed interannual variability of ITCZ location and  $AHT_{EQ}$  and the associated anomalies in the tropical precipitation and the Hadley cell. We find that ITCZ location and  $AHT_{EQ}$  are strongly

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correlated over the observational record with a quantitative relationship similar to that 93 identified previously in Donohoe et al. (2013) over seasonal and climatological timescales. We 94 then diagnose a coupled model simulation performed with the Geophysical Fluid Dynamics 95 Laboratory's (GFDL) CM2.1 model (Section 3). We demonstrate that the inter-annual 96 variability of ITCZ location and  $AHT_{EQ}$  are strongly correlated in the model (Section 3a), 97 and we partition the inter-annual variability of  $AHT_{EQ}$  between radiative anomalies at the 98 TOA and surface heat flux anomalies (Section 3b). We show that the inter-annual variability 99 of  $AHT_{EQ}$  is associated with a positive feedback between the ITCZ shift and the cloud 100 radiative response in the tropics. Anomalies in the surface heat fluxes play a secondary 101 role and are uncorrelated with anomalies in ocean heat transport on decadal and shorter 102 timescales. The latter result implies that inter-annual to decadal oscillations in the Atlantic 103 meridional overturning circulation (AMOC) and other ocean circulations do not significantly 104 impact the large scale tropical precipitation. Anomalies in ocean heat transport are largely 105 compensated by energy storage at depth, away from the ocean surface, and thus do not drive 106 corresponding anomalies in atmospheric heat transport at the decadal frequency (Section 3c). 107 A summary and conclusion follows. 108

# <sup>109</sup> 2. Observations

In this section, we demonstrate that the inter-annual variability of ITCZ location is negatively correlated with  $AHT_{EQ}$  over the satellite era of observations (1979-2009), where the sign of the correlation is defined such that the displacement of the ITCZ to the north is accompanied by anomalous  $AHT_{EQ}$  to the south. The inter-annual northward migration of the zonal mean ITCZ is primarily due to an amplification of the climatological maximum precipitation and is accompanied by an amplification of the climatological annual mean Hadley circulation. The associated southward  $AHT_{EQ}$  anomaly is due to the intensification of the counter-clockwise rotating Hadley cell at the equator.

<sup>118</sup> a. Data sets and methods

### (i) Precipitation and ITCZ location

We analyze monthly mean precipitation data from the National Oceanographic and At-120 mospheric Administration's Climate Prediction Center's (NOAA CPC) merged analysis (Xie 121 and Arkin 1996) over the period 1979-2009. This gridded data set is derived from gauge 122 measurements, satellite observations, and numerical models. The location of the ITCZ is 123 defined as the precipitation centroid  $(P_{CENT})$  as used by Frierson and Hwang (2012) and 124 Donohoe et al. (2013).  $P_{CENT}$  is defined as the median of the zonal average precipitation 125 between 20°S to 20°N. This definition of the ITCZ takes into account the spatial distribu-126 tion of precipitation over the entire tropics: if the precipitation is strongly peaked (i.e., a 127 delta function),  $P_{CENT}$  is co-located with the precipitation maximum; if the precipitation is 128 spatially invariant over the tropics,  $P_{CENT}$  is on the equator; if the precipitation is bi-modal, 129  $P_{CENT}$  is a weighted average between the modes. 130

The atmospheric heat transport is calculated from National Centers for Environmental Prediction (NCEP) reanalysis fields (Kalnay et al. 1996). We use the four times daily reanalysis with a (horizontal) spectral resolution of T62 and 17 vertical levels. The mass budget is balanced using a barotropic wind correction (Trenberth 1997) prior to the energy flux calculations. The atmospheric energy flux is calculated as the vertical integral of meridional flux of moist static energy (MSE)<sup>1</sup>. This procedure is used to compose monthly averaged atmospheric heat transport from 1979 to 2009.

The atmospheric heat transport is decomposed into components associated with the time and zonal mean circulation, stationary eddies, and transient eddies following Priestley (1948) and Lorenz (1953):

$$AHT = \frac{2\pi a}{g} \int_{P_S}^{0} \underbrace{\left[\overline{MSE}\right]}_{MOC} + \underbrace{\left[\overline{V}\right]'\left[MSE\right]'}_{TOC} + \underbrace{\left[\overline{V^*} \ \overline{MSE^*}\right]}_{Stat. \ eddy} + \underbrace{\left[\overline{V^*'MSE^*'}\right]}_{Trans. \ eddy} \ dP \ . \tag{1}$$

V is the meridional velocity. *Overbars* are time averages, [square brackets] are zonal averages, 142 primes (') are departures from the time mean and asterisks (\*) are departures from the zonal 143 average. The first term is the energy transport due to the time and zonal average meridional 144 overturning circulation (MOC; i.e., the Hadley cell). The second term is the energy transport 145 due to the temporal covariance of the zonal average overturning circulation and vertical 146 stratification and will be referred as the transient overturning circulation (TOC). The time 147 average vertical stratification  $(\overline{MSE})$  is two orders of magnitude larger than the temporal 148 departures in the vertical stratification ([MSE]'). Therefore, the TOC energy transport is 149

<sup>&</sup>lt;sup>1</sup>Moist static energy is the sum of sensible, latent, and potential energy  $(c_pT + Lq + gZ)$ .

<sup>150</sup> much smaller than that of the MOC and the TOC will be included with the MOC for the <sup>151</sup> remainder of this study. The third term is the stationary eddy energy transport. The fourth <sup>152</sup> term is the transient eddy energy transport.

153 b. Results

The monthly anomalies in  $P_{CENT}$  have a standard deviation of 0.8°, while those in 154  $AHT_{EQ}$  have a standard deviation ( $\equiv \sigma$ ) of 0.3 PW (thin red and blue lines, respectively, 155 in Figure 1a). Monthly anomalies in  $P_{CENT}$  and  $AHT_{EQ}$  are significantly negatively corre-156 lated (R=-0.5) at the 99% confidence interval with a regression coefficient ( $\equiv$  b) of -1.5 $\pm$ 157  $0.7^{\circ} \text{ PW}^{-1}$  (Figure 1b) where the confidence interval is the 95%. Anomalies in  $P_{CENT}$  and 158  $AHT_{EQ}$  are more strongly correlated at lower frequencies; a portion of the high frequency 159 variability in tropical precipitation is not associated with changes in the Hadley cell and, 160 thus, has no associated atmospheric heat transport anomaly. For this reason, we focus here 161 on the variability of tropical precipitation and atmospheric heat transport at the inter-annual 162 frequency. Low pass filtering the monthly anomalies in  $AHT_{EQ}$  and  $P_{CENT}$  with a 2 year 163 period cutoff filter (thick lines in Figure 1a) results in a correlation coefficient of -0.75 and a 164 regression coefficient of  $-1.6 \pm 0.7$  ° PW<sup>-1</sup>. The regression coefficient between inter-annual 165 variations in  $P_{CENT}$  and  $AHT_{EQ}$  is comparable in magnitude to that found over the climato-166 logical seasonal cycle (-2.7  $\pm$  0.6  $^{\circ}$   $\rm PW^{-1})$  and for the annual mean ITCZ shift in perturbed 167 climate states (Donohoe et al. 2013). This result suggests that the mutual dependence of 168  $P_{CENT}$  and  $AHT_{EQ}$  on the Hadley cell location is nearly timescale independent although 169 the fundamental cause of the quanitative relationship is unclear; both the intensification of 170

the Hadley cell as the ITCZ moves off the equator and the co-location of the ITCZ with the 171 maximum upward velocity which is equatorward of the zero streamfunction cause the ITCZ 172 to be less sensitive to extratropical forcing than would be the case if the Hadley cell sim-173 ply translated meridionally (Donohoe et al. 2013). Statistically indistinguishable statistics 174 (i.e. correlations, regression and variance retained) between  $AHT_{EQ}$  and  $P_{CENT}$  are found 175 using the annual-mean values (red and blue crosses in Figure 1a and thick black crosses in 176 Figure  $(1b)^2$ . For the remainder of this study, we will consider statistics derived from the 177 annual-mean data when discussing the inter-annual variability. We note that the annual-178 mean  $P_{CENT}$  and  $AHT_{EQ}$  anomalies have standard deviations that are 44% and 55% of their 179 monthly anomalies, respectively. 180

Applying Equation 1, the inter-annual variability in  $AHT_{EQ}$  is primarily (72%) due to 181 anomalies in the MOC of the atmosphere (i.e., the Hadley Cell). The inter-annual variability 182 of  $AHT_{EQ}$  associated with the stationary eddies and transient eddies are both approximately 183 one order of magnitude smaller than the  $AHT_{EQ}$  variability associated with the MOC<sup>3</sup>. The 184 precipitation anomaly associated with a 1° northward ITCZ shift shows an intensification of 185 the climatological precipitation maximum in the Tropical Pacific, north of the equator, and 186 decreases in precipitation on and south of the equator in the Pacific (Figure 2). The stream-187 function anomaly associated with a 1° northward ITCZ shift (Figure 3b) shows an intensified 188

<sup>2</sup>This result suggests that the box car window filter of the annual mean does not adversely alias the time series of  $AHT_{EQ}$  and  $P_{CENT}$ ; aliasing would be most problematic if there was significant variability at periods of 8 and 4 months.

<sup>3</sup>The dominance of the MOC in the inter-annual variability of atmospheric energy transport is in contrast to the annual mean climatology, where the MOC, stationary eddies and transient eddies all make comparable contributions to  $AHT_{EQ}$  (Marshall et al. 2013).

counter-clockwise rotating cross equatorial cell providing an anomalous energy transport (of 189 0.34 PW)<sup>4</sup> from the NH to the SH, primarily in the thermally direct streamfunction anomaly 190 (0.24 PW is associated with the Hadley Cell anomaly and the remainder is due to stationary 191 eddies). The precipitation anomalies show enhanced precipitation in the region of anoma-192 lous upward motion in the Hadley cell and decreased precipitation in the region of enhanced 193 subsidence. These results collectively suggest that the inter-annual variability of the ITCZ 194 location and the atmospheric heat transport across the equator are primarily controlled by 195 their mutual dependence on the Hadley cell. The inter-annual variability of the Hadley 196 cell, tropical precipitation and  $AHT_{EQ}$  is best described as an intensification of the hemi-197 spherically asymmetric features including the intensification of the precipitation maximum, 198 cross-equatorial Hadley cell and heat transport in the thermally direct MOC. 199

This observed inter-annual relationship between  $AHT_{EQ}$  and ITCZ location ( $P_{CENT}$ ) can 200 be compared to that in the climatological mean (Frierson et al. 2013; Marshall et al. 2013), 201 wherein the ITCZ location, in the NH, is accompanied by a southward  $AHT_{EQ}$  of order 202 -0.2 PW (Figure 3a). This value of  $AHT_{EQ}$  is a consequence of (i) ocean heat transport (of 203 about 0.4 PW) northward across the equator, and (ii) climatological radiative fluxes at the 204 TOA that are nearly hemispherically symmetric in the shortwave (Voigt et al. 2013b) but 205 slightly asymmetric in the longwave (cooling the NH by 0.2 PW); combined, this results in 206 the atmosphere being heated more strongly in the NH than in the SH, setting the climato-207 logical annual-mean ITCZ position. The observed anomalies in  $AHT_{EQ}$  and ITCZ location 208

<sup>&</sup>lt;sup>4</sup>We note that the 0.34 PW  $AHT_{EQ}$  anomaly associated with a 1° ITCZ shift is *not* equal to the reciprical of the the regression coefficient between  $P_{CENT}$  and  $AHT_{EQ}$  of 1.6° PW<sup>-1</sup> because the variables are not perfectly correlated.

on inter-annual timescales (Figure 3b) must similarly be associated with inter-hemispheric 209 asymmetries in energy input to the atmosphere, through inter-hemispheric differences in ra-210 diative fluxes at the TOA or through surface heat flux anomalies due to ocean heat transport 211 and storage. Distinguishing the physical processes responsible for the inter-annual variability 212 of the hemispheric atmospheric energy budget is an unresolved issue in climate dynamics. 213 The observational record does not allow an accurate attribution of the  $AHT_{EQ}$  anomalies to 214 anomalies in surface fluxes (ocean heat transport and storage) and radiative anomalies at 215 the TOA due to the sparseness and lack on temporal continuity of the direct observations 216 of the TOA radiative fluxes and surface energy fluxes; observational estimates of the TOA 217 radiation (CERES EBAF – Loeb et al. 2009) are only available for the 2001-2012 time 218 period which is insufficient to provide robust correlations between ITCZ location and energy 219 fluxes (see conclusion section for additional discussion). Thus, we turn to model simulations 220 to ask what drives and determines the magnitude of the inter-annual variability of  $AHT_{EQ}$ 221 and ITCZ location. 222

# <sup>223</sup> 3. Coupled climate model

Thus far we have demonstrated that the inter-annual variations in ITCZ location ( $P_{CENT}$ ) are strongly negatively correlated with those in atmospheric energy transport across the equator (AHT<sub>EQ</sub>) over the observational record. Here, we show that the inter-annual variability of  $P_{CENT}$  and AHT<sub>EQ</sub> in a coupled climate model has similar negative correlations to those found in the observations. We then attribute the inter-annual variability of AHT<sub>EQ</sub> to anomalies in TOA radiation and surface fluxes related to ocean heat content changes and <sup>230</sup> ocean heat transport anomalies.

We analyze a pre-industrial simulation performed with the GFDL CM2.1 coupled model (Delworth et al. 2006). The atmospheric model features a finite volume dynamical core (Lin 2004) with a horizontal resolution of approximately 2° latitude and 24 vertical levels. The ocean model is on a tripolar grid at a nominal resolution of 1° (Griffies et al. 2005). The simulation is run for 500 years with greenhouse gas and aerosol concentrations fixed at pre-industrial levels, and we perform our analysis over the last 450 years.

### <sup>237</sup> a. Inter-annual variability of ITCZ location and $AHT_{EQ}$

The annual mean anomalies of  $P_{CENT}$  and  $AHT_{EQ}$  are strongly negatively correlated 238 (R=-0.76) with a regression coefficient of 3°  $PW^{-1}$  (thick crosses in Figure 4). Similar 239 (statistically indistinguishable) results are found when considering the low pass filtered time 240 series using a double pass Butterworth filter with a cutoff period of two years (solid lines in 241 Figure 4a). The monthly variability of both  $P_{CENT}$  and  $AHT_{EQ}$  is large relative to the inter-242 annual variability; less than 20% of the variability is at periods more than 1 year. However, 243 the monthly variations in  $P_{CENT}$  and  $AHT_{EQ}$  are weakly correlated (R=-0.39; thin gray 244 crosses in Figure 4b) compared to the inter-annual variability. Therefore, we will define the 245 inter-annual variability of  $P_{CENT}$  and  $AHT_{EQ}$  as the variance in the annual means, consistent 246 with our analysis of the observations. We note that the correlation between  $AHT_{EQ}$  and 247  $P_{CENT}$  is larger in magnitude (R=-0.88) at the decadal frequency compared to inter-annual 248 frequency, but that the variance in each is five times smaller at the decadal frequency. 249

The inter-annual variability of  $P_{CENT}$  in the GFDL model is primarily associated with a

pulsing of the climatological precipitation maximum just north of the equator in the Pacific 251 (not shown). The precipitation anomaly associated with a northward  $P_{CENT}$  anomaly also 252 features a northward shift of precipitation maximum in the Indian ocean and a very weak 253 signal in the Atlantic basin. Overall, the inter-annual variability of  $P_{CENT}$  shows a very 254 similar spatial footprint to that found in the observations (Figure 2). The inter-annual 255 variability of  $AHT_{EQ}$  is associated with a pulsing of the climatological annual mean Hadley 256 cell with a southward  $AHT_{EQ}$  anomaly corresponding to an intensification of the counter-257 clockwise rotating cell at the equator (not shown). These results suggest that the inter-annual 258 variability of  $P_{CENT}$  and  $AHT_{EQ}$  in the GFDL model reflect the same mutual connection 259 between atmospheric heat transport, tropical precipitation and Hadley cell dynamics as were 260 identified in the observational record (Figure 3). 261

### <sup>262</sup> b. Energy fluxes contributing to the inter-annual variability of $AHT_{EQ}$

The previous section demonstrated that the inter-annual variability of the tropical precipitation in the GFDL CM2.1 model is associated with anomalous atmospheric energy transport between the two hemispheres. The latter inter-hemispheric energy flow in the atmosphere must be balanced by an inter-hemispheric contrast in atmospheric heating either by the radiative fluxes at the TOA or by the surface energy fluxes. More formally,  $AHT_{EQ}$ can be expressed as the hemispheric asymmetry of energy fluxes to the atmosphere (and energy storage in the atmospheric column):

$$AHT_{EQ} = \langle NET_{RAD,TOA} \rangle + \langle SHF \rangle - \langle STOR_{atmos} \rangle \quad , \tag{2}$$

where  $\langle \rangle$  brackets denote the difference between (spatially-integrated) SH-mean and global-270 mean values. All fluxes are defined as positive when energy is fluxed to the atmosphere; 271  $AHT_{EQ}$  is positive when heat is transported northward across the equator, corresponding 272 to a stronger heating of the SH than the NH.  $\langle NET_{RAD,TOA} \rangle$  is the net radiative heating 273 of the SH at the TOA (minus the global mean radiative imbalance) which is equivalent to 274 the net radiative cooling of the NH.  $\langle SHF \rangle$  is the net heating of the SH by upward energy 275 fluxes (turbulent and radiative) from the surface to the atmosphere.  $\langle SHF \rangle$  includes the 276 combined effects of ocean energy transport and energy storage in the ocean. In equilibrium 277 (i.e., no ocean energy storage),  $\langle SHF \rangle$  is equal (but of opposite sign) to the northward ocean 278 heat transport across the equator ( $\equiv OHT_{EQ}$ ): southward  $OHT_{EQ}$  must be balanced by an 279 export of energy from the atmosphere to the surface in the NH and an import of energy to the 280 atmosphere from the ocean in SH. On the inter-annual timescale, the hemispheric asymmetry 281 of oceanic energy storage contributes to  $\langle SHF \rangle$  with preferential oceanic energy storage in 282 the NH corresponding to a positive  $\langle SHF \rangle$  because the atmosphere must export energy to 283 the ocean in the NH.  $\langle STOR_{atmos} \rangle$  is the hemispheric asymmetry of the atmospheric column 284 integrated energy (sensible plus latent) tendency and is positive when the temperature or 285 humidity of the atmosphere is increasing in the SH which serves as a pseudo sink of energy 286 in the SH (note the negative sign in Equation 2). 287

Time series of  $AHT_{EQ}$  and its decomposition into the hemispheric asymmetry of energy fluxes to the atmosphere via Equation 2 are shown in Figure 5. The inter-annual variability of  $AHT_{EQ}$  has a standard deviation of  $\sigma = 0.08$  PW. The inter-annual variability of  $\langle NET_{RAD,TOA} \rangle$  ( $\sigma = 0.073$  PW) is slightly larger but comparable in magnitude to that of  $\langle SHF \rangle$  ( $\sigma = 0.058$  PW). The inter-annual variability of atmospheric energy storage

 $\langle STOR_{atmos} \rangle$ ) makes a smaller contribution to inter-annual variability of the hemispheric 293 energy budget ( $\sigma = 0.017$  PW) than the TOA and surface energy fluxes. Over the 450 year 294 simulation (see Figure 5), anomalies in  $AHT_{EQ}$  (black lines) are most strongly correlated 295 with anomalies in  $\langle NET_{RAD,TOA} \rangle$  (R = 0.67 – red lines) and are less strongly correlated 296 with anomalies in  $\langle SHF \rangle$  (R = 0.30 – blue lines). The lead and lag relationships between 297  $P_{CENT}$ ,  $AHT_{EQ}$ ,  $\langle NET_{RAD,TOA} \rangle$  and  $\langle SHF \rangle$  are calculated from the low pass filtered time 298 series using a cutoff period of two years. On average,  $P_{CENT}$  anomalies lead  $AHT_{EQ}$  of the 299 opposite sign by three months as deduced from the time lag of optimal negative correlation. 300 The hemispheric asymmetry of net radiation at the TOA  $(\langle NET_{RAD,TOA} \rangle)$  is in phase with 301  $P_{CENT}$  and leads the AHT<sub>EQ</sub>; optimal lagged correlations occur for  $\langle NET_{RAD,TOA} \rangle$  leading 302  $AHT_{EQ}$  by three months with a correlation of 0.69. In contrast, the hemispheric asymmetry 303 of surface energy fluxes ( $\langle SHF \rangle$ ) lag the AHT<sub>EQ</sub> on average; optimal lagged correlations 304 occur for  $\langle SHF \rangle$  lagging  $AHT_{EQ}$  by three months with a correlation of 0.49. The lead lag 305 relationships discussed above are qualitatively over all 100 year subsets of the simulation. 306 Collectively, these results suggests that the inter-annual variability of  $AHT_{EQ}$  and, thus, the 307 ITCZ location, is primarily a consequence of inter-annual variations in radiation at the TOA 308 in the GFDL model although it is unclear if the radiation anomaly is itself a manifestation 309 of the ITCZ shift or forces the ITCZ shift. 310

<sup>311</sup> We now ask: what regions contribute to the hemispheric asymmetries in energy fluxes <sup>312</sup> for a "typical"  $P_{CENT}$  anomaly? Figure 6 shows the regression of the inter-annual variability <sup>313</sup> of AHT<sub>EQ</sub> onto the anomalies of the net radiative flux at the TOA (left panel) and surface <sup>314</sup> fluxes (right panel) normalized to a -0.34 PW AHT<sub>EQ</sub> anomaly, which corresponds to a 1° <sup>315</sup> northward ITCZ shift. The energy fluxes are defined as positive when energy flows into

the atmosphere. The TOA radiative flux associated with the southward  $AHT_{EQ}$  anomaly 316 is positive in the Tropical Pacific just north of the equator where the precipitation and 317 cloud cover have increased concurrently with the northward shift of the ITCZ (the increased 318 precipitation is indicated with the purple contours). In this region, the enhanced cloud cover 319 results in less absorbed shortwave radiation (more reflection off the clouds) and reduced 320 emitted longwave radiation as the OLR is emitted from higher in the atmospheric column 321 where temperatures are colder (not shown). The magnitude of the reduced OLR (an energy 322 gain) is larger than that of the reduced absorbed shortwave radiation (energy loss). Thus, 323 shifting the ITCZ to the north results in atmospheric heating in the NH and cooling in the 324 SH due to the net radiative cloud response. This mechanism acts as a positive feedback on 325 ITCZ migration as the enhanced heating in the hemisphere to which the ITCZ has shifted 326 requires that additional energy be fluxed to the other hemisphere, which is most readily 327 accomplished by shifting the Hadley cell in the same sense of the initial perturbation. Thus, 328 the local TOA radiation change associated with an ITCZ shift demands a cross equatorial 329 atmospheric heat transport that shifts the Hadley cell in the same direction as the initial 330 perturbation. We note that the radiative anomalies are primarily confined to the tropics 331 and are manifestations of the ITCZ shift itself; extratropical radiative forcing does not play 332 a prominent role in forcing inter-annual variations in  $AHT_{EQ}$  in the GFDL model, which 333 is a stark contrast to the role of extratropical cloud radiative forcing in the climatological 334 response of the ITCZ to anthropogenic forcing (Frierson and Hwang 2012). 335

The surface heat flux anomaly associated with a northward  $P_{CENT}$  shift is also dominated by a tropical signal with anomalous energy fluxes into the ocean in the Eastern Pacific and anomalous energy fluxes to the atmosphere over a smaller region in the far Western Pacific

(right panel of Figure 6). The surface heat flux anomaly that accompanies the northward 339 ITCZ shift is more symmetric about the equator than its TOA radiation counterpart (c.f. 340 the red and blue zonal mean in the middle panel of Figure 6). We will show in the following 341 section that the inter-annual variability of ocean energy fluxes and storage in the deep 342 tropics are of order 100 W  $m^{-2}$  and nearly compensating; the inter-annual variability of 343 the upward surface heat flux is the small residual of large variations in the ocean energy 344 transport divergence and the storage of energy within the oceanic column. As a result, the 345 atmospheric and oceanic meridional energy transport do not compensate for one another on 346 the inter-annual timescale because the vast majority of the ocean energy transport anomalies 347 are stored locally in the ocean and therefore never impact the atmospheric energy budget. 348

### <sub>349</sub> c. The role of inter-annual variability in ocean circulation on the hemispheric energy budget

We now explore the role of inter-annual variability in the strength of the ocean circulation 350 on the inter-annual variability of  $AHT_{EQ}$  and therefore  $P_{CENT}$ . Previous studies (Marshall 351 et al. 2013; Frierson et al. 2013) have demonstrated that the approximately 0.7 PW of 352 northward energy transport of the AMOC across the equator (Ganachaud and Wunsch 353 2003) is the cause of the annual mean position of the ITCZ north of the Equator. Previous 354 studies have linked the multi-decadal variability of the AMOC with large scale precipitation 355 anomalies (Zhang and Delworth 2006). Tulloch and Marshall (2013) demonstrated that 356 the AMOC in the GFDL CM2.1 model varies inter-annually by approximately 15% of the 357 climatological mean value (i.e. AMOC OHT<sub>EQ</sub> varies by of order 0.1 PW). Yet, we did not 358 see a manifestation of the inter-annual variability of the AMOC in the surface heat fluxes 359

leading to an  $AHT_{EQ}$  anomaly (right panel of Figure 6). In this section, we ask why the inter-annual variability in the ocean heat transport across the equator is not accompanied by a compensating atmospheric heat transport across the equator.

We define an index of the variability of the AMOC by averaging the monthly anomaly 363 (from climatology) of the AMOC streamfunction between 100m and 3000m and between 364 10°S and 10°N (the region inside the purple box in Figure 7b). A time series of the AMOC 365 index (Figure 7a) demonstrates that the AMOC at the equator varies by approximately 2.0 366 Sy  $(1\sigma)$  from month to month which is approximately 20% of the climatological mean value 367 of 10.6 Sv. The inter-annual variability of the AMOC index explains the majority (R=0.82)368 of the ocean heat transport anomalies across the equator in the Atlantic (not shown). The 369 AMOC index has very little memory from month to month; the decorrelation timescale is 370 less than one month. This short term variability in the AMOC is primarily due to shallow 371 Ekman transport anomalies associated with zonal wind stress anomalies; the accompanying 372 energy flux anomalies are nearly entirely stored locally in the ocean and never impact the 373 atmospheric column. For this reason, we choose to focus on the lower frequency variability 374 of the AMOC which we define using a low pass Butterworth filter with a cutoff period of 6 375 years (blue line in the top panel of Figure 7)<sup>5</sup>. Much of the low frequency variability of the 376 AMOC in the GFDL model is at the decadal frequency (Tulloch and Marshall 2013). The 377 low frequency AMOC index has an amplitude of approximately 25% of that in monthly data. 378 The cross section of AMOC streamfunction anomalies associated with a  $1\sigma$  low frequency 379 AMOC index anomaly (middle panel of Figure 7) demonstrates that the low frequency 380 AMOC anomalies are strongly correlated over the upper 3000m of the column and from 381

<sup>&</sup>lt;sup>5</sup>Using a cutoff period of two years, as in the previous section, produces qualitatively similar results.

 $_{382}$  20°S to 45°N with peak anomalies North of the equator.

The ocean heat transport anomalies must either be balanced by storage in the oceanic column (a change in potential temperature) or by upward surface energy fluxes to the atmosphere:

$$\nabla \cdot OHT = SHF + STOR_{OCEAN} \tag{3}$$

We calculate the energy storage in the oceanic column (STOR<sub>OCEAN</sub>) as the tendency (centered finite difference) of the mass integral of  $C_{P,ocean}\Theta$  where  $C_{P,ocean}$  is the heat capacity of ocean water and  $\Theta$  is the potential temperature. The surface heat flux (SHF – positive to the atmosphere) is directly outputted on the ocean grid.  $\nabla \cdot OHT$  is calculated as the residual of Equation 3. The ocean heat transport anomaly is calculated as the spatial integral of  $\nabla \cdot OHT$  over the polar cap poleward of each latitude.

The ocean heat transport anomaly associated with the AMOC anomaly (bottom panel) 392 of Figure 7) shows the expected northward heat transport anomaly at the equator of order 393 0.1 PW. We note that approximately half of this ocean heat transport anomaly is associated 394 with the Atlantic basin with maximum ocean heat transport convergence in the mid-latitude 395 (Figure 8B) and the remainder is due to ocean circulation changes in the Pacific where 396 the heat transport convergence occurs in the subtropics. The approximately 0.05 PW of 397 northward heat transport associated with the 0.5 Sv AMOC index anomaly corresponds to 398 a potential temperature difference of 25K between the anomalous northward flow at the 399 surface and the southward return flow at depth which is consistent with the ocean's static 400 stability in the tropics in the model. By construction, the ocean heat transport across a given 401 latitude circle is balanced by the sum of the spatial integrals of SHF and STOR over the 402

polar cap north of that latitude (i.e. the black and red lines in Figure 7 sum to the blue line). 403 By and large, the anomalous ocean heat transport associated with an AMOC index anomaly 404 is balanced by ocean heat storage poleward of the energy flux anomaly and only secondarily 405 by fluxes to the atmosphere. More specifically, the 0.10 PW of anomalous northward ocean 406 heat transport at the equator goes entirely into ocean energy storage and never gets fluxed 407 upward to the atmosphere. As a consequence, the atmospheric energy budget is unaffected 408 by the ocean heat transport anomaly; there is no compensating atmospheric heat transport 409 to the ocean heat transport anomaly, and thus the tropical precipitation is unaffected by 410 the AMOC anomaly. There is no significant correlation between the AMOC index and 411  $AHT_{EQ}$  or  $P_{CENT}$  even when lagged correlations are considered and when AMOC anomalies 412 at different latitudes are considered. This conclusion also holds when considering the decadal 413 variability of the AMOC (i.e. using a 20 year period low pass filter). 414

The spatial maps of anomalous  $\nabla \cdot OHT$  associated with a 1 $\sigma$  AMOC index (Figure 8) 415 and its allocation between SHF and STOR<sub>ocean</sub> show that the vast majority of the anoma-416 lous  $\nabla \cdot OHT$  is in the extratropical North Atlantic. The anomalous ocean heat transport 417 convergence in the North Atlantic is balanced by local storage as opposed to surface energy 418 fluxes out of the ocean (c.f. the bottom left and bottom right panels of Figure 8). The 419 SHF anomaly associated with an AMOC index anomaly are smaller in magnitude than the 420 corresponding ocean heat storage anomaly and feature a dipole in the vicinity of the Gulf 421 Stream. We speculate that this anomalous SHF is associated with a concurrent shift in the 422 Gulf Stream and is not related to the ocean heat transport anomaly in the AMOC. 423

We now ask: why doesn't the inter-annual variability of the AMOC energy transport (of order 0.1 PW) impact the atmospheric energy budget by way of the surface energy fluxes?

The most obvious explanation is that the ocean heat transport anomalies are converged deep 426 within the oceanic column and therefore do not impact the SSTs and surface energy fluxes. 427 Indeed, the vertical profile of oceanic temperature tendencies associated with an AMOC 428 index anomaly (colored field in the middle panel of Figure 7) show that, in the region of 429 anomalous AMOC energy flux convergence, around 50°N, the heating occurs primarily below 430 the ocean mixed layer and extends all the way down to 4 km depth. At the surface, the 431 anomalously strong AMOC causes cooling in the vicinity of 60°N and a slight warming in 432 the deep tropics; there is only a hint of surface warming in the northern extratropics due to 433 the AMOC anomaly (over the limited region around 45°N). The SST anomaly associated 434 with a one standard deviation AMOC index shows slight warming ( $\approx 0.2$  K) over a limited 435 domain poleward of 45°N (not shown). Overall, the canonical view of AMOC anomalies 436 influencing the extratropical climate system in the North Atlantic is unrealized in the inter-437 annual variability of the GFDL model, because the AMOC anomalies only influence ocean 438 temperatures at depth. 439

# 440 4. Summary and discussion

Previous work has demonstrated that the relationship between ITCZ location and atmospheric heat transport across the equator is quantitatively consistent across a myriad of timescales ranging from the seasonal cycle to the annual mean climatology and the shift due to external forcing. The dominant physical processes contributing to the  $AHT_{EQ}$  by way of the hemispheric asymmetry of energy input into the atmosphere vary with timescale. In the annual mean climatology, the hemispheric asymmetry of atmospheric heating is dominated

by the upward surface heat fluxes by way of the ocean heat transport across the equator 447 (Marshall et al. 2013). On decadal and shorter timescales, the anomalies in the ocean heat 448 transport and radiative energy input into the surface are stored within the ocean column 449 and, thus, do not contribute to the atmospheric energy budget. Indeed, over the seasonal 450 cycle, variations in  $AHT_{EQ}$  are driven by the absorption of insolation in the atmospheric 451 column (Donohoe et al. 2013) and opposed by the surface fluxes as the ocean energy storage 452 in the summer hemisphere exceeds the input of energy into the surface by radiative process 453 and ocean heat transport convergence (Donohoe and Battisti 2013). Here, we focus on an 454 intermediate timesacale; the inter-annual (2-10 year) variability. 455

We demonstrate that the inter-annual variability of ITCZ location and  $AHT_{EQ}$  are 456 strongly (negatively) correlated in Nature (R=-0.75) and a coupled climate model (R=-457 0.76). A 1° northward ITCZ shift is associated with approximately -0.33 PW of southward 458  $AHT_{EQ}$  which is statistically indistinguishable from the relationship found over the seasonal 459 cycle and the annual mean response due to external climate forcing (Donohoe et al. 2013). 460 The inter-annual variability of  $AHT_{EQ}$  and ITCZ location both reflect a mutual connection 461 to an intensification of the climatological Hadley cell and the concurrent intensification of 462 the climatological precipitation maximum (Figure 3). The cause of the inter-annual vari-463 ability on  $AHT_{EQ}$  by way of the hemispheric scale atmospheric energy budget is diagnosed 464 in the climate model (such analysis is not possible in the observations). The inter-annual 465 variability of  $AHT_{EQ}$  is primarily due to hemispheric asymmetries in radiative fluxes at the 466 TOA, secondarily due to surface energy fluxes and has a weak dependence on atmospheric 467 energy storage (Figure 5). The radiative flux anomalies associated with a northward ITCZ 468 shift are primarily a consequence of the ITCZ shift itself with more intense deep convection 469

North of equator resulting in a net radiative heating anomaly in the NH (Figure 6). The 470 increased convection north of the equator causes reduced OLR as radiation is emitted from 471 higher in the atmospheric column (due to both water vapor and cloud feedbacks) where 472 air is colder. The absorbed shortwave radiation also decreases north of the equator due to 473 increased cloud reflection. In the net, the OLR feedback is stronger in magnitude. As a 474 result, a northward shifted ITCZ results in stronger atmospheric heating in the NH which 475 serves as a positive feedback for ITCZ migration; the Hadley cell shift required to achieve the 476  $AHT_{EQ}$  demanded by the global scale TOA radiative budget shifts the ITCZ in the same 47 sense as the initial perturbation. We note that this conclusion may be model dependent 478 (Voigt et al. 2013a) and we have only demonstrated the net positive radiative feedback in 479 the GFDL CM2.1 model. 480

A determination of the energetic source of inter-annual variability of  $\mathrm{AHT}_{EQ}$  in Nature 481 is limited by our observations of the both the TOA radiation and the surface energy fluxes; 482 the observed atmospheric energy budget is not closed on the inter-annual timescale. In the 483 global mean climatology, the TOA energy budget is not closed to within 5 W  $\mathrm{m}^{-2}$  (Loeb 484 et al. 2009). How these observational uncertainties project onto hemispheric asymmetries 485 of energy input to the atmosphere at the inter-annual timescale is unclear. TOA radiative 486 anomalies from CERES EBAF are available for the 2001-2012 time period and could provide 487 insights about whether the processes responsible for the inter-annual variability of  $AHT_{EQ}$ 488 in the GFDL model are also realized in the observations. Preliminary work suggests that 489 radiative feedbacks explain a significant portion of the inter-annual variability of  $AHT_{EQ}$ 490 and that the ITCZ shift induces a positive radiative feedback on the atmospheric heating 491 (warming the hemisphere that the ITCZ has shifted toward). 492

Why doesn't the inter-annual variability of ocean heat transport have an impact on ITCZ 493 location? Indeed, the inter-annual variability of the AMOC ocean heat transport across the 494 equator ( $\approx 0.1$  PW) is comparable in magnitude to the inter-annual variability of AHT<sub>EQ</sub> 495  $(\sigma = 0.08 \text{ PW})$  in the GFDL CM2.1 model. However, the latter is not influenced by the 496 former. The reason the AMOC (and the ocean heat transport across the equator in general) 497 does not influence the atmosphere on the inter-annual timescale is that the vast majority 498 of AMOC heat transport anomalies are stored within the ocean, below the surface (Figure 499 7) and, thus, do not enter the atmospheric column (lower right panel of Figure 8). Similar 500 results are found when considering the decadal variability of the AMOC which has been 501 shown to be significant and predictable in this model (Tulloch and Marshall 2013). This 502 result suggests that the inter-annual variability of ocean circulation plays a negligible role in 503 the inter-annual variability of atmospheric energy fluxes because the latter is dominated by 504 TOA radiative fluxes which are very sensitive to even modest changes in clouds. 505

The impact of ocean heat transport on the atmospheric circulation varies drastically 506 with timescale (Figure 9). On short timescales (years to decades), energy is stored in the 507 oceanic column, has a small expression on SSTs and very little energy gets fluxed upward 508 to the atmosphere to impact the atmospheric circulation (the red-storage-lines have larger 509 magnitudes than the blue – surface flux– lines on the high frequency side of Figure 9). On 510 very long timescales (climatology), the surface energy budget requires that the entirety of 511 the ocean heat transport convergence be fluxed upward to the atmosphere and is thus the 512 dominant mechanism of the hemispheric contrast of energy input to the atmosphere. At what 513 timescale, then, does the ocean heat transport impact the hemispheric scale atmospheric 514 energy budget and thus the ITCZ position? In the GFDL CM2.1 model, half of the  $OHT_{EQ}$ 515

<sup>516</sup> is fluxed upward to the atmosphere at a timescale of 35 years in the Atlantic (where the <sup>517</sup> dashed red and blue line cross in Figure 9) whereas the same timescale is longer in the other <sup>518</sup> ocean basins. This result suggests that the AMOC may impact the atmospheric energy <sup>519</sup> budget and, thus, the ITCZ at the multi-decadal frequency as was found by Zhang and <sup>520</sup> Delworth (2006).

The positive feedback between ITCZ shifts and  $AHT_{EQ}$  induced by the tropical cloud 521 feedbacks in the GFDL model relies on the positive longwave cloud feedback associated an 522 increase in convective clouds in the tropics being larger in magnitude than the negative 523 shortwave cloud feedback. It is unclear if this relationship is robust across different models 524 and is evident in Nature. Recent work by Voigt et al. (2013a) suggests that tropical radiative 525 feedbacks play a negligible role in determining the magnitude of the ITCZ shift in response 526 to hemispheric scale forcing in the ECHAM6 model whereas Kang et al. (2008) previously 527 found that cloud radiative feedbacks provide a negative feedback to ITCZ shifts. Preliminary 528 analysis of the inter-annual variability of observations (CERES EBAF – Loeb et al. 2009) 529 suggests that radiative anomalies provide a small net tropical heating anomaly ( $\approx 1$  W 530  $m^{-2}$ ) to the hemisphere to which the ITCZ has shifted (not shown). In the GFDL model, 531 tropical radiation anomalies (equatorward of 20°) associated with anomalous southward 532  $AHT_{EQ}$  (Figure 6) account for approximately half (45%) of the hemispheric asymmetry of 533 energy input to the atmosphere demanded by the  $AHT_{EQ}$  anomaly. If we assume that the 534 magnitude of tropical radiation anomalies is proportional to the  $AHT_{EQ}$  (i.e. the magnitude 535 of the ITCZ shift) this result suggests that tropical cloud feedbacks amplify the ITCZ shift 536 due to external forcing by a factor of two; the ITCZ shift required to export a given energy 537 input into the NH is twice as large when tropical radiative feedbacks are active as compared 538

to a system with no tropical radiaitive feedbacks. More formally, the feedback gain of tropical
radiative feedbacks can be shown to be approximately two relative to a reference system with
no tropical cloud feedbacks. We note that this result is at odds with the work of Voigt et al.
(2013a) that found little amplification of ITCZ shifts by radiative feedbacks in ECHAM6.

An alternative negative feedback to an ITCZ perturbation is that the wind driven com-543 ponents of the oceanic and atmospheric energy transport are coupled via the surface wind 544 stress (and Ekman dynamics) and transport energy in the same direction (Held 2001) in both 545 the climatology and the anomalous sense. Therefore, if the system is externally forced by 546 a hemispheric asymmetry of atmospheric heating, the anomalous  $AHT_{EQ}$  and  $OHT_{EQ}$  will 547 be in the same direction with each fluid carrying comparable quantities of energy. On short 548 timescales, the ocean heat transport anomaly is stored within the ocean and the atmospheric 549 energy budget is unaffected. On longer timescales, as the ocean heat storage decreases, the 550  $OHT_{EQ}$  anomaly is fluxed upward to the atmosphere and the hemispheric asymmetry of 551 surface heat fluxes opposes the initial external forcing. In equilibrium, the inter-hemispheric 552 energy flow demanded by the external forcing is achieved by the sum of the  $AHT_{EQ}$  and 553 the  $OHT_{EQ}$  which are in the same direction and comparable in magnitude. Therefore, the 554  $AHT_{EQ}$  anomaly in an externally forced coupled (interactive ocean) system is significantly 555 smaller than it is in the uncoupled system (atmosphere only). This mechanism is expected 556 to decrease the sensitivity of ITCZ migration to external forcing that has been deduced from 557 atmospheric only simulations (i.e Yoshimori and Broccoli 2008; Kang et al. 2008). 558

<sup>559</sup> We note that, the inter-annual variability of  $P_{CENT}$  is also significantly correlated with <sup>560</sup> the inter-hemispheric difference in tropical SSTs – defined as the difference between the <sup>561</sup> spatially averaged SST between the equator and 20°N and that between the equator and

 $20^{\circ}$ S (Donohoe et al. 2013) – in Nature (R=0.61) and in the coupled climate model (R=0.69). 562 This suggests that the ITCZ location, the atmospheric heat transport across the equator, 563 and the tropical SSTs all adjust in concert such that the ITCZ is located in the warmer 564 hemisphere where the atmosphere is heated more strongly. Though this relationship is self-565 consistent, there is an issue of causality: is the ITCZ location dictated by the SSTs or 566 the hemispheric scale energy budget? This work has focused on the connection between the 567 hemispheric scale energy budget and ITCZ location in an attempt to dissect the relative roles 568 of radiation and surface heat fluxes in determining the inter-annual variability of  $AHT_{EQ}$ 569 and thus ITCZ location. While we find that radiative processes at the TOA play a more 570 prominent role than surface fluxes in the hemispheric scale energy budget at the inter-annual 571 timescale, this conclusion is likely timescale dependent. At short timescales, the ocean heat 572 transport and SSTs/surface energy fluxes are decoupled due to ocean energy storage while 573 at longer timescales the ocean heat transport gives rise to SST anomalies and surface fluxes. 574 Indeed, preliminary work indicates that at the multi-decadal timescale the ITCZ location is 575 well correlated with persistent SST anomalies which result from decadal variability in the 576 ocean heat transport. 577

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purple line is the equator.

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| 705               | 8 | Spatial maps of anomalies in ocean heat transport convergence (B), column   |    |
|-------------------|---|---|----|
| 706               |   | integrated ocean heat content tendency (D – ocean heat storage) and sur-  |    |
| 707               |   | face heat flux to the atmosphere (E) associated with a 1 standard deviation   |    |
| 708               |   | in AMOC index. Panel (A) shows the zonal means of the anomalies in the  |    |
| 709               |   | ocean heat transport convergence(blue), ocean heat storage (black) and sur-   |    |
| 710               |   | face heat flux to the atmosphere (red). Panel (C) shows the zonal mean over   |    |
| 711               |   | the Atlantic basin only.  | 43 |
| 712               | 9 | The fraction of $OHT_{ro}$ that get stored in the ocean column (red) and fluxed   |    |
|                   |   | The fraction of $Offreq that get stored in the ocean column (red) and fuxed$  |    |
| 713               |   | upward to the atmosphere (blue) over the spatial integral of the polar cap as a   |    |
| 713<br>714        |   | upward to the atmosphere (blue) over the spatial integral of the polar cap as a function of lowpass filter cutoff period. The solid line is for the Pacific basin.  |    |
| 713<br>714<br>715 |   | upward to the atmosphere (blue) over the spatial integral of the polar cap as a function of lowpass filter cutoff period. The solid line is for the Pacific basin.<br>The horizontally hashed line is for the Atlantic and the vertically hashed line |    |



FIG. 1. (A) Time series of ITCZ location anomaly as measured by the  $P_{CENT}$  (red) and the anomaly in atmospheric heat transport at the equator (blue). The thin lines are the monthly mean anomalies and the thick lines are the low pass filtered time series (cutoff period of 2 years). The thick crosses are the annual mean anomalies from the climatology. (B) Scatter plot of the anomalies in  $P_{CENT}$  and  $AHT_{EQ}$ . The small gray crosses are the monthly means and the thick black crosses are the annual means. The dashed black line is the linear best fit to the annual mean data.



FIG. 2. (A) Zonal mean precipitation climatology (dashed black line) and precipitation associated with a 1° Northward shift in ITCZ location (red) calculated from regressing the annual mean  $P_{CENT}$  anomaly onto the annual and zonal mean precipitation anomaly. (B) Map of precipitation anomaly associated with a 1° Northward shift in zonal mean  $P_{CENT}$ evaluated from the inter-annual variability. The green contours are the climatological precipitation with a contour interval of 4 mm/day (zero contour omitted). The dashed purple line is the equator.



FIG. 3. (Top panel) Climatological annual mean stream function (contours) in Sv (1Sv  $= 10^9 kgs^{-1}$ ) co-plotted with the zonal mean precipitation (green- scale on the right axis). The AHT<sub>EQ</sub> is indictated in the pink arrow. (Bottom panel) As in the top panel except for the annual mean anomalies associated with a 1° Northward ITCZ shift calculated from regression of inter-annual variability of P<sub>CENT</sub> onto the streamfunction and precipitation.



FIG. 4. (A) Time series of ITCZ location anomaly – as measured by the  $P_{CENT}$  (blue)– and the anomaly in atmospheric heat transport at the equator (red) in the GFDL 2.1 preindustrial simulation. The thin lines are the monthly mean anomalies and the thick lines are the low pass filtered time series (cutoff period of 2 years). The thick crosses are the annual mean anomalies from the climatology. Only years 250-400 of the 500 year simulation are shown. (B) Scatter plot of the anomalies in  $P_{CENT}$  and  $AHT_{EQ}$ . The small gray crosses are the monthly means and the thick black crosses are the annual means. The dashed black line is the linear best fit to the annual mean data.



FIG. 5. Time series of the  $AHT_{EQ}$  in the GFDL 2.1 pre-industrial simulation and its decomposition into the hemispheric asymmetry of TOA radiation ( $\langle NET_{RAD,TOA} \rangle$  – red), surface energy fluxes ( $\langle SHF \rangle$  – blue) and storage in the atmospheric column ( $\langle STOR_{atmos} \rangle$  – green) from Equation 2. The thick lines are the low pass filtered time series (cutoff period of 2 years). The thick crosses are the annual mean anomalies from the climatology. Only years 250-400 of the 500 year simulation are shown.



FIG. 6. Regression maps of TOA radiative anomaly (A) and surface heat flux anomaly (C) associated with a -0.34 PW  $AHT_{EQ}$  annual mean anomaly which is the  $AHT_{EQ}$  associated with a 1° northward ITCZ shift in the GFDL pre-industrial simulation. The energy fluxes are defined as positive when energy flows into the atmosphere (positive downward at the TOA and positive upward at the surface). The contours are the associated precipitation anomaly (contour interval 2 mm/ day) with green contours indicating a decrease in precipitation and purple contours indicating an increase in precipitation. (B) Zonal mean anomalies of the TOA radiative fluxes (red) and surface fluxes (blue).



FIG. 7. (A) Time series of AMOC index, defined as the streamfunction anomaly averaged between 100m and 300m depth and between  $10^{\circ}S$  and  $10^{\circ}N$ . The red lines are the monthly means and the blue lines are the low pass filtered time series using a cutoff period of 6 years. (B) The contours show the cross section of low-pass AMOC index regressed onto AMOC streamfunction anomaly in Sverdrups. The colored field is the associated temperature tendency in units of Kelvin per year. The purple box shows the region used to define the AMOC index. (C) The ocean meridional heat transport anomaly associated with a 1 standard deviation AMOC event (blue line). The red line is the spatial integral of the surface heat flux (positive to the atmosphere) integrated over the polar cap North of a given latitude and the black line is the storage in the ocean integrated over the polar cap. By construction, the surface flux (red) and the storage (black) sum to the ocean heat transport into the polar cap (blue). The results have been integrated over all ocean basins.



FIG. 8. Spatial maps of anomalies in ocean heat transport convergence (B), column integrated ocean heat content tendency (D – ocean heat storage) and surface heat flux to the atmosphere (E) associated with a 1 standard deviation in AMOC index. Panel (A) shows the zonal means of the anomalies in the ocean heat transport convergence(blue), ocean heat storage (black) and surface heat flux to the atmosphere (red). Panel (C) shows the zonal mean over the Atlantic basin only.



FIG. 9. The fraction of  $OHT_{EQ}$  that get stored in the ocean column (red) and fluxed upward to the atmosphere (blue) over the spatial integral of the polar cap as a function of lowpass filter cutoff period. The solid line is for the Pacific basin. The horizontally hashed line is for the Atlantic and the vertically hashed line is for the Indian ocean.