# Simulating Dynamic Crop Growth with an Adapted Land Surface Model - JULES-SUCROS: Model Development and Validation

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#### Abstract

The increasing demand for ecosystem services, in conjunction with climate change, is expected to significantly alter terrestrial ecosystems. In order to evaluate the sustainability of land and water resources, there is a need for a better understanding of the relationships between crop production, land surface characteristics and the energy and water cycles. These relationships are analysed by using the Joint UK Land Environment Simulator (JULES). JULES includes the full hydrological cycle and vegetation effects on the energy, water, and carbon fluxes. However, this model currently only simulates land surface processes in natural ecosystems. An adapted version of JULES for agricultural ecosystems, called JULES-SUCROS has therefore been developed. In addition to overall model improvements, JULES-SUCROS includes a dynamic crop growth structure that fully fits within and builds upon the biogeochemical modelling framework for natural vegetation. Specific agro-ecosystem features such as the development of yield-bearing organs and the phenological cycle from sowing till harvest have been included in the model. This paper describes the structure of JULES-SUCROS and evaluates the fluxes simulated with this model against FLUXNET measurements at 6 European sites. We show that JULES-SUCROS significantly improves the correlation between simulated and observed fluxes over cropland and captures well the spatial and temporal variability of the growth conditions in Europe. Simulations with JULES-SUCROS highlight the importance of vegetation structure and phenology, and the impact they have on land-atmosphere interactions.

*Key words:* Land-surface interactions, Crop growth modelling, Water and energy fluxes, FLUXNET.

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# 1 1 Introduction

Nearly 40% of the Earth's land surface is currently managed for agricultural pro-2 duction, either through growing crops for food, bioenergy and other products, or 3 by raising animals on land devoted to pasture (Ramankutty and Foley, 1999; Foley 4 et al., 2005). The increasing demand for ecosystem services, in conjunction with climate change, are expected to significantly alter terrestrial ecosystems and, by 6 consequence, the energy, water, and carbon fluxes between land and the atmosphere 7 (Foley et al., 2005). In order to evaluate the potential severity of the sustainability 8 issues that we will face in the near future, there is a need for a better understand-9 ing of the relationships between crop production, land-surface characteristics, and 10 energy and water cycles. 11

The replacement of grasslands and forests by agricultural land use has induced 12 significant changes to the carbon, water, and energy cycles (Foley et al., 2005; 13 Pielke, 2005). Those shifts in water and energy balance are manifested through 14 changes in evapotranspiration and surface run-off, phenology, and net radiation, 15 and the partitioning of sensible and latent heat fluxes (Twine et al., 2004; Foley 16 et al., 2005). Twine et al. (2004) showed that the conversion of grassland to winter 17 wheat in the Mississippi Basin increases the annual net radiation by 19% and the 18 annual evapotranspiration by 7%. 19

Coupled vegetation climate modelling experiments have shown that the differences 20 in structural and physiological characteristics between natural and agricultural veg-21 etation, *i.e.* albedo, surface roughness, rooting depth, leaf area and canopy resis-22 tance, alter the physical land surface properties and the biogeochemical cycles, 23 causing feedbacks to climate (Bonan, 1999; Betts, 2001; Brovkin et al., 2006; Bo-24 nan, 2008). In most of these studies, grass has been used as a proxy to represent 25 agricultural vegetation given their structural and physiological similarities. In ad-26 dition to this, the vegetation structure and phenology have often been prescribed, 27 making it difficult to project the ecosystem response to future changes in environ-28 mental conditions. 29

To better represent the growth, development and harvesting of crops in relation 30 to prevailing meteorological forcings and management practices, crop production 31 models have been coupled to Global Dynamic Vegetation Models (Kucharik, 2003; 32 Gervois et al., 2004; Osborne et al., 2007). The sensitivity studies carried out with 33 these models have highlighted the importance of using a dynamic interactive crop 34 growth module in climate modelling (de Noblet-Ducoudre et al., 2004; Osborne 35 et al., 2009). Osborne et al. (2009) found that the seasonality and the inter-annual 36 variability of crop growth and development have a significant effect on the climate 37 through the land surface properties, which in turn can feedback on crop production. 38

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However, to better understand and quantify the response of the energy, water and 39 carbon fluxes to change from natural to agricultural ecosystems, it is necessary to 40 represent growth and functioning of both ecosystems in a single consistent frame-41 work (Bondeau et al., 2007). Crops and natural vegetation need to share the same 42 fundamental biophysical and physiological functions. In addition to that, these 43 DGVMs need to be tested and validated against a range of field observations in 44 order to refine and improve model performances (Kucharik et al., 2006; Bonan, 45 2008). To date, a small number of published studies have evaluated the water, car-46 bon, and energy balance of DGVM's at cropland field sites. To our knowledge, none 47 have quantified the accuracy and level of error associated with the representation 48 of dynamic crop growth and development. 49

As mentioned by Kucharik et al. (2006) the evaluation of the models can be per-50 formed at the local scale using data from the FLUXNET network. FLUXNET is 51 a global network of micrometeorological flux measurement sites that measure the 52 exchange of carbon dioxide, water vapor, and energy between the biosphere and 53 the atmosphere (Baldocchi et al., 2001). The FLUXNET network provides the time 54 and space variability of the fluxes above different surface and vegetation types. One 55 of its primary goal is to provide time series of carbon, water and energy fluxes as 56 well as meteorological, plant, and soil data at a large number of locations over the 57 world. 58

The goal of this study is to evaluate whether the explicit representation of crops 59 in a land surface model yields better accuracy and a more consistent response to 60 environmental change. In particular, we estimate the effect of interactively simulat-61 ing growth and development of agricultural vegetation on the spatial and temporal 62 variability of fluxes between the surface and the atmosphere. This paper describes 63 the development and the validation of JULES-SUCROS, an adapted version of the 64 land surface model JULES (Cox et al., 1999) that, in addition to overall model 65 improvements, includes a dynamic crop growth structure that fully fits within the 66 biogeochemical modelling framework for natural vegetation of JULES. 67

The paper covers the following items: the land surface model JULES and the FLUXNET data are described in section 2; section 3 presents the model parametrisations, the model development and the approach for model evaluation; the results of this evaluation are presented and discussed in section 4; the conclusion of this study is summarised in section 5.

# 73 2 Material

## 74 2.1 The land surface model JULES

In this study, the relationships between crop growth, land surface and water and energy cycles are analysed using the Joint UK Land Environment Simulator (JULES)
(Cox et al., 1999). JULES is a UK community land surface model. It was originally
designed to represent the land surface in UK weather and climate models, but has
been increasingly used for other purposes such as impact studies (Betts, 2007; Harrison et al., 2008). JULES has shown to improve the simulation of global surface
climate when included in a climate model (Cox et al., 1999).

JULES calculates water, CO<sub>2</sub>, momentum and energy fluxes between the land sur-82 face, including vegetation, and the atmosphere. It has a tiled model of sub-grid 83 heterogeneity with separate surface temperatures, short-wave and long-wave ra-84 diative fluxes, sensible and latent heat fluxes, ground heat fluxes, canopy moisture 85 content, snow mass and snow melt. JULES has five vegetation tiles representing 86 five different Plant Functional Types (PFTs: broad-leaf trees, needle-leaf trees, C3 87 (temperate) grass, C4 (tropical) grass, shrubs) and it has four non-vegetated surface 88 tiles (urban, inland water, bare soil and ice). As JULES does not explicitly simulate 89 crop growth, crop areas are treated as natural grass. 90

In JULES, the biophysical state of each PFT is characterised by a leaf area index 91 LAI, canopy height, rooting depth. The LAI and canopy height are either constant 92 throughout the annual cycle or prescribed using remote sensing data, and they both 93 vary spatially, while the rooting depth does not vary temporally nor spatially. The 94 rooting depth is used to determine the available soil moisture for the vegetation 95 within each soil layer. The 4 soil layers have specific hydraulic and thermodynamic 96 properties. Soil water can be extracted through plant transpiration from the 4 layers 97 and by soil water evaporation from the top soil layer. 98

The surface fluxes of moisture and heat are functions of the atmospheric boundary 99 conditions. Potential values are limited by an aerodynamic resistance. The water 100 extracted from the soil must go through an additional surface resistance. The evap-101 oration from the top soil layer is limited by a soil resistance and the transpiration 102 through the canopy is limited by a stomatal resistance. The exchange of  $CO_2$  be-103 tween plants and the atmosphere is also regulated by this stomatal resistance (Cox 104 et al., 1998), which is a function of environmental conditions and atmospheric  $CO_2$ 105 concentration (Jacobs, 1994). This implies that photosynthesis and transpiration are 106 strongly linked. In addition, both depend on the amount of available energy. The 107 carbon, water and energy fluxes are thus coupled to each other. 108

JULES uses a biochemical approach to estimate photosynthesis. It is based on the model of Collatz et al. (1991) for C3-type photosynthesis and Collatz et al. (1992) for C4-type photosynthesis. This model describes the rate of CO<sub>2</sub> assimilation as limited by enzyme kinematics, in particular the amount of Rubisco; electron transport, which is a function of available light; and the capacity to transport or utilise photosynthetic products. The Rubisco-limited rate and the transport-limited rate are a function of the maximum rate of carboxylation of Rubisco. In JULES the latter depends on the leaf temperature and the leaf nitrogen concentrations, which is constant per PFT.

This potential leaf photosynthesis rate is reduced under moisture stressed condi-118 tions. The actual leaf photosynthesis rate is then up-scaled to the canopy level by 119 assuming that photosynthesis is proportional to the absorbed active radiation, which 120 is a function of the LAI. Part of the carbon assimilated during the photosynthesis 121 (Gross Primary Productivity, GPP) is used to maintain the existing biomass. This 122 is called the maintenance respiration,  $R_{pm}$ . The remaining part is converted into 123 structural dry matter (Net Primary Productivity). In the process of conversion, part 124 of the weight is lost in growth respiration,  $R_{pq}$ . So, NPP = GPP -  $(R_{pm} + R_{pq})$ . 125

In JULES, the growth respiration  $R_{pq}$  is assumed to be a fixed fraction of GPP – 126  $R_{pm}$ . The maintenance respiration  $R_{pm}$  is the sum of the respiration from leaves, 127 stem and root, which are all function of the leaf temperature and the leaf nitrogen 128 concentration. Leaf maintenance respiration is limited under moisture stress condi-129 tions, while root and stem respirations are assumed to be independent of soil mois-130 ture. The maintenance respiration is independent of the accumulated carbon within 131 the vegetation tissues (Cox et al., 1999). The stem respiration however depends on 132 the height of the canopy. This implies that the LAI and the height of the canopy 133 have to be consistent with each other to correctly simulate the plant maintenance 134 respiration, and by consequence the NPP. 135

The vegetation dynamic component of JULES, TRIFFID (Cox, 2001), is disabled in this study. The areal fraction of each PFT is held static throughout the experiments since the area occupied by cropland depends mainly on anthropogenic factors rather than on competition between vegetation types. In addition, TRIFFID has only a simplified representation of phenology for tree PFT's (Cox, 2001), and is therefore not usable for grass and annual crops. A more detailed description of the model can be found in Essery et al. (2001).

#### 143 2.2 FLUXNET sites data sets

FLUXNET is a global network of micrometeorological tower sites that use the eddy
covariance method (Aubinet et al., 2000) to measure the exchanges of carbon dioxide, water vapor and energy between terrestrial ecosystems and the atmosphere. At
present, over 400 tower sites are operating on a long-term and continuous basis.
In addition to flux measurements, vegetation, soil, hydraulic and meteorological

<sup>149</sup> characteristics at the tower sites are collected.

In this study 6 European cropland FLUXNET sites have been selected. At these sites wheat has been grown during at least one season since the flux measurements are operational. These sites are located in three distinct European agro-climatic zones (Bouma, 2005); Mediterranean, Maritime and North-East Europe. A summary of the soil and key climatic and ecological conditions found at these sites is given in Table 1 (FLUXNET, 2009).

The sites of Klingenberg (Kli) and Gebesee (Geb) are both located in the Eastern 156 part of Germany. This region is characterised by a temperate continental climate. 157 Wheat was grown in Klingenberg during the growing season of 2005-2006 and in 158 Gebesee during the growing season of 2006-2007. The site of Lonzée (Lon), in 159 Belgium, and Grignon (Gri), in the North of France, experience a more maritime 160 temperate climate. In Lonzée, wheat was grown during the growing seasons of 161 2004-2005 and 2006-2007, while in Grignon it was grown during the growing sea-162 son of 2005-2006. The last two sites, Lamasquère (Lam) and Auradé (Aur), both 163 located in South West of France, are characterised by a Mediterranean climate. At 164 these sites wheat was grown during the growing seasons of 2006-2007 and 2005-165 2006, respectively. 166

At all sites, the exchanges of carbon dioxide  $(CO_2)$ , water vapour and energy were 167 measured above the cropland using the eddy covariance method at half-hourly time-168 steps. Instrumentation and data collection procedures are described in Aubinet et al. 169 (2000) and Baldocchi et al. (2001). References for sites specific measurements are 170 given in Table 1. The daily fluxes have been used to evaluate the latent and sensible 171 heat as well as the carbon exchanges simulated with the land surface model JULES. 172 The FLUXNET data set also provides all the meteorological variables required to 173 force the model at half-hourly timesteps: global and net radiation, air temperature, 174 air humidity, precipitation, wind speed and surface pressure. 175

#### 176 **3 Method**

#### 177 3.1 Model parametrisations: experimental design

Half-hourly micrometeorological observations from the selected FLUXNET sites have been used to drive the land surface model JULES. The hydraulic and thermal properties of the soil have been determined from the soil texture observed at the sites (Table 1). The values for the hydraulic parameters have been taken from the database developed by Wosten et al. (1999). The thermal characteristics and soil albedo values have been taken from the JULES technical report (Essery et al., 2001). The model has been spun-up with the micrometeorological data available

Site name and location	Klingenberg (DE)	Gebesee (DE)	Lonzée (BE)	Grignon (FR)	Auradé (FR)	Lamasquère (FR)
Latitude	50,89289856	51,10010147	50,55220032	48,84400177	43,54940033	43,49330139
Longitude	13,52250004	10,91429996	4,744939804	1,95243001	1,10777998	1,237220049
Elevation	478m	161.5m	167m	125m	NA	NA
Landcover (IGBP)	cropland	cropland	cropland	cropland	cropland	cropland
Climate	temperate - continental	temperate - continental	temperate - maritime	temperate - maritime	temperate - mediter- ranean	temperate - mediter- ranean
Avg. air temp.	7.13° C	8.74° C	9.44° C	10.02° C	12.16° C	12.69° C
Min max. temp.	1.63° C - 13.36° C	4.76° C - 12.65° C	5.62° C - 13.45° C	6.27° C - 14.49° C	7.27° C - 17.13° C	7.81° C - 17.69° C
Precipitation	702.02mm	443.94mm	843.34mm	769.21mm	673.34mm	702.83mm
FAO soil class	pseudogley	chernozem	luvisol	luvisol	NA	NA
Specific soil texture (clay:silt:loam), dominant soil texture observations	(c:90%,sand:1.5%, silt:7.5%)	(clay:30%)	(clay:20%,silt:72%)	silt loam (clay:18.8%, silt: 71.3%	loam	clay loam
References	Tittebrand et al. (2009)	Anthoni et al. (2004)	Moureaux et al. (2006); Hoyaux et al. (2008); Moureaux et al. (2008); Aubinet et al. (2009)	Lehuger et al. (2007)	Beziat et al. (2009)	Beziat et al. (2009)

for the years prior to the growing season of interest. During the growing season of
 interest, four separate simulations have been performed for the different cropland
 FLUXNET sites in order to understand whether the parameter values, the model
 formulation of the physical processes or the combination of both affected the model
 performance for crops.

JULES with large-scale C3 grass paramaterisation In the first set of simula-190 tions, all the vegetation parameters of the model have been set to the values used 191 for C3 grass as defined by Essery et al. (2001), except the LAI and the height of 192 the canopy, which by default are user defined. The LAI and the canopy height have 193 been set to the mean values for the land cover type "herbs, forbs, grass" in tem-194 perate ecosystems (Breuer et al., 2003), namely 6.2 and 1.35 m, respectively. In 195 JULES, the rooting depth of C3 grass is, by default, set to 0.5 m. This set of sim-196 ulations is used to evaluate the large scale C3 grass parameterisation to simulate 197 fluxes over temperate cropland. 198

JULES with large-scale C3 crop paramaterisation In the second set of simu-199 lations, the vegetation parameters have been adapted to crops. The LAI, height and 200 rooting depth have been set to the mean values determined by Breuer et al. (2003) 201 for the land cover type "crop" in temperate ecosystems. These values are respec-202 tively 3.8, 1.44 m and 1.43 m. To parameterise the leaf-level photosynthesis equa-203 tions for crops, the maximum rate of carboxylation at  $25^{\circ}C$  has been set to 60  $\mu$ mol 204  $m^{-2} s^{-1}$  (Wullschleger, 1993) instead of 48  $\mu$ mol  $m^{-2} s^{-1}$ , as defined in JULES 205 for C3 grass. This has been achieved by increasing the leaf nitrogen concentration 206 by 25% (Schulze et al., 1994). In addition to the changes made to some vegetation 207 parameters, the infiltration enhancement factor has been reduced by 50%. A range 208 of authors have reported a 50% decrease in infiltration rate between natural and 209 managed ecosystems due to the use of heavy machinery on agricultural land (Ndi-210 aye et al., 2007; House et al., 2001). This set of simulations is used to evaluate the 211 C3 crop parametrisation to simulate fluxes over temperate cropland. 212

**JULES with site-specific phenology** In the third set of experiments, time series of measured LAI values have been used to prescribe the crop phenology. These data were only available at Lonzée for the growing season of 2004-2005 and at Klingenberg for the growing season of 2005-2006. Since the LAI, canopy height and rooting depth need to be consistent with each other, as mentioned in subsection 3.1, time series of crop height and rooting depth have been extrapolated from the LAI. The formulation of Debaeke (1995) has been used to compute the height:

$$h = h_{max} \sqrt{\frac{LAI}{LAI_{max}}},$$
(1)

where h is the actual height of the canopy and  $h_{max}$  is the maximum canopy height. The actual rooting depth,  $d_r$  is assumed to be proportional to h with a factor  $d_r/h_{max}$  (Wu et al., 1999). The maximum rooting depth,  $d_{rmax}$ , and the maximum canopy height,  $h_{max}$ , for wheat have been set to 1.43 m and 1.44 m (Breuer et al., 2003), respectively. Some minor modifications to JULES have been performed to allow the LAI, height and rooting depth to be zero after harvest. In the original model settings, it assumed that a vegetation tile is never bare. This set of simulations is used to evaluate the importance of crop phenology when simulating the interaction of crop growth with the land surface.

JULES-SUCROS with dynamic crop growth In the fourth and last set of sim-230 ulations, only site-specific half hourly micrometeorological data and soil textural 231 information have been used as model drivers. The simulations have been performed 232 with JULES-SUCROS, an adapted version of JULES that explicitly simulates crop 233 growth and development and its interactions with the environment. The phenol-234 ogy is no longer prescribed but simulated. JULES-SUCROS is used to study crop 235 growth, development and production in relation to the prevailing environmental 236 conditions as well as the impact of growth and development on the land surface. 237

#### 238 3.2 Model development: dynamic crop growth structure within JULES

In this section, the development of the land surface model JULES-SUCROS is 239 described. Since most of the crop modules have been derived from the crop model 240 SUCROS (Goudriaan and van Laar, 1994), the resulting model has been denoted 241 JULES-SUCROS. The generic crop model SUCROS has originally been developed 242 for potential production situation (van Keulen et al., 1982; Penning de Vries and 243 van Laar, 1982; Goudriaan and van Laar, 1994; van Laar et al., 1988). SUCROS 244 is a mechanistic model that simulates crop growth on the basis of the underlying 245 processes, such as CO<sub>2</sub> assimilation and respiration, as influenced by environmental 246 conditions. The crop phenological development determines the crop life cycle and 247 regulates the daily growth of a specific crop from sowing or emergence to maturity. 248

To obtain JULES-SUCROS, two types of adaptations have been made to the land surface model JULES. On the one hand, some basic adaptations have been performed to allow variables to vary consistently with each other along the growing season. Many processes in JULES depend on the LAI, canopy height and rooting depth, and have to respond consistently to changes in these parameters values. In addition to that, the parameterisation for bare soil on a vegetated tile has been implemented.

On the other hand, a new set of subroutines has been added to JULES to represent crop growth and development, and sowing and harvest dates. The sowing date depends on the prevailing meteorological conditions and farmer's decision. The development rate of the dynamic crop is determined by temperature, while the growth rate is organ specific (root, stem, leaf, and storage organs) and depends on the phenological stage and the amount of available assimilates, which are both determined by the environmental conditions. Senescence and retranslocation of dry matter are represented as well. The biophysical parameters, *i.e.* LAI, crop height, and rooting depth, which link the vegetation and the land surface, are dynamic and consistent with the growth and development of the crop organs.

JULES-SUCROS incorporates crops and natural vegetation within the same biogeochemically consistent numerical framework. It is important to note that the model has only been parameterised for a generic (winter) wheat crop. This is mainly because wheat is the most important crop, covering 22% of the total cultivated area of the world (Leff et al., 2004) and is very extensively grown in Europe. The model has not been tuned against observations to optimise the results.

In JULES-SUCROS, the dry weights of the plant organs are obtained by integra-272 tion of their growth rates over time. By consequence, in addition to the interactions 273 between crop growth and the land surface, the model can be used to explore the 274 impact of environmental changes on crop productivity. The potential yield can be 275 interpolated from the amount of biomass accumulated into the storage organs. In 276 JULES-SUCROS, only environmental factors are considered under the assump-277 tion that optimum management practices are applied. The different subroutines and 278 adaptations made to JULES are described in more details below. 279

# 280 3.2.1 Sowing date and phenological development

**Sowing date** In JULES-SUCROS, wheat is sown during autumn, once the average daily temperature drops below  $10^{\circ}C$  (Porter et al., 1987). The seedling emergence starts 15 days after sowing. At emergence, the amounts of dry matter (DM) in leaves, stems and roots are set to the initial value of 0.5 g DM m<sup>-2</sup>, 0.3 g DM m<sup>-2</sup> and 0.8 g DM m<sup>-2</sup>, respectively. The initial specific leaf area is set to 0.022 (van Laar et al., 1988).

**Phenological development** In JULES-SUCROS, the phenological development 287 starts at seedling emergence. The development stage (DVS) is arbitrarily set to 0 at 288 seedling emergence, to 1 at flowering and to 2 at maturity (van Heemst, 1986). It is 289 assumed that the annual crop is harvested once it has reached maturity. The DVS 290 is calculated as the integral of the development rate. For wheat growing at  $20^{\circ}C$ , 291 this rate is equal to  $1.5 \times 10^{-2} d^{-1}$  during the vegetative phase (0 < DVS < 1) 292 and to  $2.55 \times 10^{-2} d^{-1}$  during the generative phase (DVS > 1) (Penning de Vries 293 et al., 1989). Under temperate climatological conditions, temperature is the main 294 environmental factor affecting the rate of development. The relationship between 295 the development rate and the daily temperature is crop specific (see the work of 296 Penning de Vries et al. (1989) for more details on wheat). 297

Vernalisation Winter wheats have an absolute requirement for vernalisation,
 which is the exposure to low, nonfreezing temperatures, before they can develop
 beyond the vegetative phase. The vernalisation subroutine in JULES-SUCROS is

based on the generalised nonlinear vernalisation response function for winter wheat
 developed by Streck et al. (2003):

303 
$$f_v = \frac{(VD)^5}{[(22.5)^5 + (VD)^5]},$$
 (2)

where VD is the duration of the exposition to vernalising temperatures. A VD of one is attained when the crop is exposed to the optimal temperature for vernalisation  $(4.9^{\circ}C)$  for one day. As temperatures depart from the optimum, only a fraction of 1VD is accumulated by the crop. Below  $-1.3^{\circ}C$  and above  $15.7^{\circ}C$  no VD is accumulated.  $f_v$  is zero once DVS > 0.4 or VD = 50. To account for the effect of VD on the development rate of the crop, this rate is multiplied by  $f_v$ , which varies between 0 and 1.

#### 311 3.2.2 Crop growth and biomass partitioning

Maintenance respiration In section 3.1, it is mentioned that the respiration rate computed in (standard) JULES is inconsistent with the actual carbon content of the vegetation. Therefore the modelling approach used for maintenance and growth respiration in JULES has been replaced by the modelling approach of SUCROS to account for the actual dry weight of each organ and the difference in their respiration rate.

In JULES-SUCROS, fixed coefficients of the total dry matter of each organ are 318 used to calculate the maintenance requirements of the various organs of the crop, 319 *i.e.* leaves, stems, roots and storage organs. For wheat these values are set to 0.03, 320 0.015, 0.015, 0.01, respectively. Higher temperatures accelerate the turnover rates 321 in plant tissue and hence increase the costs of maintenance. A 10°C increase in 322 temperature increases maintenance respiration by a factor 2 (Penning de Vries and 323 van Laar, 1982). When the crop ages, its metabolic activity decreases and hence its 324 maintenance requirements decrease. This is represented in the model by assuming 325 that maintenance respiration is proportional to the fraction of the accumulated leaf 326 weight that is still green (van Laar et al., 1988). The leaf senescence is described in 327 section 3.2.3. 328

**Growth respiration** During the conversion of the assimilated carbon into structural matter, some weight is lost due to growth respiration. In JULES-SUCROS the amount of assimilates required to produce one unit of dry weight of roots, leaves and stems of an annual crop is set to 1.444, 1.463, and 1.513 g of CH<sub>2</sub>O per g of DM, respectively. For wheat grains, 1.415g of CH<sub>2</sub>O g<sup>-1</sup> is required to produce one g of DM (Penning de Vries and van Laar, 1982; Penning de Vries et al., 1989).

Partitioning and retranslocation In JULES-SUCROS the allocation of dry mat ter over the various plant organs (root, stem, leaf and storage organs) is described

by fixed distribution factors, which depend on the development stage of the crop. The values for these factors have been taken from Penning de Vries et al. (1989). After anthesis (DVS > 1), 20% of the stem weight is eventually retranslocated to the storage organs. Leaves also lose weight during senescence. This process is described in the next section.

#### 342 3.2.3 The biophysical parameters estimation

Leaf expansion and senescence During juvenile growth, the increase in leaf area is mainly determined by temperature. In these early stages, the LAI increases exponentially as it satisfies the following equation:

$$_{346} \qquad \frac{d}{dt}(\text{LAI}) = \text{RGRL} \times \text{T}_{eff} \times \text{LAI}(t)$$
(3)

where LAI(t) is the current leaf area, RGRL is the relative growth rate of leaf area 347 per degree-day,  $T_{eff}$  is the daily effective temperature. The value of RGRL is set 348 to 0.00817  $d^{-1}$  (van Diepen et al., 1988). T<sub>eff</sub> is defined as the actual temperature 349 subtracted by a certain threshold temperature, which is set to 2 °C for wheat. In later 350 development stages, leaf area expansion is increasingly restricted by the supply of 351 assimilates. In JULES-SUCROS, once LAI > 0.75 or DVS > 0.3, the model 352 calculates the growth of leaf area by multiplying the simulated increase in leaf 353 weight by the specific leaf area of new leaves. 354

The senescence rate of LAI is described on the basis of a relative death rate. The 355 relative death rate is the maximum of an ageing death rate and a self-shading death 356 rate. The latter equals zero for LAI smaller than 4, and increases linearly with 357 increasing LAI until a maximum value of 0.03 at a LAI of 8 and above. The death 358 rate due to ageing equals zero for DVS < 1. Once DVS equals 1 this rate increases 359 with increasing DVS value and depends on the ambient temperature as well. For 360 more details on the dependency of the ageing death rate on DVS and temperature, 361 we refer to the work of van Laar et al. (1988). The death rate of leaves is defined as 362 the senescence rate of the leaves times the weight of the green leaves. 363

In cereals, the ears also contribute to the photosynthesis. This is called the Ear Area Index, EAI. The value of the EAI depends on the DVS of the crop. From emergence until a DVS of 0.8, the EAI is equal to 0. Once the DVS equals 0.8, the EAI is equal to a fixed proportion of the total above-ground dry matter. This fraction is set to  $0.63 \times 10^{-3}$ . Once the DVS equals 1.3, the EAI decreases with the same rate as the ageing death rate of leaves.

Height and rooting depth In JULES-SUCROS, the height of the canopy is a function of the amount of stem and leaf biomass, according to the allometric relationship defined by Arora and Boer (2005):

373 
$$\mathbf{h}(t) = (\mathbf{C}_S(t) + \mathbf{C}_L(t))^{0.385}$$
(4)

where h is the vegetation height in meters and  $C_L$  and  $C_S$  are the leaf and stem biomass (in  $kgCm^{-2}$ ), respectively.

The rooting depth is obtained from the root biomass using the the formulation developed by Arora and Boer (2003):

$$\mathbf{r}_d(t) = \frac{3\mathbf{B}^{\alpha}(t)}{\mathbf{b}} \tag{5}$$

where B is the root biomass (in  $kgCm^{-2}$ ), b = 0.87 is the parameter representing the variable root distribution and  $\alpha$  is the "root growth direction" parameter. The value of  $\alpha$  depends on the vegetation type and is set to 0.8 for crops.

### 382 3.3 Model evaluation against FLUXNET data

#### 383 3.3.1 The energy balance closure

A study by Aubinet et al. (2000) has reported a general lack of energy balance closure at the FLUXNET sites with the fluxes of sensible and latent heat being underestimated and/or available energy being overestimated. El Maayar et al. (2008) have therefore suggested to check whether the measurements of energy fluxes satisfy the energy budget closure prior to their use in land surface model evaluation.

<sup>389</sup> The surface energy budget can be expressed as:

$$\mathbf{R}_n = \mathbf{H} + \lambda \mathbf{E} + \mathbf{G},\tag{6}$$

where  $R_n$ , H,  $\lambda E$  and G are the net radiation, sensible heat flux, latent heat flux and soil heat flux, respectively. The lack of closure of the energy budget is commonly quantified by the following factor:

$$I = 100 \left(\frac{\mathbf{R}_n - \mathbf{G}}{\mathbf{H} + \lambda \mathbf{E}} - 1\right) \quad [\%], \tag{7}$$

where it is generally assumed that  $R_n$  and G measurements are sufficiently accurate (Twine et al., 2000; Wilson et al., 2002).

<sup>397</sup> In addition to that, the Mean Bias Errors (MBE) allows us to estimate whether the <sup>398</sup> observed latent and sensible heat fluxes tend to over- or underestimate the observed available energy,  $R_n + G$ . It can be expressed as followed:

$$MBE = \frac{\sum_{i=1}^{n} ((H_i + \lambda E_i) - (R_{ni} - G_i))}{n},$$
(8)

400

where n the number of observations during one growing season and i the observation at timestep i.

The energy budget closure has solely been evaluated for the FLUXNET sites of Klingenberg and Lonzée since the G measurements were only available for these two sites.

#### 406 3.3.2 Model Performance

At each site, the latent and sensible heat exchanges  $[W m^{-2}]$  and the GPP  $[gC m^{-2}]$  simulated at a daily timestep have been tested and validated against the FLUXNET eddy covariance data. If available, instantaneous soil moisture measures at the FLUXNET tower sites have also been used to evaluate the model output. Comparisons between observed and simulated above-ground biomass, yield, sowing and harvest date have only been possible for the simulations with JULES-SUCROS since JULES does not simulate these features.

The model performance has been quantified in several ways. The correlations between measured and simulated GPP, sensible heat flux, latent heat flux and soil moisture have been used to calculate the coefficient of determination, r:

417

$$\mathbf{r} = \frac{\sum_{i=1}^{n} (\mathbf{O}_{i} - \bar{\mathbf{O}}) (\mathbf{P}_{i} - \bar{\mathbf{P}})}{\left(\sum_{i=1}^{n} (\mathbf{O}_{i} - \bar{\mathbf{O}})^{2} \sum_{i=1}^{n} (\mathbf{P}_{i} - \bar{\mathbf{P}})^{2}\right)^{1/2}},\tag{9}$$

where  $O_i$  and  $P_i$  are the individual observed and model simulated values, respectively, and  $\overline{O}$  and  $\overline{P}$  are the mean of the observed and simulated values, respectively.

This coefficient has been used as a relative index of model performance. The corre-420 lation coefficient is a direct measure of how well the observations and simulations 421 vary jointly. The mean bias errors, MBE, already defined in section 3.3.1, and the 422 Root Mean Squared Error, RMSE, have also been calculated. On the one hand, the 423 the MBE calculations provide an estimate of whether the model has tendencies to 424 over-predict (*i.e.*, positive bias) or under-predict (*i.e.*, negative bias) the fluxes with 425 respect to observations. On the other hand, the RMSE is a measure of the deviation 426 between the model and the observations. The latter is used to quantify the accuracy 427

<sup>428</sup> of the simulations and has been computed as follows:

429 
$$\operatorname{RMSE} = \sqrt{\frac{\sum_{i=1}^{n} (\mathbf{P}_{i} - \mathbf{O}_{i})^{2}}{n}}$$
 (10)

To evaluate the significance of the bias between observed and simulated values, the RMSE has been compared to the natural variability of the values during the growing season of interest. The standard deviation  $\sigma$  of the observed values is used as a measure for the natural variability:

434 
$$\sigma = \sqrt{\frac{\sum_{i=1}^{n} (\mathbf{O}_i - \bar{\mathbf{O}})^2}{n}}$$
(11)

The intra-annual performance of the model has been quantified at daily and monthly timesteps. The correlations between measured and simulated anomalies of fluxes between two different FLUXNET sites or growing seasons have been used to determine whether the model could satisfactorily capture the observed spatial and inter-annual variability. Here again, r, RMSE and MBE have been computed to quantify the model performance.

# 441 **4** Results and discussion

In this section, we perform a number of simulations to validate JULES-SUCROS against FLUXNET data and highlight the differences in paramaterisation and process representation between the simulations with JULES and JULES-SUCROS.

First, the energy balance closure has been evaluated at the FLUXNET sites of 445 Lonzée and Gebesee. Next, the model performances for the four subsequent exper-446 imental designs described in section 3.1 have been quantified. The simulation car-447 ried out with JULES parameterised for C3 grass are denoted 'JULES (grass)', the 448 simulation with JULES parameterised for C3 crop are denoted 'JULES (crop)', the 449 simulations with JULES forced with site specific phenology are denoted 'JULES 450 (crop-seasonal)' and the simulations with JULES-SUCROS are denoted 'JULES-451 SUCROS'. The results of the simulations with 'JULES (grass)', 'JULES (crop)' 452 and JULES-SUCROS are represented respectively in green, blue and red in Fig. 2, 453 3, 4, 5, and Fig. A.1 and B.1 in Appendix A and B. The results of the simulation 454 with 'JULES (crop-seasonal)' are represented by black diamonds in Fig. 3, and 455 black dots and lines in Fig. A.1 and B.1. 456

<sup>457</sup> Finally, the sensitivity of the land surface model to cropland versus grassland and

to dynamic versus static crop has been evaluated at each site by comparing the simulations with 'JULES (grass)' against 'JULES (crop)', and by comparing the latter with JULES-SUCROS, which includes a dynamic crop growth structure.

# 461 4.1 Evaluation of the energy balance

Figure 1 (a) shows average daily data of  $H + \lambda E$  plotted against  $H_n - G$ . Figure 1 (b) shows average variation of the daily observed energy imbalance. The plots are restricted to the sites of Lonzée in 2005 and 2007 and Gebesee in 2007 since *G* was only available for these two sites.

Assuming that  $R_n$  and G measurements are sufficiently accurate, Fig. 1 (a) shows 466 that  $H + \lambda E$  is underestimated at both sites. This can be due to an underestimation 467 of H or  $\lambda E$  or both. The underestimation is the largest at Lonzée with an MBE 468 value of  $-17.5Wm^{-2}$  in 2005 and  $-24.1Wm^{-2}$  in 2007. The RMSE values are 460 respectively equal to  $23.4Wm^{-2}$  and  $31.7Wm^{-2}$ . At Gebesee, the MBE is equal 470 to  $-10.8Wm^{-2}$  and the RMSE is equal to  $21.7Wm^{-2}$ . Fig. 1 (b) shows that, in 471 absolute values, the imbalance is in fact proportionally larger during the winter than 472 in the summer. This is probably due to the fact that during the winter the amount 473 of available energy is close to the observational error. The annual averages of the 474 absolute energy imbalance for Lonzée 2005, Lonzée 2007 and Gebesee 2007 are 475 respectively equal to 24.8%, 21.3% and 9.5%. These significant energy imbalances 476 imply that the results of the model evaluation have to be interpreted with care. 477

# 478 4.2 Assessment of fluxes above cropland with the C3 grass PFT parameterisation

The results of the simulation with 'JULES (grass)' have been used to evaluate the validity of the large scale C3 grass parameterisation for simulating carbon and water exchanges above small scale cropland sites.

Figure 2 shows the correlation between the observed and simulated latent heat flux  $(Wm^{-2})$ , sensible heat flux  $(Wm^{-2})$ , gross primary productivity  $(gCm^{-2})$  and the percentage of moisture content at saturation within the top 50 cm of the soil. These plots regroup the monthly values for the different sites and growing seasons together.

Figure 2 shows that the correlations over all FLUXNET sites and growing seasons are the poorest for the percentage of moisture content within the top 50 cm of the soil. The value of the coefficient of determination r is less than 0.50. The RMSE is 11.3% and the MBE is 6.9%. This means that the simulated soil moisture content tends to overestimate the observed values. The coefficient of determination r for the sensible heat flux is equal to 0.53 and the RMSE is equal to about 15  $Wm^{-2}$ . The simulations tend to underestimate the observed values, given that the MBE is equal to  $-15.2 Wm^{-2}$ . The coefficients of determination for the latent heat flux and the GPP are respectively 0.79 and 0.68. The RMSE are respectively 37.7  $Wm^{-2}$  and 5.6  $gCm^{-2}$ . The simulated latent heat flux and the GPP tend to overestimate the observed values (MBE = 28.8  $Wm^{-2}$  and MBE = 4.1  $Wm^{-2}$ , respectively).

Figure 3 shows the RMSE between the measured and simulated variables at daily 498 timesteps at each individual site and growing season. The variables represented are 490 the latent heat flux, sensible heat flux, GPP and percentage of soil moisture con-500 tent within the top 50 cm. The standard deviation of the values measured during 501 the growing season at the different FLUXNET sites are also represented. Figure 3 502 shows that the RMSE for the 'JULES (grass)' simulations are, in general, larger 503 than the standard deviation of the measured values (black diamonds in Fig. 3). 504 These results indicate that 'JULES (grass)' is not able to simulate accurately the 505 observed fluxes. The discrepancies are on average the largest at Gebesee, Klingen-506 berg and, to a smaller extent, at Lonzée in 2007. At Lonzée in 2005, the RMSE is 507 smaller or similar to the standard deviations of the observed values. Fig. 4 shows 508 that the correlations between the measured and simulated values vary strongly from 509 one site to another and from one variable to another. 510

Figure 5 represents the RMSE between the measured and the simulated monthly 511 latent heat flux, sensible heat flux, gross primary productivity and moisture content 512 within the top 50 cm averaged over all FLUXNET sites and growing seasons. It 513 can been seen that the bias in GPP and fluxes are the largest during the summer 514 and fall, with average maximum RMSE of 70  $Wm^{-2}$ , 50  $Wm^{-2}$  and 9  $gCm^{-2}$ . 515 This coincides with the period after crop harvest (see Table 2). Once the crop is 516 harvested, the measured GPP values drop close to zero, while the simulated GPP 517 does not show this pattern. The simulated vegetation continues to assimilate carbon 518 and to transpire as long as the environmental conditions are favourable. 519

Overall, these results indicate that 'JULES (grass)' cannot simulate accurately the observed fluxes and does not capture well the seasonal variability. The lack of explicit representation of crop harvest in the model explains a major part of the bias between observed and simulated values.

#### 524 4.3 Assessment of fluxes above cropland with the C3 crop parameterisation

The results of the simulation with 'JULES (crop)' have been used to evaluate the validity of the large scale C3 crop parameterisation for simulating carbon and water exchanges above small scale cropland sites.

Figure 2 shows that 'JULES (crop)' performs better than 'JULES (grass)' as it improves the correlation between the measured and simulated variables and reduces the RMSE. The correlation r improves the most for the sensible heat flux with an increase of 36% (significance level p <0.05). For the percentage of soil moisture content and the GPP, the improvements are of 16% and 13%, respectively. The correlation of the latent heat flux has only increased by 5%. The values of the RMSE have decreased the most for the GPP and the soil moisture content, with a drop of 28% and 26%, respectively. Compared to 'JULES (grass)', 'JULES (crop)' has a smaller tendency to overestimate or underestimate the observed values, in particular for the soil moisture as MBE =  $1.7 Wm^{-2}$ .

Figure 3 shows that the reduction in RMSE is the largest at Gebesee and, to a smaller extent, at Grignon and Auradé. It is also at these sites that the correlations between measured and simulated values have improved the most, in particular concerning the soil moisture content (not shown). The differences between the effect of both parameterisations on the simulated variables are discussed in more details in section 4.7.

These results indicates that the C3 crop parameterisation is more appropriate than the C3 grass paramaterisation to simulate the fluxes above the selected cropland sites. A reduction of the value of the infiltration rate has reduced the soil moisture content, and by consequence the bias with the observed values. A better representation of the soil moisture content tends to increase the accuracy of the simulated fluxes.

# 4.4 Added value of site-specific crop phenolgy for the land surface model perfor mance

The results of the simulations with 'JULES (crop-seasonal)' have been used to evaluate the importance of crop phenology when simulating the interaction of crop growth with the land surface. Fig. A.1 shows the correlations between observed and simulated latent heat flux, sensible heat flux and GPP simulated with 'JULES (crop-seasonal)' at Klingenberg in 2006, and Lonzée, in 2005.

Forcing JULES with site-specific phenology has improved the accuracy of the simulations at Lonzée. Compared to 'JULES (crop)', the coefficient of determination r for the sensible heat flux and the GPP has significantly increased, respectively by 51% and 12.5% (significance level p <0.05). The drop in RMSE is the most significant for the GPP, going from 4.63  $gCm^{-2}$  to 2.75  $gCm^{-2}$ . At Klingenberg, the correlation and accuracy of the simulations have not improved by forcing the model with site specific phenology.

The improved correlation and accuracy at Lonzée in 2005 are mainly due a better representation of the fluxes after crop harvest. In 'JULES (crop-seasonal)', the LAI, crop height and rooting depth are equal to zero after crop harvest. As a result, the simulated photosynthesis and transpiration rates become zero.

The lack of improvement of the simulations with 'JULES (crop-seasonal)' at Klin-568 genberg might be explained by the strong bias in soil moisture content between 569 observed and simulated values (see Fig. B.1). As mentioned in section 2.1, the 570 soil moisture content has a strong impact on vegetation and land surface processes, 571 like photosynthesis and evapotranspiration. It can be seen that the RMSE of the 572 simulated soil moisture content at Klingenberg is much larger than the standard de-573 viation of the observed values. Such values of RMSE are much larger than the one 574 observed, for instance, at Lonzée in 2005 and could explain the difference in model 575 performance between the two sites. 576

From this experiment, we can conclude that forcing the model with observed phenology improves the accuracy and the seasonality of the simulated fluxes, but the performance remains poor in case of large biases in soil moisture content.

# 580 4.5 Dynamic crop growth structure within JULES: evaluation of JULES-SUCROS

The simulations with JULES-SUCROS have been tested against the FLUXNET measurements to evaluate the realism of simulated dynamic growth and development processes to represent the current crop structure, phenology and production.

Figure 2 shows that JULES-SUCROS yields better correlation with observed GPP 584 and sensible heat flux than 'JULES (crop)'. The value of r for the sensible heat flux 585 has increased by 12.5%, and for the GPP by 10% (significance level p < 0.15). The 586 correlation between measured and simulated latent heat flux has only improved by 587 less than 5%. The value of r for the soil moisture content has however slightly de-588 creased. The overall accuracy of the simulations has improved as well. The RMSE 589 of the latent heat flux, sensible heat flux and GPP have decreased by respectively 590 35%, 29% and 21%. 591

Figure 3 shows that, compared to 'JULES (crop)', the accuracy of the simulated 592 latent heat flux, sensible heat flux and GPP has increased at almost all FLUXNET 593 sites. Besides this, the errors tend to be smaller than the standard deviations mea-594 sured during the different growing seasons. This is however not the case at Klingen-595 berg, where the error in soil moisture content is still large. On average, the RMSE 596 of the soil moisture content at the different FLUXNET sites are similar or larger 597 than the standard deviations observed at these sites, except at Lonzée in 2005 and 598 Grignon in 2006. It can be seen that large biases in moisture content leads to large 599 biases in fluxes. 600

Compared to the simulations with 'JULES (crop-seasonal)', the simulations with
 JULES-SUCROS achieve the same or even better correlations with the observed
 values (see Fig. 4). The same is valid for the accuracy of the simulations (Fig. 3).
 Figure 5 shows that the monthly errors between measured and simulated GPP at
 the different FLUXNET sites have strongly decreased after crop harvest. Including

dynamic crop growth and development within the land surface model has strongly
improved the correlation and the accuracy of the simulations. In JULES-SUCROS,
the seasonality of the simulated fluxes is consistent with the observations. This is
not the case for the simulations with 'JULES (grass)' and 'JULES (crop)'.

The sowing and harvest dates simulated with JULES-SUCROS are on average con-610 sistent with the observed dates (see Table 2). The simulated yield and above-ground 611 biomass are relatively close to the observed values. The total above-ground biomass 612 and yield at Lonzée in 2005 were around 1775 g DM m<sup>-2</sup> and 880 g DM m<sup>-2</sup>. 613 The simulated values are respectively 1515 g DM  $m^{-2}$  and 575 g DM  $m^{-2}$ . The 614 simulated crop is harvested 24 days earlier than what has been observed in real-615 ity. The fact that the crop develops its storage organs at the end of the growing 616 season explains the relatively larger bias between measured and simulated yield 617 compared to the bias in the above-ground biomass. This highlights the consistency 618 and the realism of the simulated dynamic growth and development processes within 619 JULES-SUCROS. 620

From this section as well as previous sections, it can be inferred that including a 621 crop phenology strongly improves the accuracy of the simulation, at the condition 622 that the soil hydrology is well parameterised. As mentioned in section 2.1, the soil 623 moisture plays an important role in many vegetation and land surface processes. 624 However, it is quite difficult to correctly parameterise the soil hydraulic parameters 625 for cropland. This is principally due the effect of management practices on soil 626 structure that are very site specific and might vary during the growing season. In 627 that respect, it is important to note that a "generic" parameterisation based on the 628 literature values has been used in this study. The results obtained with JULES-629 SUCROS could certainly be further improved by fine tuning the soil moisture at 630 each site. 631

In addition to the soil parameterisation, the discrepancies between simulated and observed values can be explained by the bias in length and timing of the simulated growing season. In JULES-SUCROS, the sowing and harvest dates depend primarily on the environmental conditions. The farmer may however decide to sow or harvest the crop at an earlier or later date for some other reasons. Next, JULES-SUCROS has been parameterised for a generic wheat crop and no model calibration has been performed.

Finally, part of the bias between measured and simulated fluxes might be explained 639 by the energy imbalance of the measurements as discussed in section 4.1. Al-640 though large improvements, the sum of the simulated latent and sensible heat fluxes 641 still tends to overestimate the observed values. The MBE values for the simulated 642  $\lambda E + H \text{ are } +21.0 Wm^{-2}, +25.8 Wm^{-2} \text{ and } +12.0 Wm^{-2} \text{ at Lonzée in 2005, at}$ 643 Lonzée in 2007 and Gebesee in 2007, respectively. These values are very similar 644 but opposite to the values mentioned in section 3.3.1 regarding the underestima-645 tion of the observed  $\lambda E + H$  compared to the observed available energy; *i.e.* -17.5 646

Table 2

study. The sin	nulations hav	e been perfor	rmed with JU	JLES-SUCR	ROS.	
		Sowing			Harvest	
	Observed	Simulated	Difference	Observed	Simulated	Difference
FLUXNET sites						
Klingenberg	25/09/05	29/10/05	+4d	06/09/06	14/09/06	+8d
Gebesee	NA	18/10/06	NA	NA	23/08/07	NA
Lonzée	14/10/04	18/10/04	+4d	03/08/05	10/07/05	-24d
	13/10/06	28/10/06	+15d	05/08/07	15/07/07	-21d
Grignon	NA	06/11/05	NA	NA	30/08/06	NA
Auradé	27/10/05	02/11/05	+6d	29/06/06	06/07/06	+7d
Lamasquère	28/10/06	29/10/06	+1d	15/07/07	08/07/07	-7d

Observed and simulated sowing and harvest dates at the FLUXNET sites selected for this study. The simulations have been performed with JULES-SUCROS.

 $Wm^{-2}$ ,  $-24.1 Wm^{-2}$  and  $-10.8 Wm^{-2}$ . This means that the overestimation of the simulated fluxes of sensible and latent heat could be due to the underestimation of the observed fluxes.

The results of this section indicate that a dynamic crop growth structure strongly improves the accuracy and the seasonality of the simulated fluxes. The dynamic growth and development processes within JULES-SUCROS consistently represent the current structure, phenology and production of the crop.

# 654 4.6 Inter-annual and spatial variability

To evaluate the ability of the model to simulate the observed inter-annual and spatial 655 variability of coupled water-carbon fluxes, the measured and simulated anomalies 656 between different growing seasons have been compared. The growing seasons of 657 2005 and 2007 in Lonzée have been used to evaluate the sensitivity to inter-annual 658 variability. The combination of the growings seasons of 2006 in Auradé, Grignon 659 and Klingenberg and the combination of the growing seasons of 2007 in Lonzée, 660 Gebesee and Lamasquère have been used to assess the sensitivity to spatial vari-661 ability. 662

Figure 6 shows the correlation between observed and simulated anomalies between two growing seasons. The variables represented are the latent heat flux and the GPP. Figure 6 (a) shows the anomalies between Gebesee and Lonzée in 2007, and Figure 6 (b) shows the anomalies between two different growing seasons at Lonzée.

The correlations are very poor for 'JULES (grass)' as the model is not able to capture the spatial and inter-annual variability of the fluxes above cropland. When using 'JULES (crop)', we observe a slight improvement of the correlation between the observed and simulated anomalies but it does not improve the accuracy of these anomalies. The RMSE of the simulated anomalies are, at best, similar to the standard deviations of the observed anomalies.

Finally, we see that JULES-SUCROS strongly improves the accuracy and the corre-673 lation (significance level p < 0.05) between the measured and simulated anomalies. 674 The RMSE of the simulated anomalies are much smaller than the standard devi-675 ations of the observed anomalies. The RMSE of the anomalies in latent heat flux 676 and GPP between Gebesee and Lonzé are respectively 15.8  $Wm^{-2}$  and 2.4  $gCm^{-2}$ , 677 where the standard deviations are respectively 21.7  $Wm^{-2}$  and 2.9  $qCm^{-2}$ . The re-678 sults were similar for the other combinations of growing seasons. The RMSE of the 679 anomalies in latent heat flux and GPP between Lonzée 2005 and Lonzée 2007 are 680 respectively 35.8  $Wm^{-2}$  and 3.3  $qCm^{-2}$ , where the standard deviations are respec-681 tively 19.9  $Wm^{-2}$  and 2.1  $gCm^{-2}$ . The values of the coefficient of determination 682 are all larger than 0.80. 683

JULES-SUCROS appears to be very sensitive to inter-annual and spatial variability of the crop growth conditions over Europe. This could obviously be expected since JULES-SUCROS can really adapt to the local conditions, while in (standard) JULES, most of the vegetation properties are static and uniform. Sensitivity to interannual and spatial variability is a very important requirement for using this model for climate change and impact studies.

#### 690 4.7 Cropland versus grassland

The sensitivity over Europe of the land surface model JULES to the land-cover type parameterisation, grassland versus cropland, has been evaluated by comparing the anomalies between 'JULES (crop)' and 'JULES (grass)' at the different FLUXNET sites located in different climatic regions in Europe.

Figure 7 (a) represents the average anomalies between a cropland and a grassland 695 over the different FLUXNET sites and growing seasons for the simulated latent 696 heat flux, GPP and the soil moisture content within the top 50 cm of the soil. It 697 shows that the GPP and the latent heat flux on a grassland are on average larger 698 than on a cropland. The differences between croplands and grasslands are mainly 699 due to their difference in soil moisture content. Due to a lower infiltration rate (see 700 section 3.1), the soil of a cropland tends to contain less water. In addition to this, 701 a cropland has on average a lower LAI compared to a grassland. By consequence, 702 despite a higher rate of carboxylation, which enhances leaf photosynthesis and leaf 703 conductance, crops tend to transpire and photosynthese less than grasses. 704

The anomalies between cropland and grassland vary from site to site within a range similar to the natural variations between the different sites. For the latent heat flux and the GPP, the anomalies and their variation are the largest during spring and summer (  $> 10Wm^{-2}$  and  $> 3gCm^{-2}$ , respectively). For the moisture content, the variations are the largest during the winter. These large variations can be explained by the fact that cropland and grassland are affected differently by the soil moisture regime at the different FLUXNET sites. Trade-off mechanisms create large uncertainties concerning the impact of cropland versus grassland on the land surface processes.

From these results, it can be concluded that the simulated land surface processes are
sensitive to the difference in parameterisation between grassland and cropland. The
sensitivity to these differences vary largely from site to site and depends strongly
on the moisture regimes at the site.

# 718 4.8 Dynamic versus static crop

A first assessment of the impact of a dynamic crop growth structure on the simulated land surface processes over Europe has been made by comparing the anomalies between 'JULES (crop)' and 'JULES-SUCROS' at the different FLUXNET sites located in different climatic regions of Europe.

Figure 7 (b) represents the average anomalies between a dynamic and a static crop 723 over the different FLUXNET sites and growing seasons. The anomalies of the la-724 tent heat flux and the GPP are on average quite large from June till October, when 725 the dynamic cropland is bare after crop harvest. The range and the variations of 726 these anomalies over the different FLUXNET sites are larger than the natural vari-727 ations over these cropland sites. During the summer, these large variations can be 728 explained by the difference in timing of crop harvest at the different FLUXNET 729 sites. Later on, the differences between sites in terms of fluxes and soil moisture 730 content anomalies are mainly due to the natural variations of the climate and soil 731 moisture content, and their impact on the static crop. 732

The representation of the phenological cycle, growth, development and harvest of
a crop has a large impact on the land surface processes during spring and summer.
The range of the impact varies strongly from site to site since the dynamic crop is
interactive and adapt to the local conditions, while the static crop does not.

# 737 5 Conclusions

In this paper, the development of a land surface model including a dynamic crop
 growth structure that fully fits within the biogeochemical modelling framework
 for natural vegetation has been described. This newly developed model, JULES SUCROS, has been validated against measurements at 6 cropland FLUXNET sites

in Europe. Subsequently, the performance of the model in representing the spatial
and inter-annual variability over Europe has been assessed. Finally the sensitivity
of the model to cropland versus grassland and to static versus dynamic crop has
been evaluated.

From the results of this study, it can be concluded that the modifications of the land 746 surface model JULES achieved by adapting the parameterisation for cropland and 747 including a dynamic crop growth structure, have largely improved the land surface 748 model performance over cropland. The simulated crop growth, energy and water 749 fluxes are decidedly more accurate compared to the simulations with the original 750 land surface model JULES. This is particularly significant given that the model has 751 been paramaterised using standard literature values and not tuned to better match 752 the observed values. 753

To respond consistently to a variety of environmental conditions, a process-based approach has been used to develop JULES-SUCROS. The results show that JULES-SUCROS simulates well the above-ground biomass. It captures both spatial and temporal variability of the growth conditions at the different FLUXNET sites located in three distinct climatic regions of Europe. It captures well the daily, seasonal and inter-annual variations in land surface processes. This is a prerequisite for using this model in climate change and impact studies over Europe.

The large biases between measured and simulated fluxes with the original JULES 761 model highlight the importance of representing the interactive growth and devel-762 opment of crops to simulate properly the land surface processes on a cropland. 763 Therefore, including a dynamic crop growth structure, such as JULES-SUCROS, 764 within a GCM is likely to improve weather and climate simulations and thus help to 765 better understand the interactions between crop growth, land and climate systems. 766 Prior to this, some model calibration and a more precise soil hydraulic parameter-767 isation might be required to further improve the model performance. In addition, 768 the model has to be evaluated for other parts of the world and other prevalent types 769 of cereals and crops in general, such as tubers or leaf vegetables. 770

Finally, we shall point out that the simulated fluxes are very sensitive to the differ-771 ences in parameterisation between cropland and grassland. Substitution of natural 772 grass with crops affects the simulated land surface processes, and might by conse-773 quence have an impact on the simulated climate. The sensitivity, however, varies 774 from site to site. In addition, there are large uncertainties concerning the effect of 775 land cover change, cropland versus grassland, on the land surface processes and 776 the soil moisture content. Simulations with JULES-SUCROS have shown that the 777 land surface processes are strongly affected by the vegetation dynamics. Therefore, 778 including such a dynamic crop growth module within a GCM is expected to have 779 an important impact on the simulated climate. 780

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supporting meteorological data accessible for this study. We also thank Dr N. de
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paper.

Figure 1. Left panels (a): correlation between observed available energy and fluxes of sensible and latent heat. Right panels (b): time series of the observed energy imbalance. The data represented are daily values for the sites of Lonzée in 2005, 2007 and Gebesee in 2007 (top, middle and bottom panels respectively.

(b)

(a)

Figure 2. Correlation between the observed and simulated average monthly latent heat flux, sensible heat flux, gross primary productivity and soil moisture within the top 50 cm of the soil. The results have been obtained for the simulations with 'JULES (grass)' (green), 'JULES (crop)' (blue) and 'JULES-SUCROS' (red) during the different wheat growing seasons at the selected FLUXNET sites. The dotted lines represent the 95% interval of the observed latent and sensible heat fluxes, GPP and soil moisture contenet, respectively. All correlations are significant at a 95% interval.

Figure 3. Root mean square error between observed and simulated daily latent heat flux, sensible heat flux, gross primary productivity and soil moisture content. The results have been obtained for the simulations with 'JULES (grass)' (green), 'JULES (crop)' (blue) and 'JULES-SUCROS' (red) during the different wheat growing seasons at the selected FLUXNET sites. The RMSE of the simulations with 'JULES (crop-seasonal) at Lonzée and Klingenberg are represented by  $\times$ . The standard deviations of the daily variables measured at the different sites are represented by  $\diamond$ .

Figure 4. Taylor diagrams (Taylor, 2001) displaying a statistical comparison with the measurements of the simulated daily latent heat fluxes and gross primary productivity. The results have been obtained for the simulations with 'JULES (grass)' (green), 'JULES (crop)' (blue), JULES (crop-seasonal)' (black) and 'JULES-SUCROS' (red) during the different wheat growing seasons at the selected FLUXNET sites. Figure 5. Root mean square error over all FLUXNET sites and growing seasons between measured and simulated monthly latent heat flux, sensible heat flux, gross primary productivity and soil moisture within the top 50 cm. The simulations have been performed with 'JULES (grass)' (green), 'JULES (crop)' (blue) and 'JULES-SUCROS' (red) for the different FLUXNET sites and growing seasons. The standard deviations of the monthly variables measured during the growing season are represented by a dashed line.

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(b)

(a)

Figure 6. Top row (a): correlation between observed and simulated anomalies of the latent heat flux and the GPP between Lonzée and Gebesee in 2007. Bottom row (b): correlation between observed and simulated anomalies of the latent heat flux and the GPP between the 2005 and 2007 growing seasons at Lonzée. The simulations have been performed with 'JULES (grass)' (green), 'JULES (crop)' (blue) and 'JULES-SUCROS' (red) for the different FLUXNET sites and growing seasons.

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Figure 7. Left panel (a): average anomalies (black line) of monthly latent heat flux, GPP and soil moisture content within the top 50 cm of the soil. The 95% interval of these anomalies over the different FLUXNET sites are represented by error bars. The dotted lines represent the 95% interval of the variations over sites and seasons in latent heat flux, gross primary productivity and soil moisture content simulated above a grassland. Right panel (b): same for a cropland with/without dynamic crop growth structure within the land surface model JULES. The dashed and dotted lines represent the 95% interval of the variations over sites and seasons in latent heat flux, gross primary productivity and soil moisture content simulated above a grassland. Right panel (b): same for a cropland with/without dynamic crop growth structure within the land surface model JULES. The dashed and dotted lines represent the 95% interval of the variations over sites and seasons in latent heat flux, gross primary productivity and soil moisture content simulated above respectively a cropland with/without dynamic crop growth structure.

# A Correlation between simulated and observed daily variables at FLUXNET sites

In this section, we present site-specific results for the growing season of 2005 at
Lonzée and the growing season of 2006 at Klingenberg. The plots show the correlation between observed and simulated daily latent heat flux and GPP. The simulations have been performed with 'JULES (grass)' (green), 'JULES (crop)' (blue),
'JULES (crop-seasonal)' (black) and JULES-SUCROS (red).

Figure A.1. Correlation between observed and simulated daily latent heat fluxes and gross primary productivity for the growing season of 2005 at Lonzée and the growing season of 2006 at Klingenberg.

# 795 B Times series of daily GPP and soil moisture at the FLUXNET sites

In this section, we present site-specific results for the growing season of 2005 at
Lonzée and the growing season of 2006 at Klingenberg. The plots show the time series of the observed and simulated daily GPP and % soil moisture content within the
top 50 cm of the soil. The simulations have been performed with 'JULES (grass)'
(green), 'JULES (crop)' (dashed blue), 'JULES (crop-seasonal)' (plain blue) and
JULES-SUCROS (red).

Figure B.1. *Time series of daily GPP and the percentage of moisture content within top 50 cm of the soil (missing measurements get the value 0) for the growing season of 2005 at Lonzée and the growing season of 2006 at Klingenberg.* 

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