

1    **The contrast between Atlantic and Pacific surface**  
2                                    **water fluxes**

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4                                    October 14, 2016

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## Abstract

The Atlantic Ocean is known to have higher sea surface salinity than the Pacific Ocean at all latitudes. This is thought to be associated with the Atlantic Meridional Overturning Circulation and deep water formation in the high latitude North Atlantic - a phenomenon not present anywhere in the Pacific. This asymmetry may be a result of salt transport in the ocean or an asymmetry in the surface water flux (evaporation minus precipitation;  $E - P$ ) with greater  $E - P$  over the Atlantic than the Pacific. In this paper we focus on the surface water flux.

Seven estimates of the net freshwater flux ( $E - P - R$  including runoff,  $R$ ), calculated with different methods and a range of data sources (atmospheric and oceanic reanalyses, surface flux datasets, hydrographic sections), are compared. It is shown that  $E - P - R$  over the Atlantic is consistently greater than  $E - P - R$  over the Pacific by about 0.4 Sv (1 Sv  $\equiv 10^6 \text{ m}^3 \text{ s}^{-1}$ ). The Atlantic/Pacific  $E - P - R$  asymmetry is found at all latitudes between 30°S and 60°N. Further analysis with ERA-Interim combined with a runoff dataset demonstrates that the basin  $E - P - R$  asymmetry is dominated by an evaporation asymmetry in the northern high-latitudes, but by a precipitation asymmetry everywhere south of 30°N. At the basin scale, the excess of precipitation over the Pacific

25 compared to the Atlantic ( $\sim 30^{\circ}\text{S} - 60^{\circ}\text{N}$ ) dominates the asymmetry.  
26 Also it is shown that the asymmetry is present throughout the year and  
27 quite steady from year to year. Investigation of the interannual variabil-  
28 ity and trends suggest that the precipitation trends are not robust be-  
29 tween datasets and are indistinguishable from variability. However, a pos-  
30 itive trend in evaporation (comparable to other published estimates) is  
31 seen in ERA-Interim, consistent with sea surface temperature increases.  
32 **Key words:** evaporation, precipitation, runoff, moisture flux, salinity,  
33 freshwater transport, Meridional Overturning Circulation

## 1 Introduction

The Atlantic Ocean is known to have higher sea surface salinity (SSS) than the Pacific Ocean at all latitudes. In the northern hemisphere, differences of up to 2 psu (practical salinity units) are present in the subtropical gyres (Gordon *et al.*, 2015) and at high latitudes, with the difference reduced to 1 psu in the southern hemisphere subtropical gyres (Fig. 1a). Salinity patterns are linked to the hydrological cycle (Schmitt, 2008) with regions of high SSS corresponding to regions of positive  $E - P$  (evaporation minus precipitation) and regions of low SSS corresponding to regions of negative  $E - P$  (Fig. 1b). Some authors have attempted to use SSS as a “rain gauge” for the ocean (Ren *et al.*, 2014) and others have investigated how SSS has changed with the intensification of the hydrological cycle in recent decades (Skirris *et al.*, 2014). Durack and Wijffels (2010) found that the contrast in SSS between the Atlantic and Pacific has increased from 1950-2008, consistent with an intensified hydrological cycle expected from global warming conditions (Held and Soden, 2006).

The high salinity in the high latitude North Atlantic is associated with deep water formation through deep convection in the Greenland and Labrador Seas and a deep Atlantic Meridional Overturning Circu-

54 lation (AMOC) (Marshall and Schott, 1999). There is no such deep con-  
55 vection in the North Pacific as SSS is too low for sinking to occur (War-  
56 ren, 1983) and the Meridonal Overturning Circulation there is wind-driven  
57 and confined to the upper ocean. Various reasons have been put forward  
58 to explain the asymmetry in MOC, such as differences in basin geome-  
59 try (Schmitt *et al.*, 1989; Ferreira *et al.*, 2010; Nilsson *et al.*, 2013), the  
60 configuration of mountain ranges (Schmittner *et al.*, 2011; Sinha *et al.*,  
61 2012), interbasin salt fluxes (Weijer *et al.*, 1999) and the existence of mul-  
62 tiple equilibria of the MOC (Huisman *et al.*, 2009) – see also the review  
63 by Weaver *et al.* (1999). In nearly all published hypotheses not involving  
64 multiple equilibria, the net surface water flux (evaporation minus precip-  
65 itation;  $E - P$ ) is a key element, either as a cause or as a consequence of  
66 the MOC asymmetry. Indeed, it seems natural that the larger net evapo-  
67 ration ( $E - P > 0$ ) in the Atlantic than in the Pacific (well noted in the  
68 literature, at least for high-latitudes) should be part of any theory for the  
69 MOC and SSS asymmetry between basins.

70 Warren (1983) pointed out that the Pacific has a lower evaporation  
71 rate compared to the Atlantic at high latitudes. He also investigated the  
72 effect of the line of zero wind stress curl on salt advection into the north-  
73 ern North Atlantic and Pacific, and suggested that the tilted Atlantic  
74 zero wind stress curl line allowed for more salt advection than in the Pa-

75 cific from the high salinity subtropics. Using updated datasets, Emile-  
76 Geay *et al.* (2003) drew a similar conclusion. They further suggested that  
77 moisture transport associated with the Asian monsoon could contribute  
78 to the freshening of the subpolar North Pacific (no such transport ex-  
79 ists over the subpolar North Atlantic) although no quantification of this  
80 effect was offered. Revisiting the idea of Warren (1983), Czaja (2009)  
81 found that the tilted zero wind stress curl line coincides with the line  
82 separating net evaporation from net precipitation ( $E - P < 0$ ) in the  
83 Atlantic but not the Pacific. Higher subopolar salinity in the Atlantic  
84 can therefore be maintained more easily in the Atlantic than in the Pa-  
85 cific. Czaja (2009) also investigated the temporal behaviour of the North  
86 Atlantic and North Pacific jet streams, finding the North Atlantic to be  
87 more variable, a feature which is efficient at driving salt advection into  
88 the subpolar gyre.

89       The higher subpolar North Atlantic mean evaporation rate noted by  
90 Warren (1983), Emile-Geay *et al.* (2003) and Wills and Schneider (2015)  
91 was attributed to higher Atlantic sea surface temperatures (SSTs). The  
92 colder Pacific SSTs were explained by Warren (1983) to be a result of  
93 cold upwelling in the subpolar North Pacific. However, Czaja (2009) ar-  
94 gued that the higher subpolar Atlantic evaporation is simply a positive  
95 feedback: the higher rate of evaporation is caused by higher SSTs which

96 is a result of the enhanced northward ocean heat transport (Trenberth  
97 and Caron, 2001) by the AMOC. Wills and Schneider (2015) found that  
98 atmospheric transient eddies and stationary-eddy vertical motion are dom-  
99 inant terms in setting zonal variations in the surface water flux for sub-  
100 polar North Atlantic and Pacific. Transient eddies freshen the subpolar  
101 North Pacific (while salinifying the subpolar North Atlantic) because the  
102 Pacific storm track covers a larger area. Stationary-eddy vertical mo-  
103 tion freshens the subpolar North Pacific more than the subpolar North  
104 Atlantic due to poleward motion and surface stress associated with the  
105 Aleutian low and subtropical high. The arguments of Wills and Schnei-  
106 der (2015) are linked to the relative width of the subpolar basins high-  
107 lighted by Schmitt *et al.* (1989): the Atlantic is narrower so a greater  
108 fraction of it is affected by dry air coming off the downstream continent,  
109 thus the area-averaged evaporation rate is stronger.

110 Many previous studies have focused on the  $E - P$  asymmetry be-  
111 tween the far northern regions of both oceans, although Rahmstorf (1996)  
112 focused on the positive Atlantic  $E - P$  north of  $30^{\circ}\text{S}$ . It is unclear where  
113  $E - P$  is the critical quantity since the SSS asymmetry between the basins  
114 exists at all latitudes. In addition, discussion of the  $E - P$  asymmetry  
115 has often been framed, implicitly or explicitly, as an asymmetry in evap-  
116 oration, neglecting the possible roles for precipitation and runoff.

117 In this paper we aim to answer the following questions:

- 118 1. How robust is the Pacific/Atlantic  $E - P - R$  asymmetry across  
119 datasets?
- 120 2. Is the Pacific/Atlantic asymmetry present at all latitudes?
- 121 3. Is the  $E - P - R$  asymmetry mainly due to an asymmetry in evapo-  
122 ration, precipitation or runoff?
- 123 4. Can interannual variability of  $E - P$  be attributed to interannual  
124 variability in evaporation or precipitation?

125 To address these questions, we will compare various published fresh-  
126 water flux estimates obtained with a range of methods. Importantly, we  
127 will show that  $E - P$  from ERA-Interim (estimated using vertically inte-  
128 grated atmospheric moisture flux divergence or the forecast model  $E$  and  
129  $P$  fields) combined with an independent estimate of  $R$  agree well with  
130 other estimates from both oceanic and atmospheric data. This step gives  
131 us ground to further explore the ERA-Interim  $E$  and  $P$  fields separately  
132 and address questions 3 and 4 above.

133 The budget calculations (for the atmosphere and ocean) used to  
134 compute the net surface water flux ( $E - P$ ) and net freshwater flux ( $E -$   
135  $P - R$ ) are summarized in section 2. A brief description of the selected



136 datasets is given in section 3. These estimates are compared in section  
137 4. In section 5, annual means, seasonal cycles and interannual variability  
138 of the evaporation and precipitation from ERA-Interim are discussed in  
139 the Atlantic and Pacific Oceans. Conclusions will be drawn in section 6.  
140 Note that, for completeness, results for the Indian Ocean are also shown  
141 but that our discussion largely focuses on the Atlantic and Pacific basins.

## 142 **2 Budget Framework**

143 This section describes the methods used to calculate the surface water  
144 flux from atmospheric data (section 2.1) and the net freshwater flux from  
145 oceanic data (sections 2.2 and 2.3). It should be noted that, although  
146 similar in spirit, these calculations use completely different inputs (wind  
147 and specific humidity on one side, temperature and salinity on the other)  
148 and yet, as will be demonstrated in section 4, they give remarkably simi-  
149 lar results.

### 150 **2.1 Atmospheric moisture budget**

151 In the atmosphere evaporation minus precipitation ( $e - p$ , where  $e$  and  
152  $p$  are rates at grid points), can be related to the vertical integral of the

153 mass continuity equation for water vapour (Berrisford *et al.*, 2011):

$$e - p = \frac{\partial TCWV}{\partial t} + \nabla \cdot \frac{1}{g} \int_0^1 \mathbf{u}q \frac{\partial \tilde{p}}{\partial \eta} d\eta \quad (1)$$

154 where  $TCWV = \frac{1}{g} \int_0^1 q \frac{\partial \tilde{p}}{\partial \eta} d\eta$  is the total column water vapour,  $g$  is gravi-  
 155 tational acceleration,  $\mathbf{u}$  is the velocity vector,  $q$  is specific humidity and  $\tilde{p}$   
 156 is pressure. The second term on the right-hand side of eq. (1) is the ver-  
 157 tically integrated moisture flux divergence (denoted  $\text{div}\mathbf{Q}$  hereafter, here  
 158 written in terms of  $\eta$  the terrain-following hybrid pressure co-ordinate  
 159 used in the ERA-Interim reanalysis where  $\eta = 1$  represents the surface  
 160 and  $\eta = 0$  represents the top of the atmosphere). Ice and liquid water are  
 161 neglected as their mass transports are small when compared to those of  
 162 water vapour (Berrisford *et al.*, 2011).

163 Integrated over long timescales,  $\text{div}\mathbf{Q}$  approximately balances  $e - p$   
 164 (Trenberth *et al.*, 2011) since the tendency term (first term on the right-  
 165 hand side of eq. (1)) is orders of magnitude smaller than  $\text{div}\mathbf{Q}$  and  $E -$   
 166  $P$ . The annual mean ERA-Interim (1979-2014)  $\text{div}\mathbf{Q}$  over the global oceans  
 167 is shown in Fig. 1(b). As expected, moisture flux divergence implying  
 168 net evaporation is found in the subtropics and convergence implying net  
 169 precipitation is found in the Intertropical Convergence Zone (ITCZ) and  
 170 in mid- to high-latitudes. Note the clear correspondence between the  $e -$   
 171  $p$  and SSS patterns: the regions of positive (negative)  $e - p$  in Fig.1(b)

172 correspond approximately to regions of high (low) salinity in (a). The  
 173 subtropical gyres occupy regions of high SSS and  $e - p$  with the highest  
 174 open ocean SSS found in the North Atlantic subtropical gyre (D’Addezio  
 175 and Bingham, 2014). Salinity minima are found slightly to the north of  
 176 the ITCZ ( $e - p$  minima) in both the Atlantic and Pacific due to north-  
 177 wards Ekman transport of salt (Tchilibou *et al.*, 2015). The salinity min-  
 178 imum caused by the South Pacific Convergence Zone (SPCZ) is also off-  
 179 set from the  $e - p$  minimum due to Ekman transport.

## 180 2.2 Mass transport in the ocean

181 The net freshwater flux ( $E - P - R$ ) can be estimated by completely  
 182 independent means from oceanographic data alone. Consider the integral  
 183 of the the mass continuity equation for the ocean over a fixed volume  $V$   
 184 between latitudes  $\phi_N$  and  $\phi_S$  and from the western to eastern boundaries  
 185 of an ocean basin:

$$\frac{dM}{dt} + \oint_{\partial V} \rho \mathbf{u} \cdot \mathbf{n} dA = 0 \quad (2)$$

186 where  $M = \iiint_V \rho dV$ ,  $\partial V$  is the boundary of the volume and  $\mathbf{n}$  is the  
 187 outward-facing normal vector. Assuming steady state, eq. (2) can be rewrit-  
 188 ten as:

$$P - E + R = \iint_{\phi_N} \rho \mathbf{u} \cdot \tilde{\mathbf{n}} dx dz - \iint_{\phi_S} \rho \mathbf{u} \cdot \tilde{\mathbf{n}} dx dz \quad (3)$$

189 where  $\tilde{\mathbf{n}}$  is the northward-pointing normal vector. This simply states that  
190 the difference between the flux across two longitude-height sections is  
191 equal to the net (integrated) input of water at the ocean’s surface be-  
192 tween the sections,  $P - E = \iint_{\text{surf}}(p - e)dxdy$  ( $e$  and  $p$  as in eq. (1)),  
193 plus runoff  $R$  into the ocean basin. The latter is effectively the integrated  
194 flux across the western and eastern boundaries.

### 195 **2.3 Oceanographic method to estimate freshwater transport**

196 The mass balance equation (3) allows the calculation of  $P - E + R$  from  
197 the mass fluxes through two sections. This method can be applied pre-  
198 cisely in a General Circulation Model where the velocity field is known  
199 with high accuracy. On hydrographic sections, however, temperature,  
200 salinity and other tracers are measured at a range of depths at locations  
201 along a ship’s route, but velocities are not. Horizontal velocities are esti-  
202 mated from thermal wind balance and determination of a reference veloc-  
203 ity. Uncertainties in this method are so large that a direct estimation of  
204  $E - P - R$  from the mass balance eq. (3) is impractical on hydrographic  
205 sections. The uncertainty in estimates of  $E - P - R$  can be significantly  
206 reduced by combining the mass balance with the salinity balance (Wijf-  
207 fels, 2001; Ganachaud and Wunsch, 2003; Talley, 2008).

208 Integration of the salt budget over a fixed volume, assuming that

209 any sources of salt are negligible (Wijffels *et al.*, 1992), gives:

$$\frac{\partial M_s}{\partial t} + \oint_{\partial V} \rho s \mathbf{u} \cdot \mathbf{n} \, dA = 0 \quad (4)$$

210 where the mass of salt  $M_s = \iiint_V \rho s dV$  with salinity  $s$ . In steady state,  
 211 eq. (4) becomes

$$\iint_{\phi_N} \rho s \mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz - \iint_{\phi_S} \rho s \mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz = 0. \quad (5)$$

212 The mass and salt balances, eqs. (3) and (5), can be combined using a  
 213 reference salinity  $s_0$  to re-scale the salt budget:

$$P - E + R = \iint_{\phi_N} \left(1 - \frac{s}{s_0}\right) \rho \mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz - \iint_{\phi_S} \left(1 - \frac{s}{s_0}\right) \rho \mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz. \quad (6)$$

214 This equation uses two observed properties (temperature and salinity)  
 215 from hydrographic sections. As pointed out by Ganachaud and Wunsch  
 216 (2003), uncertainties associated with estimation of  $P - E + R$  (eq. (6))  
 217 are about one order of magnitude lower than attempting to estimate the  
 218 same quantity directly from (3). Note also that, in practice, the choice of  
 219  $s_0$  has little impact on the freshwater transport estimates (Talley, 2008).

220 When using eq. (6), the northern section is often set at the Bering  
 221 Strait and the expression is approximated assuming a uniform salinity  
 222  $s_{BS}$  across the strait, yielding:

$$P - E + R = T_{BS} \left(1 - \frac{s_{BS}}{s_0}\right) - \iint_{\phi_S} \left(1 - \frac{s}{s_0}\right) \rho \mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz \quad (7)$$

223 where  $T_{\text{BS}}$  is the net (northward) mass transport across the Bering Strait.  
 224 Note that for a south section  $\phi_S$  in the Pacific,  $T_{\text{BS}}$  is positive (i.e. north-  
 225 ward/outward of the domain defined by the two sections), but is nega-  
 226 tive for in the Atlantic (i.e. inward flux into the domain). The first term  
 227 on the right-hand side of eq. (7) is sometimes referred to as the Bering  
 228 Strait “leakage”.

229 Variants of eq. (6) (or eq. (7)) are found in the literature. Wijffels  
 230 (2001) sets the reference salinity equal to the mean salinity along each  
 231 section and works with the salinity anomalies about the mean salinity.  
 232 Wijffels *et al.* (1992) do not use a reference salinity when combining the  
 233 mass and salt budgets, but rather express the salinity in kg of salt per kg  
 234 of water:

$$(P - E + R) = \iint_{\phi_N} (1 - s)\rho\mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz - \iint_{\phi_S} (1 - s)\rho\mathbf{u} \cdot \tilde{\mathbf{n}} \, dx dz \quad (8)$$

235 defining a true freshwater transport *i.e.* the part of the ocean transport  
 236 which is “fresh”. However, eq. (8) is heavily weighted towards the mass  
 237 balance since  $s \sim 0.035 \ll 1$ , and so this method has the same limita-  
 238 tions as the pure mass balance eq. (3).

### 239 **3 Datasets**

240 We compare estimates of  $E - P - R$  (*i.e.* positive into the atmosphere)  
241 from seven different datasets. We do not aim to be exhaustive in our choice,  
242 but rather to include a range of methods available for such computations  
243 at the global scale. Importantly, these estimates include methods rely-  
244 ing nearly exclusively on atmospheric or oceanographic data, while other  
245 methods combine measurements from both fluids. Note that Wijffels *et al.*  
246 (1992) calculated the first global distribution of freshwater transport us-  
247 ing the results of Baumgartner and Reichel (1975) for  $E$ ,  $P$  and  $R$  in  $5^\circ$   
248 latitude bands. However, this estimate produced a strongly negative value  
249 of  $E - P - R$  for the Pacific. This was shown by Wijffels (2001) to be in-  
250 correct: it is likely the result of poor or sparse observations from Baum-  
251 gartner and Reichel (1975). Estimates from Wijffels *et al.* (1992) will there-  
252 fore not be discussed further.

#### 253 **3.1 Atmospheric reanalysis**

254 We use monthly mean data from the ERA-Interim reanalysis dataset from  
255 the ECMWF (European Centre for Medium-Range Weather Forecasts)  
256 for the years 1979-2014 (Dee *et al.*, 2011). The data are on a full N128  
257 Gaussian grid at  $0.75^\circ \times 0.75^\circ$  horizontal resolution and with 60 verti-

258 cal levels. ERA-Interim uses a 4D-VAR data assimilation scheme with  
259 12-hourly analysis cycles which combine observations with prior infor-  
260 mation from the model. Pressure level parameters are provided every 6  
261 hours and surface parameters are provided every 3 hours.

262 ERA-Interim allows for  $E - P$  to be calculated in two ways: from  
263  $\text{div}\mathbf{Q}$  using eq. (1) and from separate evaporation and precipitation fields  
264 which are output as the accumulated (time-integrated) fluxes at the lower  
265 boundary over each forecast. In the reanalysis system the forecasts are  
266 restarted every 12 hours from the previous analysis. Many studies have  
267 used  $\text{div}\mathbf{Q}$  to calculate  $E - P$  (*e.g.* Seager and Henderson, 2013; Brown  
268 and Kummerow, 2014) but Berrisford *et al.* (2011) points out that the  
269 difference between  $\text{div}\mathbf{Q}$  and  $E - P$  from the forecast model is small when  
270 averaged globally so when  $E - P$  is integrated over an ocean basin only a  
271 small difference should be expected between the  $\text{div}\mathbf{Q}$  and forecast model  
272 calculations. Here, values of  $E - P$  ( $\text{div}\mathbf{Q}$ ) from ERA-Interim will be  
273 combined with run-off estimates  $R$  from Dai and Trenberth (2002) (see  
274 below).

275 Dai and Trenberth (2003) estimated freshwater transports using  $P -$   
276  $E$  from ECMWF (1979-1993) and NCEP/NCAR (1979-1995) reanaly-  
277 ses along with improved estimates of  $R$  from Dai and Trenberth (2002).  
278 These improved estimates of  $R$  were calculated from streamflow data for



279 the world's 921 largest rivers at the furthest downstream gauge station  
280 which were then extrapolated to the river mouth. By extrapolating to  
281 the river mouth total global runoff was increased by 19% compared to  
282 previous datasets. By using reanalysis  $P - E$  and the new  $R$  dataset  
283 (along with the same transport of 0.794 Sv ( $1 \text{ Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$ ) as used  
284 by Wijffels *et al.* (1992) at the Bering Strait), Dai and Trenberth (2003)  
285 showed that the southward freshwater transport at all latitudes in the  
286 Atlantic and northward transport in the South Pacific are stronger than  
287 shown by Wijffels *et al.* (1992).

### 288 **3.2 Independent estimates of $e$ and $p$**

289 The oceanic freshwater budget was quantified by Schanze *et al.* (2010)  
290 using atmospheric data from independent sources for surface freshwater  
291 fluxes. GPCP (Global Precipitation Climatology Project, Adler *et al.*,  
292 2003) was used for precipitation and OAFlux (Objectively Analyzed air-  
293 sea Fluxes; Yu and Weller, 2007) for evaporation for the period 1987-  
294 2006, with the Dai and Trenberth (2002) runoff. Freshwater transports  
295 were estimated by integrating  $e - p - r$  meridionally over each basin.  
296 A transport of 0.8 Sv is used at the Bering Strait and iceberg forcings of  
297 0.01 and 0.06 Sv are added near Greenland and Antarctica respectively.  
298 This method leaves an imbalance of 0.32 Sv at  $55^\circ\text{S}$  which could not be

299 constrained to a particular basin.

### 300 **3.3 Hydrographic sections**

301 Ganachaud and Wunsch (2003) used geostrophic inverse box modeling  
302 on hydrographic sections from the World Ocean Circulation Experiment  
303 (WOCE) to estimate  $E - P - R$  from ocean transports using eq. (6).

304 The model used determines a high-resolution geostrophic velocity field to  
305 ensure that the circulation allows for near-conservation of mass, heat and  
306 salt. Four sections were used in both the Atlantic and Pacific and three  
307 used in the Indian Ocean. The Indonesian Throughflow (ITF) transport  
308 was  $15 \pm 5$  Sv from the 1989 JADE section (Ganachaud *et al.*, 2000).

309 Note that using data from hydrographic sections has the effect of alias-  
310 ing ocean variability as each section was recorded in a different month  
311 and/or a different year. For complete details of the routes and dates of  
312 each section see Fig. 1 in Ganachaud and Wunsch (2003).

313 Talley (2008) used absolute geostrophic velocity analyses from hy-  
314 drographic sections by J. Reid, combined with Ekman transports using  
315 NCEP reanalysis winds to estimate freshwater transports using eq. (7).  
316 Geostrophic reference velocities were adjusted to ensure mass balance  
317 through each section. A reference salinity of  $s_o = 34.9$  g/kg was used  
318 and the transports through the Bering Strait and the ITF were set to 1

319 Sv and 10 Sv respectively.

### 320 **3.4 Ocean reanalysis**

321 Valdivieso *et al.* (2014) computed freshwater transports from the Uni-  
322 versity of Reading UR025.4 ocean reanalysis (1993-2010) at  $1/4^\circ$  resolu-  
323 tion. This reanalysis uses a variational method with the NEMO ocean  
324 modelling framework to constrain the ocean state by numerous obser-  
325 vations (AVISO, Argo, etc.). The simulation is forced by ERA-Interim  
326 atmospheric reanalysis at the ocean surface and the Dai and Trenberth  
327 (2002) runoff at the land mask edge. Note that the  $e$  field used to force  
328 the model is not taken from the ERA-Interim reanalysis, but recomputed  
329 as a function of the modeled SST. In addition,  $E - P - R$  estimates  
330 from Valdivieso *et al.* (2014) include increments from the data assimila-  
331 tion method, *i.e.* it is assumed that assimilation increments to the ocean  
332 state, required by oceanic observations, represent errors in the surface  
333 forcing.

334 The “Estimating the Circulation and Climate of the Ocean” project  
335 version 4 (ECCOV4; Forget *et al.*, 2015) uses an adjoint-based method at  
336  $\sim 1^\circ$  resolution with the MITgcm to fit the time-evolving (1992-2011)  
337 ocean state to numerous observations (WOCE sections, Argo, sea level  
338 anomalies, sea ice concentration, satellite SST products, etc). Freshwater

339 transport divergences shown here are computed using eq. (3). Note that,  
340 as for the UR025.4 ocean reanalysis, atmospheric variables from ERA-  
341 Interim are used to compute air-sea fluxes (from bulk formulae and the  
342 simulated ocean state) and that they are adjusted as part of the opti-  
343 mization procedure to fit the modeled trajectory to ocean observations.

#### 344 **4 Comparison of $E - P - R$ estimates**

345 In this section we compare the seven datasets described in section 3 and  
346 shown in Figs. 2 and 3. To recap, the estimates from ERA-Interim and  
347 Dai and Trenberth (2003) (ERA-40) combine atmospheric reanalyses with  
348 the Dai and Trenberth (2002) runoff estimate. Schanze *et al.* (2010) also  
349 uses atmospheric data, with  $E$  and  $P$  coming from separate datasets.  
350 Valdivieso *et al.* (2014) and ECCOV4 are both based on ocean reanaly-  
351 ses while Ganachaud and Wunsch (2003) and Talley (2008) use ocean-  
352 graphic observations alone.

353 Fig. 2 shows  $E - P - R$  for the Atlantic, Pacific and Indian basins for  
354 each dataset described in section 3; panel (a) corresponds approximately  
355 to the latitudinal band 35°S-45°N and panel (b) to 35°S-65°N. The exact  
356 latitudinal boundaries used in calculating each estimate are shown in Ta-  
357 ble 1. Error bars are shown for most of the estimates although Dai and

358 Trenberth (2003) and Schanze *et al.* (2010) did not provide any estimates  
359 of uncertainty. The error bars on the ERA-Interim based estimates are  
360 a combination of interannual variability and the  $\text{div}\mathbf{Q} - (E - P)$  resid-  
361 ual using the error in quadrature method. The uncertainties presented  
362 by Ganachaud and Wunsch (2003) include uncertainties in the Ekman  
363 transport (set to 50% of the initial value) and model error which is domi-  
364 nated by aliasing of ocean variability (see section 3.3). Talley (2008) used  
365 a Monte Carlo approach to estimate the errors in the Ekman and geostrophic  
366 components of freshwater transports. For a full discussion of the error  
367 calculations performed, refer to section 2.3 of Talley (2008). The uncer-  
368 tainties presented for the ECCOv4 estimate represent interannual vari-  
369 ability of the freshwater divergences. Valdivieso *et al.* (2014) presented  
370 uncertainties which represent interannual variability in the eddy and through-  
371 flow components of freshwater transport.

372 All estimates show that the Atlantic has a higher  $E - P - R$  than  
373 the Pacific at both latitude ranges. Most of the estimates suggest that  
374 Indian  $E - P - R$  is almost as high as the Atlantic in (a), with two sug-  
375 gesting that the Indian  $E - P - R$  is greater. Most studies suggest that  
376 the Pacific has a low  $E - P - R$  for the latitude range in (a) except for  
377 Schanze *et al.* (2010) who find a high  $E - P - R$  value for the Pacific that  
378 is close to the Atlantic values. ERA-Interim matches ERA-40 (Dai and

379 Trenberth, 2003) in the Atlantic and Pacific and has higher  $E - P - R$   
380 in the Indian Ocean. The error bars are small, indicating that the bud-  
381 get residual and interannual variability of ERA-Interim  $E - P$  is low  
382 and that the asymmetry between Atlantic and Pacific is steady in time.  
383 The larger error bars for the Pacific suggest that interannual variability  
384 of  $E - P$  is higher or that the budget residual is higher (or a combina-  
385 tion of both). The oceanographic estimates of Ganachaud and Wunsch  
386 (2003) and Talley (2008) match within their uncertainty estimates in all  
387 basins. The ECCOv4 estimate agrees remarkably well with the ERA-  
388 Interim estimate in all basins. Valdivieso *et al.* (2014), however, is con-  
389 sistently higher than all other estimates apart from Schanze *et al.* (2010)  
390 in the Pacific.

391 When extending the domain further north (Fig. 2b), the asymmetry  
392 between the Atlantic and Pacific oceans becomes stronger as three of the  
393 estimates indicate that the Pacific has negative  $E - P - R$  while the At-  
394 lantic  $E - P - R$  remains positive in all estimates. Talley (2008) actually  
395 finds that Atlantic  $E - P - R$  *increases* with the northward extension  
396 of the domain (see below). Note that Valdivieso *et al.* (2014) gives lower  
397  $E - P - R$  than both atmospheric reanalyses and ECCOv4 possibly due  
398 to the more northerly extent used (see Table 1). Overall, the estimates  
399 are consistent in highlighting the differences in  $E - P - R$  between ocean

400 basins.

401 In order to see whether the differences between basins is found (and  
402 robust) at smaller scale,  $E - P - R$  in latitude bands are shown in Fig.  
403 3. The size of these bands is limited by the resolution of the Ganachaud  
404 and Wunsch (2003) and Talley (2008) estimates which are based on the  
405 routes taken by ships collecting the hydrographic sections. In the midlat-  
406 itude North Atlantic, Talley (2008) produces a band with positive  $E -$   
407  $P - R$  whereas the other estimates give negative values. This explains  
408 why the basin-integrated  $E - P - R$  from Talley (2008) increases when  
409 the domain is extended to  $60^\circ\text{N}$  in Fig. 2(b). Inspection of  $e - p$  (Fig. 1)  
410 shows net precipitation poleward of  $45^\circ\text{N}$  in all basins. This value for the  
411 North Atlantic from Talley (2008) is clearly an outlier although there is  
412 a large uncertainty for that band. ERA-Interim and Dai and Trenberth  
413 (2003) are well matched in the midlatitude North Atlantic but ERA-Interim  
414  $E - P - R$  is greater in the northern and southern subtropics with the  
415 opposite occurring in the tropics. ECCOv4 agrees well with ERA-Interim  
416 throughout the Atlantic but has notably lower  $E - P - R$  in the southern  
417 hemisphere subtropics. The error bars on the ERA-Interim  $\text{div}\mathbf{Q}$ , how-  
418 ever, are somewhat larger in these bands than in the northernmost band  
419 due to residuals which are an order of magnitude larger. It is also impor-  
420 tant to note that these estimates are all taken over different time periods

421 so important events may have been missed out.

422 In the northern hemisphere subtropical Pacific (Fig. 3b) both atmo-  
423 spheric reanalyses (and NCEP, not shown) show weak positive  $E - P - R$   
424 while four of the other estimates are negative (ECCOv4 is indistinguish-  
425 able from zero). The strongly positive Pacific  $E - P - R$  (in comparison to  
426 other estimates) from Schanze *et al.* (2010) shown in Fig. 2 is mainly due  
427 to a tropical band which has  $E - P - R = 0$ . The other estimates suggest  
428 that the tropical band has negative  $E - P - R$  with the atmospheric re-  
429 analyses producing stronger negative  $E - P - R$  than Ganachaud and  
430 Wunsch (2003) and Valdivieso *et al.* (2014). From 47°N to the Bering  
431 Strait each estimate agrees that the Pacific has negative  $E - P - R$  al-  
432 though it is worth noting that the estimates based on atmospheric data  
433 give values of  $E - P - R$  which are more negative than the oceanographic  
434 estimates.

435 In the Indian Ocean (Fig. 3c) the atmospheric reanalyses do not  
436 agree as closely as they do over the other ocean basins. This difference  
437 appears to occur over the southern part of the ocean and may be a direct  
438 result of the different bands used (Table 1) which may also contribute to-  
439 wards ERA-Interim having the highest  $E - P - R$  overall in that band.  
440 The two atmospheric reanalysis products agree much closer in the other  
441 two bands but there is more disagreement between the estimates in these



442 bands (despite falling within error bars). One reason for this may be that  
443 the oceanographic estimates based on hydrographic sections do not rep-  
444 resent climatology and are therefore significantly biased by various fac-  
445 tors affecting the freshwater transport such as ITCZ location and wind  
446 speed. The different values of the ITF transport used by Ganachaud and  
447 Wunsch (2003) and Talley (2008) may also be a factor in the large dif-  
448 ferences between these estimates. All estimates are in good agreement in  
449 the subtropics with a range of approximately  $E - P - R = 0.15$  Sv.

450 A key outcome of the above analysis is that the net freshwater flux  
451  $E - P - R$  from ERA-Interim  $\text{div}\mathbf{Q}$  combined with Dai and Trenberth  
452 (2002) runoff agrees well with other estimates, both at basin scale and  
453 in latitude bands. We use this as a basis for further analyzing the ERA-  
454 Interim fields.

## 455 **5 ERA-Interim $E$ and $P$**

456 As shown in section 4, the globally averaged residual between  $\text{div}\mathbf{Q}$  and  
457  $E - P$  from time-average surface accumulated forecasts is small in ERA-  
458 Interim. The 1979-2014 annual mean globally-averaged residual is  $0.06 \pm$   
459  $0.3$  mm/day which is an order of magnitude higher than the residual of  
460  $0.003 \pm 0.3$  mm/day calculated by Berrisford *et al.* (2011) for a shorter

461 time period (1989-2008). Residuals at the scale of ocean basins (Table 2)  
462 are also small and on the same order of magnitude as the global average.  
463 Additionally, basin-averaged residuals for both oceans are only small per-  
464 centages of basin-averaged  $E$  and  $P$  (less than 3%). In the Atlantic the  
465 residual does not affect the sign of  $E - P - R$  estimates (cf error bars in  
466 Fig. 2) but since the Pacific basin-averaged  $E - P$  is close to zero, the  
467 sign of the net  $E - P - R$  is therefore rendered uncertain (Fig. 2).

468 Estimates of the partition of  $E - P$  into separate evaporation and  
469 precipitation estimates over the global oceans are known to be 8-9% too  
470 large in ERA-interim (Berrisford *et al.*, 2011) and they are also overes-  
471 timated in other reanalyses (Trenberth *et al.*, 2011). Brown and Kum-  
472 merow (2014) point out that this problem is particularly marked in trop-  
473 ical regions although this has improved from ERA-40 (Dee *et al.*, 2011).  
474 They suggest that observations of near-surface specific humidity from  
475 ships and buoys have a dry bias which results in an overestimation of  
476 evaporation and therefore precipitation. In the extratropics, however,  
477 precipitation tends to be underestimated. For example, England and Wales  
478 precipitation in ERA-Interim is only 72% of the observed rainfall (de Leeuw  
479 *et al.*, 2015), with similar results found for other countries at the end of  
480 the North Atlantic storm track.

481 We will now use the separate  $E$  and  $P$  fields (instead of  $\text{div}\mathbf{Q}$ ) to

482 further analyze the Atlantic/Pacific asymmetry.

### 483 5.1 Annual mean latitude bands

484 Fig. 4 shows the net freshwater flux and its constituent parts split into  
485  $10^\circ$  latitude bands from  $30^\circ\text{S}$  to  $60^\circ\text{N}$ . Here, the fluxes are area-weighted  
486 averaged in each band to allow for a more meaningful comparison be-  
487 tween ocean basins (e.g. a band in the tropical Pacific has much larger  
488 area than a band in the tropical Atlantic). Area-averaged evaporation,  
489 precipitation and runoff are denoted by  $\bar{e}$ ,  $\bar{p}$  and  $\bar{r}$  respectively.

490 From Fig. 4 it is clear that, within each basin,  $\bar{p}$  is more variable  
491 than  $\bar{e}$  across latitudinal bands, with peaks in the deep tropics showing  
492 the location of the ITCZ. Evaporation decreases with latitude in the north-  
493 ern hemisphere, reflecting the influence of SST on evaporation (D’Addezio  
494 and Bingham, 2014) as well as the lower relative humidity characteristic  
495 of the subtropical atmosphere (due to air coming from neighbouring con-  
496 tinents and descending into the boundary layer in the subtropical highs).  
497 In the Atlantic (Fig. 4a), runoff has a particularly large impact on the  
498 net surface flux: despite  $\bar{e}$  exceeding  $\bar{p}$  in the  $0^\circ\text{S}$ - $10^\circ\text{S}$  band, the net flux  
499 is negative because of large runoff ( $\bar{r}$ ) from rivers such as the Amazon  
500 and Congo.

501 To further localize the asymmetries seen at large scale (Figs. 2 and

3), the differences (Pacific minus Atlantic) are shown in Fig. 5. The most noticeable asymmetry is that Pacific  $\bar{p}$  exceeds Atlantic  $\bar{p}$  in almost all latitudes with the difference peaking slightly above 100 cm/yr in the 20-10°S band, likely due to the presence of the SPCZ. Note that south of 30°N  $\bar{e}$  is remarkably similar in both ocean basins.

In the 50°N-60°N band, Atlantic  $\bar{p}$  is 15 cm/yr greater than in the Pacific. Note that this result is sensitive to the choice of the latitudinal extents: for slightly larger bands (Emile-Geay *et al.*, 2003; Wills and Schneider, 2015),  $\bar{p}$  is similar across basins and  $\bar{e}$  is greater in the Atlantic than the Pacific. Polewards of 40°N the Atlantic  $\bar{e}$  exceeds the Pacific  $\bar{e}$  by about 20 cm/yr: this is likely related to higher SSTs in the North Atlantic than the North Pacific (Warren, 1983) and the greater fraction of the North Atlantic affected by the advection of cold, dry air from the continents (Schmitt *et al.*, 1989). Wills and Schneider (2015) argued that the asymmetry in the subpolar regions is primarily due to moisture fluxes from transient eddies which cause negative  $E-P$  over the subpolar North Pacific and positive  $E - P$  over the subpolar North Atlantic. The total runoff into the Atlantic is greater than into the Pacific with most of the difference between the two basins occurring in the 0°-10°N and 10°S-0° bands where some of the world's largest rivers can be found. The mouths of the two largest (Amazon and Congo) plus three of the top twenty are

523 in the band to the south of the equator (Dai and Trenberth, 2002). The  
524 Orinoco (third largest) and three more of the top forty discharge into the  
525 Atlantic band immediately north of the equator.

526 Although a larger  $\bar{e}$  is found in the North Atlantic than in the North  
527 Pacific, the asymmetry in the net freshwater flux across the basins is mostly  
528 caused by an asymmetry in  $\bar{p}$ , *i.e.* relatively stronger precipitation in the  
529 Pacific. There are only three  $10^\circ$  bands where Pacific  $\bar{e} - \bar{p} - \bar{r}$  is greater.  
530 Two of which ( $10^\circ\text{S}-0^\circ$  and  $0^\circ-10^\circ\text{N}$ ) are a result of the strong asymmetry  
531 in  $\bar{r}$  (masking a large precipitation excess in the Pacific) and the other is  
532 the narrow northern most band in the Pacific which contributes very lit-  
533 tle to the basin-averaged net flux. Note that despite the fact that these  
534 bands have less negative  $\bar{e} - \bar{p} - \bar{r}$  in the Pacific, the salinity asymmetry  
535 still holds at all latitudes.

## 536 5.2 Seasonal variation

537 Fig. 6 shows the seasonal cycle of  $\bar{e}$  and  $\bar{p}$  for each ocean basin; the maps  
538 of the climatological seasonal means of  $e - p$ ,  $e$  and  $p$  are shown in Figs.  
539 7-9. Atlantic and Pacific mean evaporation rates are very similar (and  
540 quite constant at  $\sim 4$  mm/day). There is however a substantially lower  
541 precipitation rate in the Atlantic than in the Pacific, with Atlantic  $\bar{p}$  near  
542 2.5 mm/day compared to 4 mm/day in the Pacific. These features are

543 present throughout the year, with the  $\bar{e} - \bar{p}$  always positive over the At-  
544 lantic and always close to zero over the Pacific. In the Pacific,  $\bar{e}$  and  $\bar{p}$   
545 have similar annual cycles with a decrease from January to May followed  
546 by an increase during the rest of the year. The annual cycle of  $\bar{e}$  has a  
547 similar amplitude ( $\sim 0.7$  mm/day) in both basins but the amplitude of  $\bar{p}$   
548 is weaker in the Pacific ( $\sim 0.5$  mm/day compared to  $\sim 0.8$  mm/day).

549 These effects are also reflected in the spatial pattern of seasonal  $e-p$   
550 which largely follows the spatial pattern of precipitation (Figs. 7-9). The  
551 subtropical regions (where  $e - p > 0$ ) are characterized by a lack of pre-  
552 cipitation in all seasons with the shape and size of the region of positive  
553  $e - p$  approximately matching the shape and size of the regions with  $p <$   
554  $2$  mm/day. Seasonal variations of evaporation (Fig. 8) are most notice-  
555 able in the subtropical maxima and in the peaks over western boundary  
556 currents. Both oceans show maxima of evaporation in the northern hemi-  
557 sphere winter which is a result of increased wind speeds and the lower  
558 relative humidity. The advection of dry (subsaturated) winter air from  
559 continents to the oceans maintains high rate of evaporation, and there-  
560 fore high wintertime latent heat flux, over the western part of basins, and  
561 notably over Western Boundary Currents such as the Gulf Stream and  
562 Kuroshio (Yu and Weller, 2007).

563 Further decomposing the seasonal cycle into latitudinal bands shows

564 that the October/November peak in Atlantic  $\bar{p}$  occurs in the northern  
565 hemisphere (Fig. 10b). During autumn the water vapour content of the  
566 subtropics is higher due to increased evaporation (Fig. 8d) and this is  
567 picked up by the storm tracks leading to increased meridional water vapour  
568 transport. D’Addezio and Bingham (2014) also attribute the autumn  
569 peak in subtropical North Atlantic precipitation to African easterly wave  
570 activity and tropical storm activity. Wang *et al.* (2013) highlights the  
571 influence of seasonal cycle of SSTs and the Atlantic Warm Pool (AWP)  
572 area, both of which peak in September along with  $\bar{p}$  in the 15°N-35°N  
573 band (the AWP is a region of SST > 28.5°C in the western tropical North  
574 Atlantic, 5°N-30°N). A minimum of SSS also occurs in the AWP region  
575 in September with a maximum in March when the AWP disappears (a  
576 month after the  $E - P$  maximum). Initially the peak is in the subtrop-  
577 ics but is later maintained at higher latitudes in winter (Figs. 9a,d). The  
578 double peak in tropical Atlantic precipitation is a due to the seasonal  
579 migration of the ITCZ which dominates the tropical SSS seasonal cycle  
580 (Boyer and Levitus, 2002).

581 The annual cycle of Pacific  $\bar{p}$  (Fig. 11(b)) is also dominated by the  
582 northern hemisphere (reflecting the fact that most of the domain used  
583 to define the Pacific in this study is in the northern hemisphere), with  
584 the May-July minimum occurring in the midlatitudes due to a relatively

585 weak storm track. The peaks in  $\bar{p}$  in the northern subtropics in August  
586 and during winter in the midlatitudes are due to the same process found  
587 in the subtropical North Atlantic at the same times of year.

### 588 5.3 Interannual variability

589 The interannual variability of evaporation, precipitation,  $\bar{e} - \bar{p}$  and  $\text{div}\mathbf{Q}$   
590 are shown along with the GPCP estimate of precipitation (Adler *et al.*,  
591 2003) as anomalies from their respective annual means in Fig. 12. Pre-  
592 cipitation time series are shown as  $-\bar{p}$  in order to simplify the compar-  
593 ison with  $\bar{e} - \bar{p}$  and  $\text{div}\mathbf{Q}$ . Until 2002, ERA-Interim precipitation ap-  
594 pears to match GPCP variability well (particularly over the Atlantic)  
595 but the two datasets differ significantly in 2002-06. This is particularly  
596 evident over the Pacific where ERA-Interim  $-\bar{p}$  increases sharply while  
597  $-\text{GPCP}$  does not. This shift in precipitation is due to a problem with  
598 the assimilation of rain-affected radiances that caused an incorrect dry-  
599 ing of the atmosphere (Dee *et al.*, 2011). Note the large offset between  
600  $\text{div}\mathbf{Q}$  and  $\bar{e} - \bar{p}$  in the Pacific (Fig. 12b). ERA-Interim does, however,  
601 capture some of the El Niño-driven variability *i.e.* the 1997-98 El Niño  
602 is shown by a dip in  $-\bar{p}$  by both ERA-Interim and GPCP. The Atlantic  
603 appears to be less affected by the assimilation problems: the GPCP vari-  
604 ability from 2004-06 is reproduced in  $-\bar{p}$  while still offset from  $-\text{GPCP}$



605 by  $\sim 3$  cm/yr. ERA-Interim also successfully reproduces the large  $-\bar{p}$   
606 decrease (a subsequent decrease in  $\bar{e} - \bar{p}$ ) in 2010 associated with a record  
607 low North Atlantic Oscillation (NAO) Index and a 30% reduction in the  
608 AMOC (Roberts *et al.*, 2013; Bryden *et al.*, 2014). Increases in the area  
609 of the AWP on interannual timescales are shown to reduce  $E - P$  due  
610 to increased SSTs and therefore increased moisture convergence into the  
611 region resulting in increased precipitation (Wang *et al.*, 2013). This then  
612 causes negative SSS anomalies which Wang *et al.* (2013) speculated may  
613 have an impact on the strength of the AMOC.

614 Evaporation appears to be less variable than precipitation in both  
615 basins and contributes less to the variability of ERA-Interim  $\bar{e} - \bar{p}$ . In  
616 the Pacific, however, evaporation changes contribute significantly to  $\bar{e} -$   
617  $\bar{p}$  changes during the events such as the 1997-98 El Niño. This El Niño  
618 event is known to have caused an SSS decrease in the western equatorial  
619 Pacific and an SSS increase around the SPCZ, with precipitation consid-  
620 ered to be one of the main mechanisms responsible for these SSS changes  
621 (Singh *et al.*, 2011). Increasing trends in  $\bar{e}$  are evident in both basins through-  
622 out the ERA-Interim period. The Pacific trend is stronger than the At-  
623 lantic trend, with  $\bar{e}$  increasing at a rate of 3.4 mm/yr/yr (least-squares  
624 linear fit) compared to 2.0 mm/yr/yr in the Atlantic. Increasing trends  
625 in oceanic evaporation are also present in other datasets (Iwasaki *et al.*,

626 2014; Su and Feng, 2015). Yu and Weller (2007) show that latent heat  
 627 flux has increased in line with SSTs, resulting in an increase in evapo-  
 628 ration rate of approximately 10 cm/yr from 1986-2005. This value com-  
 629 pares well with ERA-Interim (Fig. 12) for the same period over the Pa-  
 630 cific. As well as increasing SSTs, increasing wind speed has also been  
 631 noted to contribute to increasing evaporation rates (Yu, 2007; Iwasaki  
 632 *et al.*, 2014). Column-integrated water vapour has also been increasing as  
 633 shown by the Special Sensor Microwave Imager (SSM/I), a trend which  
 634 is well represented by reanalyses (Zhang *et al.*, 2013). Such an increase  
 635 in column-integrated water vapour would require a corresponding increase  
 636 in oceanic evaporation. This suggests that, unlike the precipitation trends,  
 637 evaporation trends in ERA-Interim may be real and capture a physical  
 638 change (although Brown and Kummerow (2014) show that ERA-Interim  
 639 overestimates tropical evaporation).

640 Table 3 shows the correlations of  $\bar{e}$ ,  $-\bar{p}$ ,  $\bar{e} - \bar{p}$  with  $\text{div}\mathbf{Q}$  and the  
 641 standard deviations of each field. The correlations highlight the incon-  
 642 sistencies between the two methods of calculating the surface water flux.  
 643 The moisture flux divergence is better correlated with  $\bar{e} - \bar{p}$  over the At-  
 644 lantic than the Pacific. In particular,  $-\bar{p}$  and  $\text{div}\mathbf{Q}$  are poorly correlated  
 645 over the Pacific, as expected from Fig. 12b. The standard deviations show  
 646 that all Pacific fluxes are more variable than the Atlantic fluxes, with  $-\bar{p}$

647 showing more interannual variability than  $\bar{e}$  over each ocean. Table 3 also  
648 shows that the asymmetry in  $\bar{p}$  discussed in section 5.2 is also steady on  
649 interannual time scales, with Pacific  $\bar{p}$  exceeding Atlantic  $\bar{p}$  by approxi-  
650 mately 40 cm/yr (not shown).

651 Although Fig. 12 also shows that  $\bar{e} - \bar{p}$  mainly follows the interan-  
652 nual variability of  $-\bar{p}$ , the variability and trends in ERA-Interim are, as  
653 discussed above, not robust. That said, in the Atlantic before 2002 when  
654 ERA-Interim  $\bar{p}$  matches GPCP well (correlation coefficient of 0.82),  $-\bar{p}$   
655 correlates with  $\text{div}\mathbf{Q}$  better than with  $\bar{e}$  (0.59 with  $-\bar{p}$  over both oceans  
656 and 0.11 and 0.32 for  $\bar{e}$  in the Atlantic and Pacific respectively). This  
657 suggests that  $\bar{p}$  may well dominate  $\bar{e} - \bar{p}$  variability in the Atlantic (at  
658 least before 2002). In the Pacific, correlation between ERA-Interim and  
659 GPCP before 2002 are poorer (only 0.43), and the dominant factor in  
660 variability cannot be deduced.

## 661 **6 Summary and Conclusions**

662 In this paper, we compare seven estimates of the net freshwater flux ( $E -$   
663  $P - R$ ) over oceans, with a focus on the  $E - P - R$  asymmetry be-  
664 tween the Atlantic and Pacific oceans. Using ERA-Interim, which com-  
665 pares favourably with other estimates, we proceed on exploring the At-

666 lantic/Pacific asymmetry on spatial ( $10^\circ$  latitudinal bands) and temporal  
667 (seasonal, interannual) scales not accessible with some other datasets as  
668 well as investigating the role of precipitation, evaporation and runoff sep-  
669 arately on the  $E - P - R$  asymmetry. Our key findings are:

- 670 1. Net surface water fluxes estimated from atmospheric reanalyses are  
671 consistent with the ocean temperature and salinity observations used  
672 to estimate net freshwater fluxes from hydrographic section data.  
673 Both are also consistent with other datasets including recent ocean  
674 reanalyses. All estimates show that the Atlantic has greater positive  
675  $E - P - R$  than the Pacific. Pacific  $E - P - R$  is approximately 0  
676 Sv when the subpolar region is included and is approximately 0.4 Sv  
677 less than Atlantic  $E - P - R$ . Agreement between datasets is less  
678 strong in smaller latitude bands, however the  $E - P - R$  still holds  
679 in the tropics and northern hemisphere although not in the southern  
680 hemisphere subtropics (due to the larger area of the Pacific).
- 681 2. We also find that ERA-Interim  $\text{div}\mathbf{Q}$  and  $E - P$  from surface forecast  
682 accumulations agree well when averaged globally or across ocean basins  
683 (consistent with Berrisford *et al.*, 2011) which establishes the va-  
684 lidity of the ERA-Interim estimates for further diagnostics. Annual  
685 mean area-averaged evaporation, precipitation, runoff and  $E - P - R$

686 across  $10^\circ$  latitude bands show that the asymmetry in  $E - P - R$   
687 in the high latitude northern hemisphere is mainly due to greater  
688 evaporation from the Atlantic (*e.g.* Warren, 1983; Emile-Geay *et al.*,  
689 2003) but everywhere further south it appears that a stronger asym-  
690 metry in precipitation is more important in contributing to the asym-  
691 metry in  $E - P - R$ . At basin scale the  $E - P - R$  asymmetry is  
692 largely caused by a precipitation asymmetry, rather than an evap-  
693 oration asymmetry. One potential mechanism for this is linked to  
694 the patterns of stationary eddies over the two basins: the subtrop-  
695 ical highs (areas of dry, descending air and low precipitation) cover  
696 a larger fraction of the Atlantic than the Pacific where ascendind-  
697 ing air (which leads to precipitation) covers a larger fraction of the  
698 basin. (Wills and Schneider, 2015).

699 3. The seasonal cycles of basin-averaged evaporation and precipitation  
700 show that the Atlantic/Pacific asymmetry exists throughout the year  
701 and is quite steady *i.e.* no particular season contributes to the asym-  
702 metry. Throughout the year, Pacific evaporation and precipitation  
703 are approximately equal but Atlantic precipitation is always less than  
704 evaporation. There is little difference between basin-averaged evapo-  
705 ration but basin-averaged precipitation is less in the Atlantic than

706 the Pacific for all months.

- 707 4. Because of problems with the assimilation of satellite data described  
708 by Dee *et al.* (2011), trends and interannual variability in precipita-  
709 tion are not robust (a conclusion supported by a comparison with  
710 GPCP precipitation). It is therefore problematic to explore the in-  
711 terannual variability of precipitation and its correlation with  $E - P$ .  
712 An upward trend in evaporation over recent decades in both basins  
713 appears to be consistent with the estimate from OAFflux. The inter-  
714 annual variability of the basin-averaged  $E - P$  fluxes exhibit correla-  
715 tions with events such as large El Niño and NAO events.

716 Overall, a key finding of this study is that the  $E - P - R$  asymmetry  
717 between the Atlantic and Pacific oceans exists at all latitudes, not just  
718 high-latitudes and that, outside of the high latitude northern hemisphere,  
719 an asymmetry in precipitation, rather than evaporation, has more influ-  
720 ence on the asymmetry in  $E - P - R$ . Precipitation is largely driven by in-  
721 ternal atmospheric processes (circulation patterns, atmospheric physics).  
722 This suggests that  $E - P - R$  and possibly SSS and MOC asymmetries are  
723 caused by differences in atmospheric processes over the two basins. Some  
724 potential mechanisms have been suggested in the literature: the basin ge-  
725 ometry (Schmitt *et al.*, 1989; Ferreira *et al.*, 2010; Nilsson *et al.*, 2013),

726 the effect of mountain ranges (Schmittner *et al.*, 2011; Sinha *et al.*, 2012),  
727 variability and tilt of the Atlantic storm track (Czaja, 2009) and the pat-  
728 terns of stationary eddies (Wills and Schneider, 2015).

729       Considering on one hand the link between the high salinity of the  
730 Atlantic, the deep convection and the AMOC, and on the other the link  
731 between SSS distribution and  $e - p - r$  pattern, we argue that any theory  
732 for the localization of the MOC in the Atlantic should provide an expla-  
733 nation for the  $E - P - R$  asymmetry, and thus for the deficit of precip-  
734 itation over the Atlantic. It is worth emphasizing that an  $E - P - R$   
735 asymmetry may not be necessary to localize deep water formation in  
736 the Atlantic and favour an AMOC. This is notably the case in the pres-  
737 ence of multiple equilibria of the MOC where localization is possible with  
738 no asymmetry or reversed asymmetry (smaller  $E - P - R$  in the sink-  
739 ing basin, see Huisman *et al.* 2009). However, even if the real ocean is in  
740 this dynamical regime, the observed  $E - P - R$  asymmetry provides a  
741 significant reinforcement of the AMOC (an atmospheric feedback or per-  
742 haps just a coincidence *e.g.* due to geometrical factors), and should be  
743 accounted for.

## 744 **Acknowledgements**

745 The lead author receives PhD studentship funding from the Natural En-  
746 vironment Research Council as part of the SCENARIO Doctoral Train-  
747 ing Partnership (NE/L002566/1).

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Table 1: Table of latitude boundaries for each of the estimates shown in Figs. 2 and 3. The Mediterranean and Baltic Seas are included in the ERA-Interim estimate at the relevant basin scales and in the latitude bands where they join the main Atlantic Ocean. BS refers to the Bering Strait and a star denotes that the latitudes shown are only approximate.

	ERA-Interim & ECCOv4			Dai and Trenberth (2003)		
	Atlantic	Pacific	Indian	Atlantic	Pacific	Indian
Fig. 2(b)	35°S-60°N	30°S-BS	>35°S	32°S-60°N	30°S-BS	>32°S
Fig. 2(a)	35°S-45°N	30°S-47°N		32°S-45°N	30°S-47°N	
Fig. 3	45°N-60°N	47°N-BS		45°N-60°N	47°N-BS	
Fig. 3	24°N-45°N	24°N-47°N	>8°S	24°N-45°N	24°N-47°N	>8°S
Fig. 3	16°S-24°N	17°S-24°N	20°S-8°S	16°S-24°N	16°S-24°N	20°S-8°S
Fig. 3	35°S-16°S	30°S-17°S	35°S-20°S	30°S-16°S	30°S-16°S	32°S-20°S
	Schanze <i>et al.</i> (2010)			Ganachaud and Wunsch (2003)		
	Atlantic	Pacific	Indian	Atlantic	Pacific	Indian
Fig. 2(b)	35°S-70°N*	35°S-BS	>35°S			>32°S
Fig. 2(a)	35°S-45°N	35°S-45°N		30°S-47°N	30°S-47°N	
Fig. 3	45°N-60°N	45°N-60°N				
Fig. 3	25°N-45°N	25°N-45°N	>5°S	24°N-47°N	24°N-47°N	>8°S
Fig. 3	15°S-25°N	15°S-25°N	25°S-5°S	19°S-24°N	17°S-24°N	20°S-8°S
Fig. 3	35°S-15°S	35°S-15°S	35°S-25°S	30°S-19°S	30°S-17°S	32°S-20°S
	Talley (2008)			Valdivieso <i>et al.</i> (2014)		
	Atlantic	Pacific	Indian	Atlantic	Pacific	Indian
Fig. 2(b)	32°S-59°N	30°S-BS	>32°S	32°S-70°N	32°S-BS	>32°S
Fig. 2(a)	32°S-45°N	30°S-47°N		32°S-47°N	32°S-47°N	
Fig. 3	45°N-59°N	47°N-BS		47°N-70°N	47°N-BS	
Fig. 3	24°N-45°N	24°N-47°N	>8°S	26.5°N-47°N	24°N-47°N	
Fig. 3	16°S-24°N		20°S-8°S	16°S-26.5°N	17°S-24°N	
Fig. 3	32°S-16°S		32°S-20°S	32°S-16°S	32°S-17°S	32°S-20°S

Table 2: Annual mean (1979-2014) area-averaged moisture budget residuals for the Atlantic and Pacific Oceans with  $\bar{e}$ ,  $\bar{p}$  and  $\bar{e} - \bar{p}$  in mm/day.

	residual	$\bar{e}$	$\bar{p}$	$\bar{e} - \bar{p}$
Atlantic	0.08	4.01	2.69	1.32
Pacific	0.08	4.14	4.07	0.07

Table 3: Pearson correlations ( $\tilde{r}$ ) between annual means of ERA-Interim  $\bar{e}$ ,  $-\bar{p}$  and  $\bar{e} - \bar{p}$  with  $\text{div}\mathbf{Q}$  and standard deviations ( $\sigma$ , cm/yr) of  $\bar{e}$ ,  $-\bar{p}$ ,  $\bar{e} - \bar{p}$  and  $\text{div}\mathbf{Q}$ .

	Atlantic		Pacific	
	$\tilde{r}$	$\sigma$	$\tilde{r}$	$\sigma$
$\bar{e}$	0.40	3.0	0.51	4.5
$-\bar{p}$	0.51	4.0	0.39	4.7
$\bar{e} - \bar{p}$	0.73	4.3	0.64	6.5
$\text{div}\mathbf{Q}$	1.0	3.0	1.0	2.1

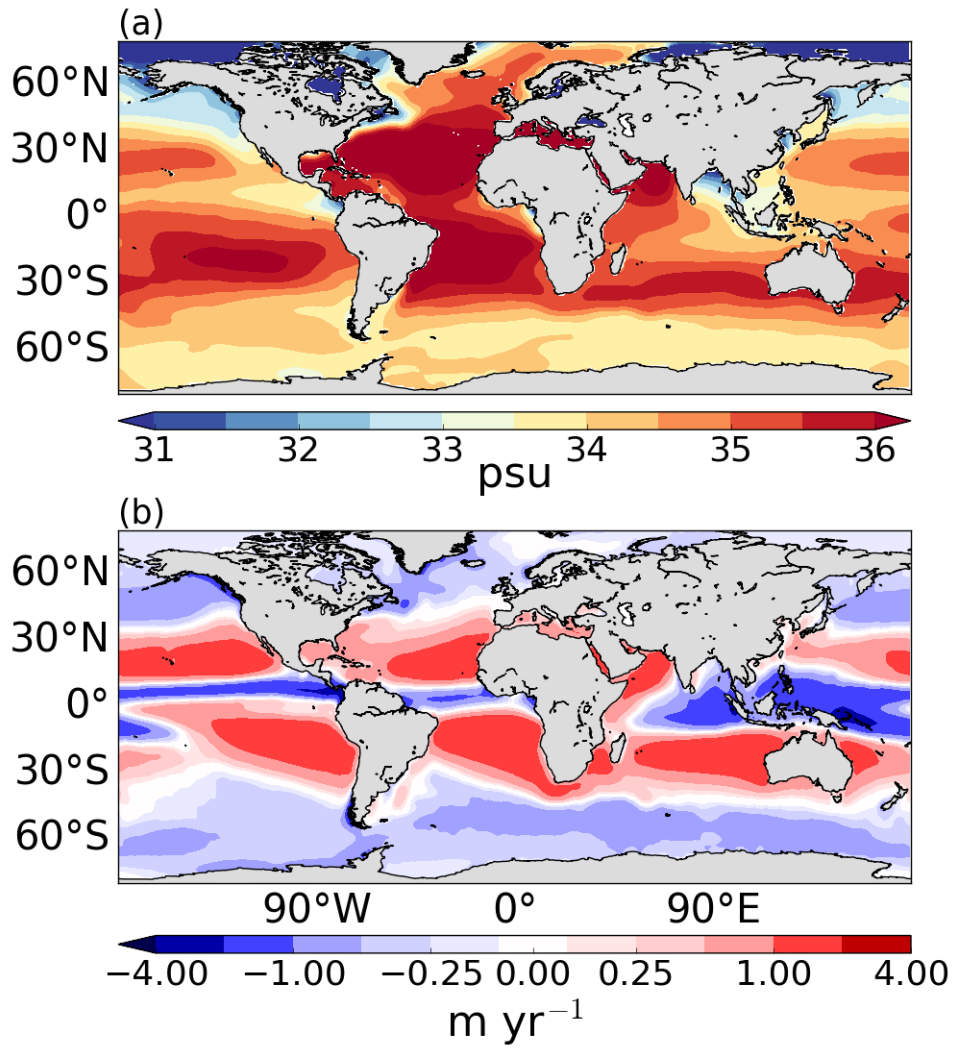


Figure 1: (a) Annual mean SSS (1955-2012) from the World Ocean Atlas (Zweng *et al.*, 2013) and (b) Annual mean (1979-2014)  $e-p$  from ERA-Interim vertically integrated moisture flux divergence. Gaussian filter applied to smooth data.

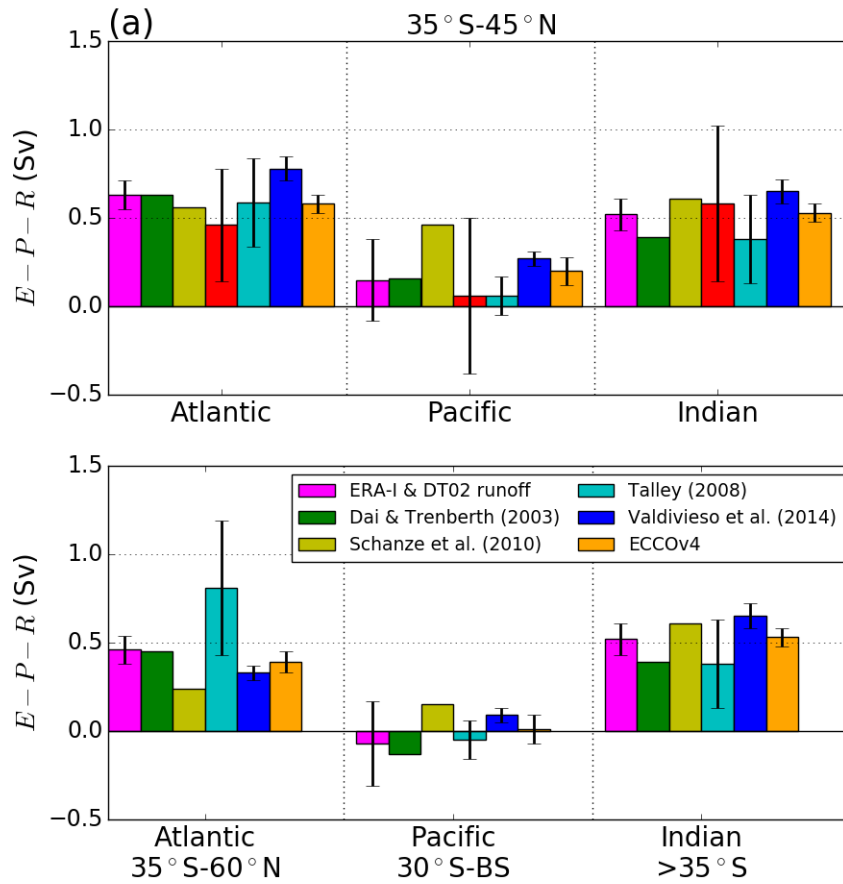


Figure 2: Basin-integrated net freshwater flux ( $E - P - R$ ) for each ocean basin over different latitudinal extents: (a) approximately 35°S-45°N and (b) approximately 35°S-65°N. The latitude boundaries shown above each subfigure are approximate and do not apply to each estimate. Exact boundaries used in calculating each estimate are shown in Table 1. Estimates based on atmospheric data are shown first followed by the oceanographic estimates.

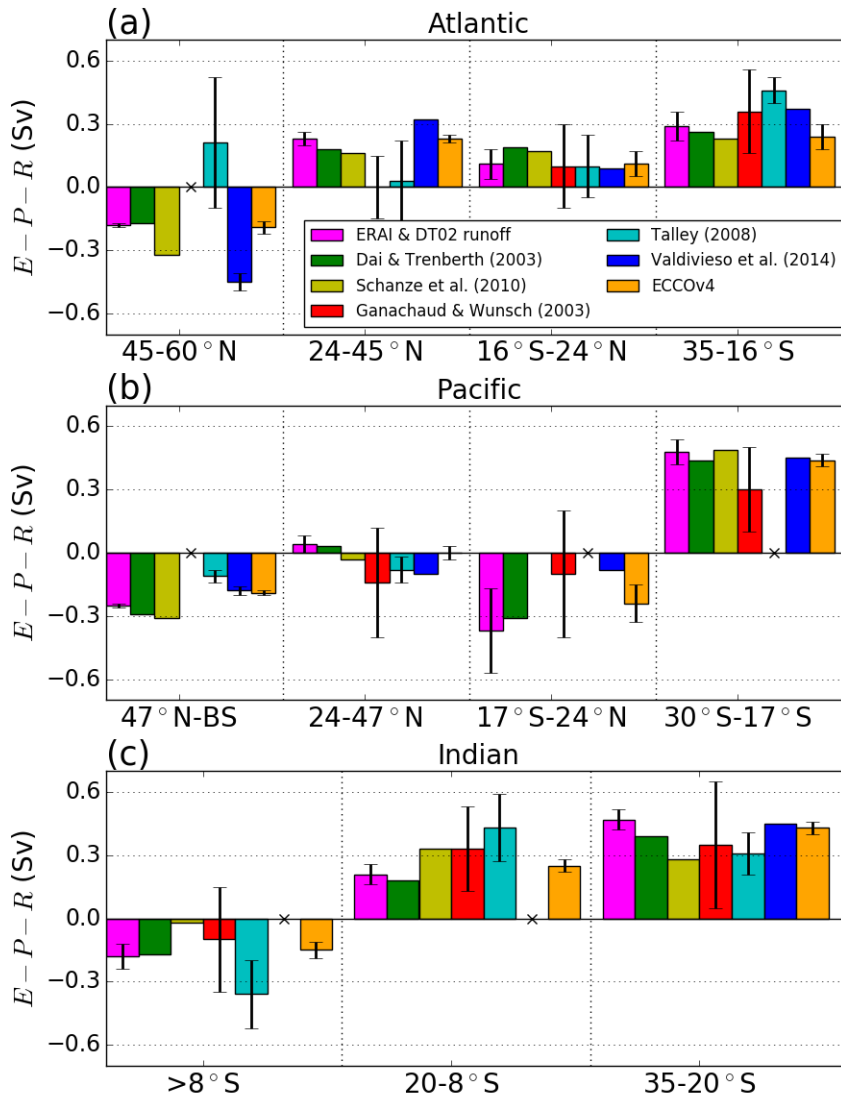


Figure 3:  $E-P-R$  for latitude bands within the (a) Atlantic, (b) Pacific and (c) Indian oceans for the estimates described in section 3. Latitudes below each subfigure refer to those used to break up ERA-Interim. The exact boundaries used in calculating each estimate are shown in Table 1. Crosses denote that there is no estimate provided for a band, otherwise  $E - P - R = 0$ .

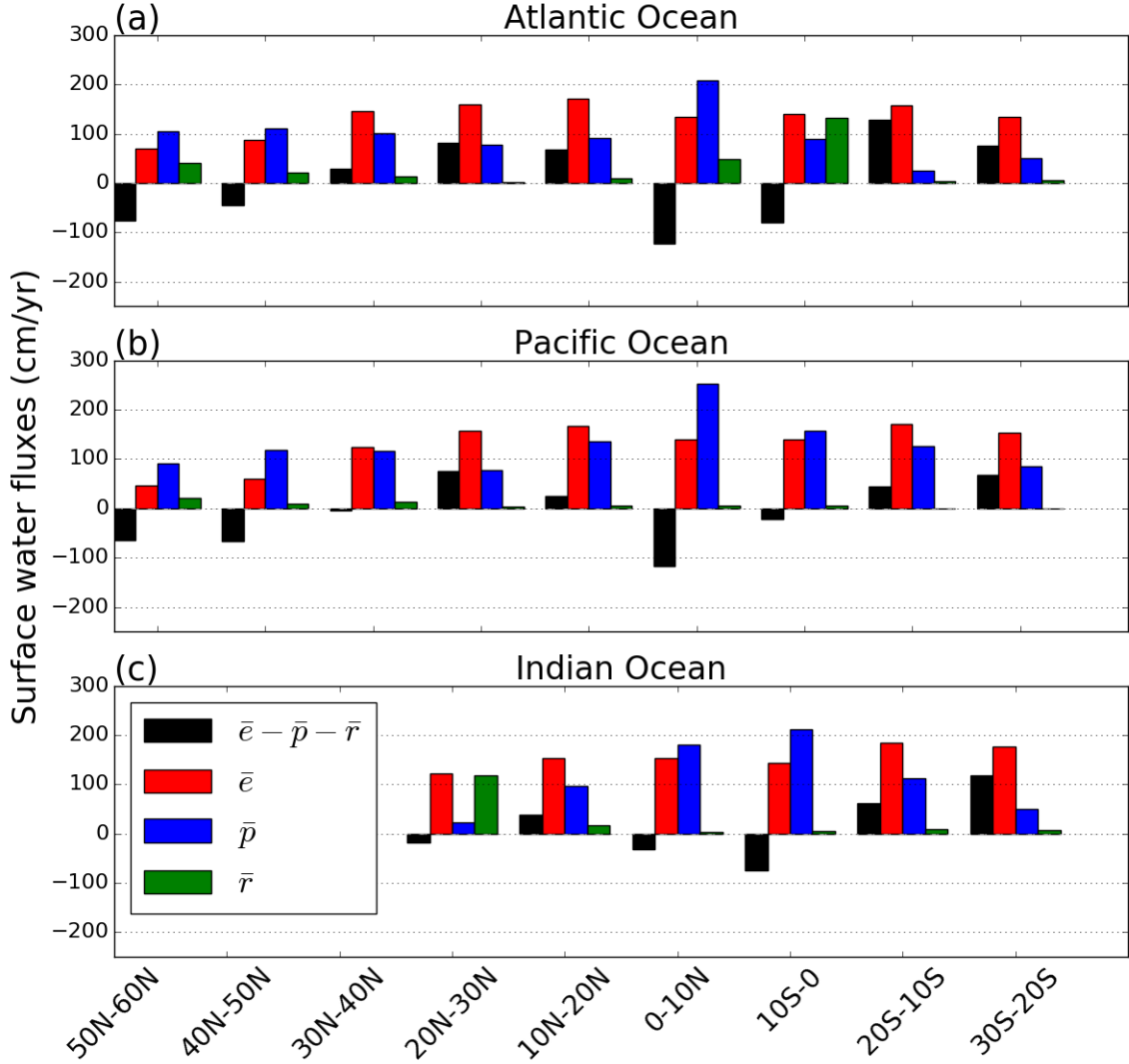


Figure 4: Annual mean area-averaged ERA-Interim (1979-2014) surface water fluxes in  $10^\circ$  latitude bands for the (a) Atlantic, (b) Pacific and (c) Indian oceans with Dai and Trenberth (2002) runoff divided into the same  $10^\circ$  latitude bands.

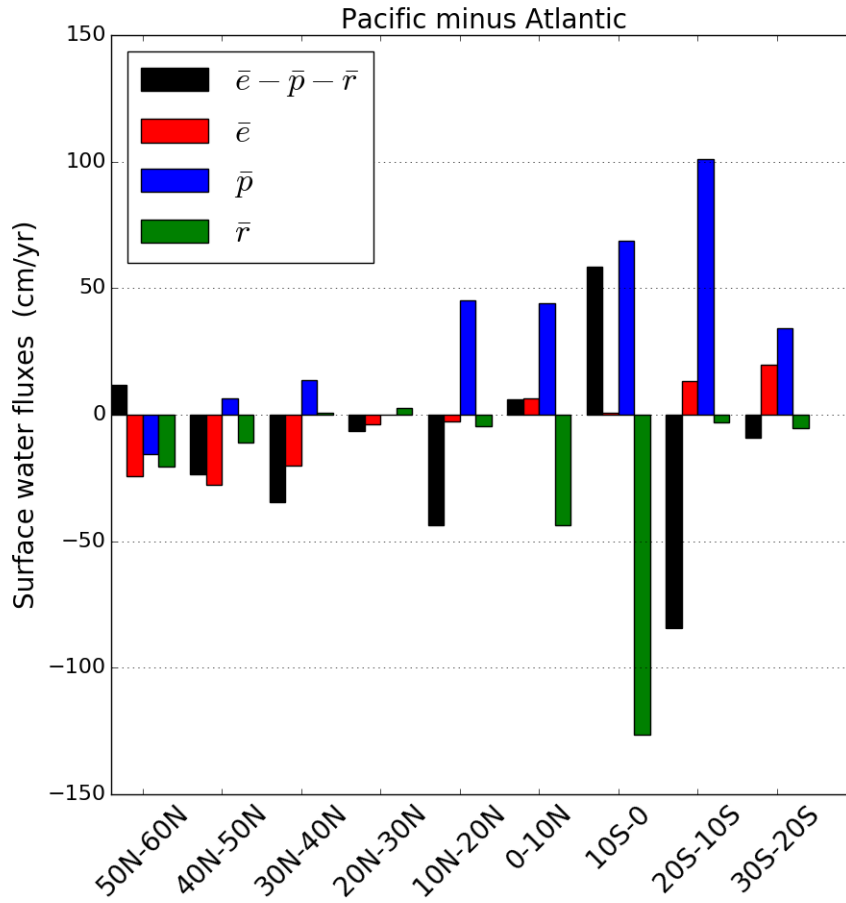


Figure 5: Differences between area-averaged annual mean ERA-Interim (1979-2014) Pacific and Atlantic surface water fluxes in 10° latitude bands scaled by area with Dai and Trenberth (2002) runoff divided into the same 10° latitude bands.

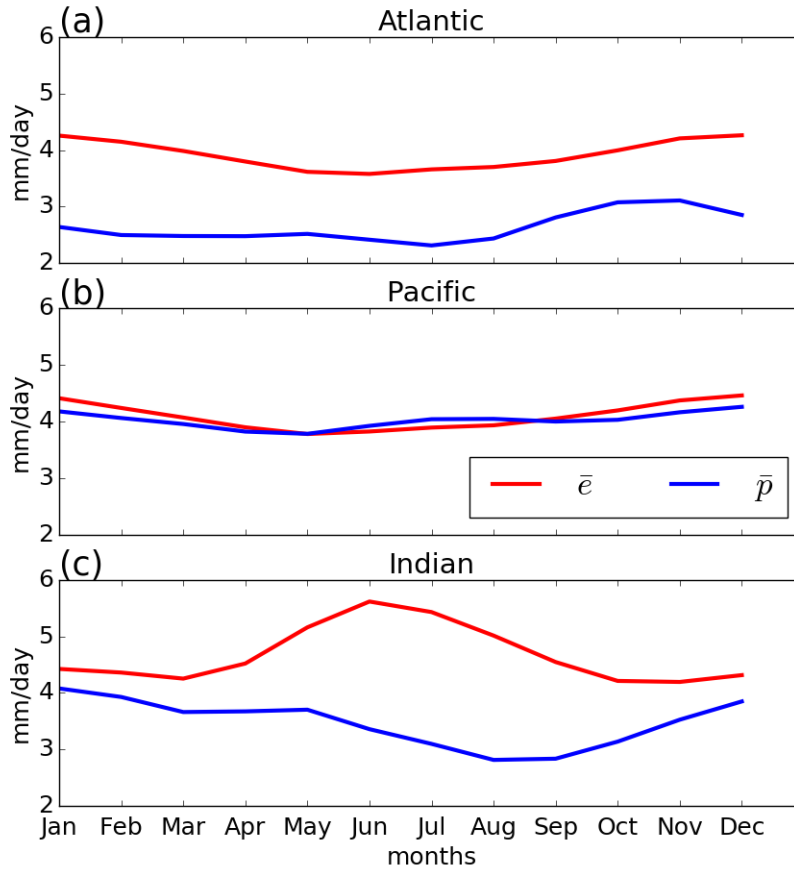


Figure 6: Climatological monthly means (1979-2014) of ERA-Interim  $\bar{e}$  and  $\bar{p}$  for the (a) Atlantic ( $35^{\circ}\text{S}-60^{\circ}\text{N}$ ), (b) Pacific ( $30^{\circ}\text{S}$ -Bering Strait) and (c) Indian ( $>35^{\circ}\text{S}$ ) Oceans at basin scale (first row of ERA-Interim columns in Table 1).



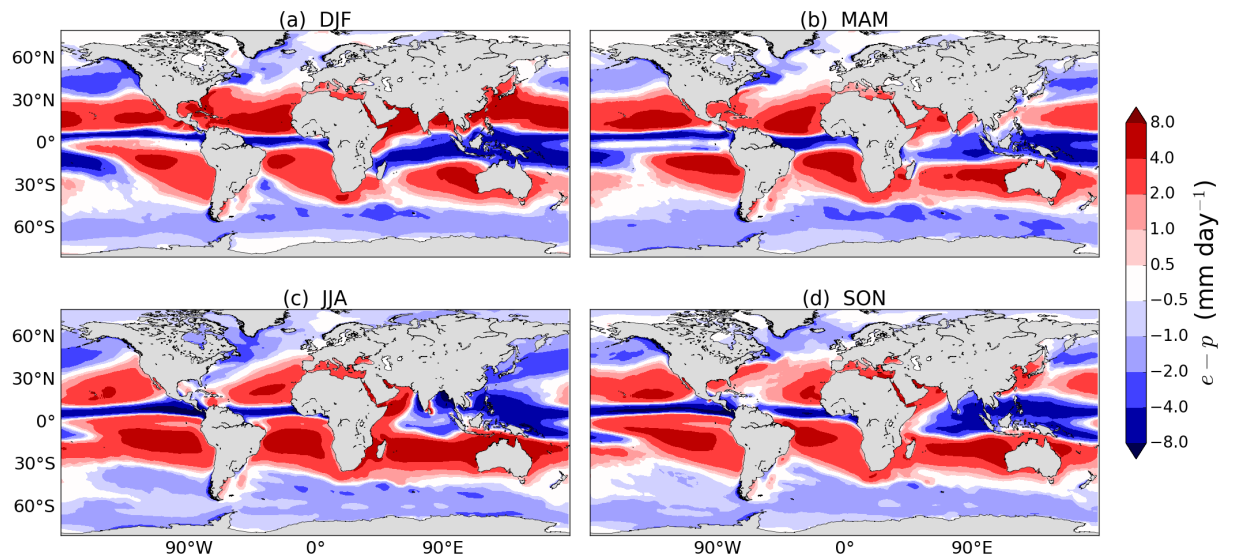


Figure 7: Climatological seasonal mean ERA-Interim  $e-p$  from accumulated surface forecasts 1980-2014 for (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA) and (d) September-October-November (SON).

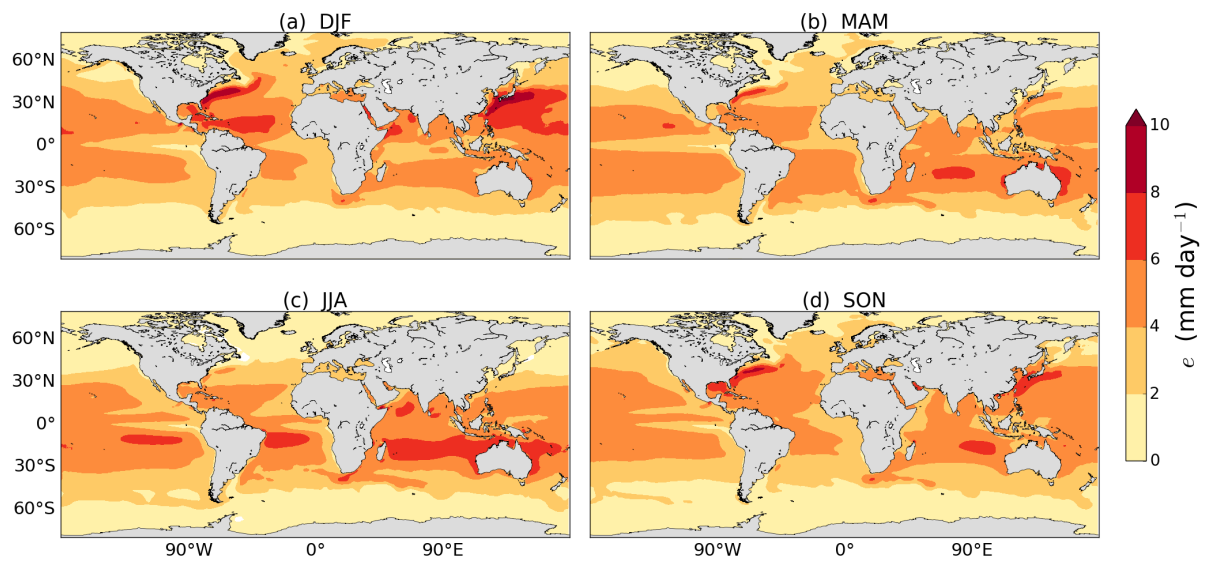


Figure 8: Climatological seasonal mean ERA-Interim evaporation 1980-2014 for (a) DJF, (b) MAM, (c) JJA and (d) SON.

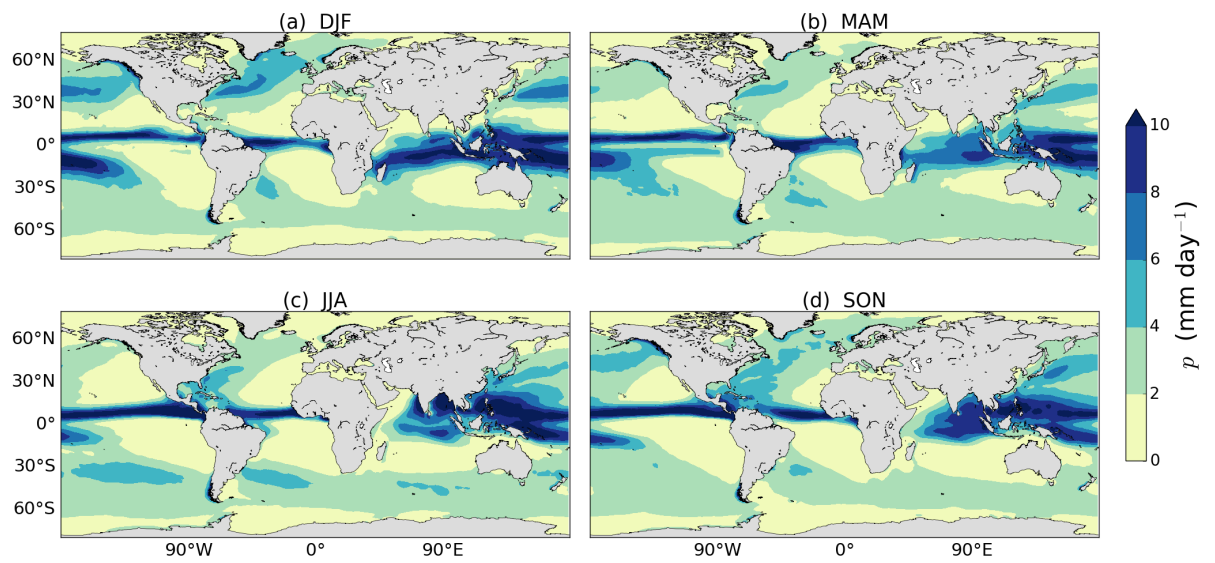


Figure 9: Climatological seasonal mean ERA-Interim precipitation 1980-2014 for (a) DJF, (b) MAM, (c) JJA and (d) SON.

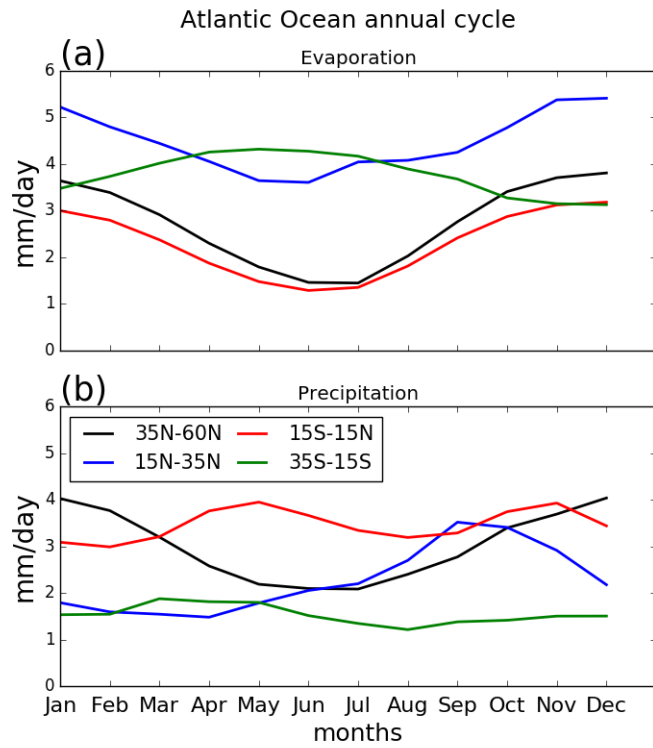


Figure 10: Climatological monthly means of ERA-Interim (1979-2014) Atlantic Ocean area-averaged (a) evaporation and (b) precipitation in latitude bands representing the tropics, subtropics and northern hemisphere extratropics.

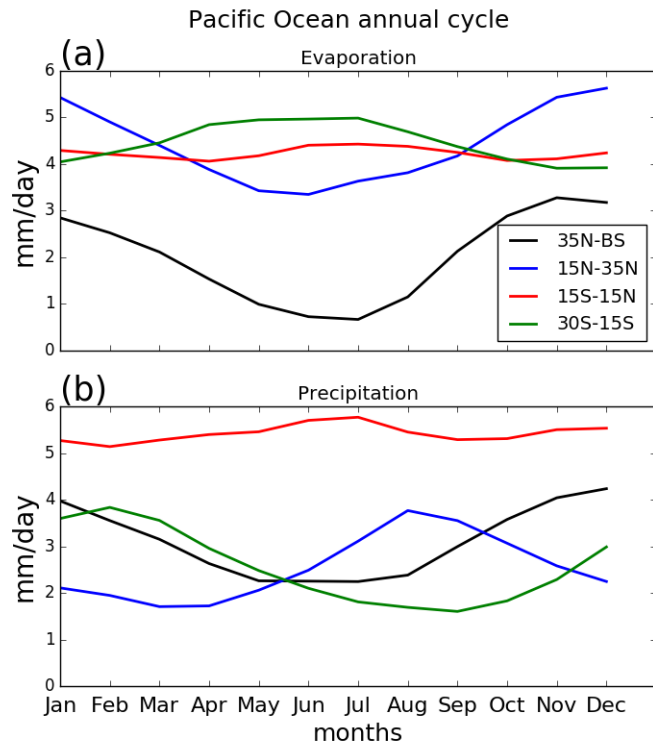


Figure 11: Climatological monthly means of ERA-Interim (1979-2014) of Pacific Ocean area-averaged (a) evaporation and (b) precipitation in latitude bands representing the tropics, subtropics and northern hemisphere extratropics.

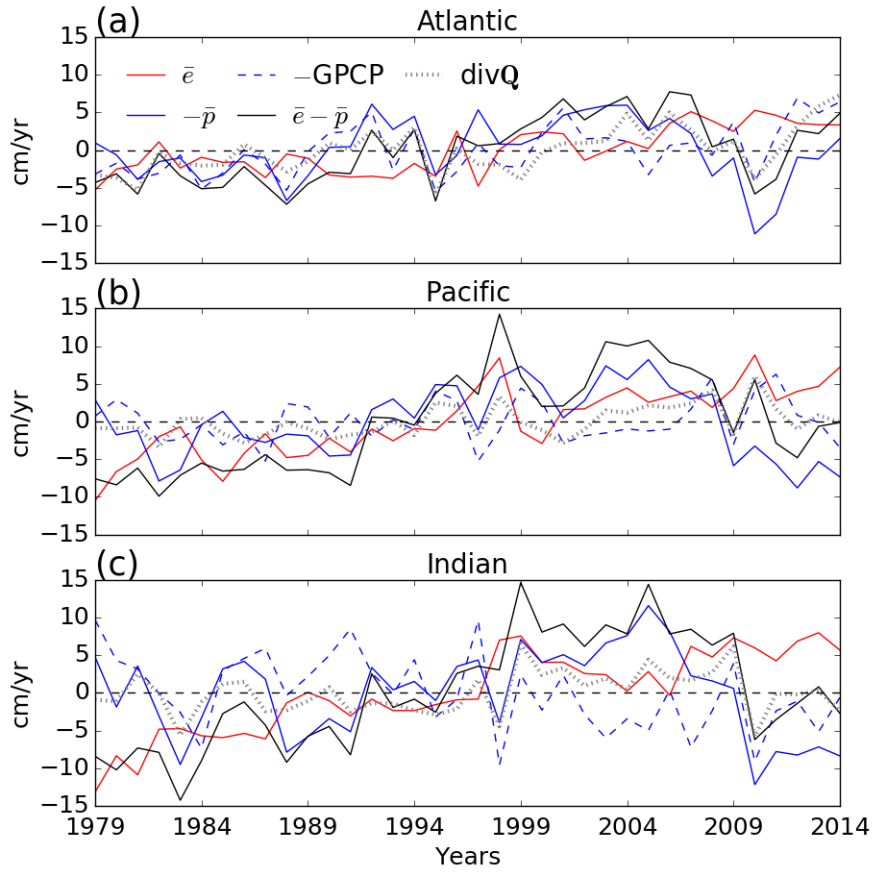


Figure 12: Yearly anomalies from the 1979-2014 area-averaged annual mean ERA-Interim  $\bar{e}$ ,  $-\bar{p}$ ,  $\bar{e}-\bar{p}$ ,  $\text{div}\mathbf{Q}$  and  $-\text{GPCP}$  for the (a) Atlantic, (b) Pacific and (c) Indian oceans at basin scale (first row of ERA-Interim columns in Table 1).